

## UK Link Industry Engagement Forum Risk, Assumption & Dependency Log

Risk	Mitigation	Supporting Information / Actions	Control Status
There is a risk that stakeholders do not make necessary changes to their systems/processes in time to undertake Market Trials, leading to them being unable to participate.	<ul style="list-style-type: none"> <li>Xoserve to ensure dates are visible to the stakeholders as early as possible</li> <li>Engagement plan to ensure awareness of requirements (Inc. 121 meetings with organisations)</li> <li>Ensure relevant information is made available e.g. file formats</li> </ul>	<ul style="list-style-type: none"> <li>Market Trials dates have been shared at various forums since Jan 14. The dates are 1<sup>st</sup> June to 29<sup>th</sup> August 2015.</li> <li>File formats planned to be delivered 30/09/14</li> <li>Architecture &amp; Technology day has taken place; feedback from Industry was that they would appreciate early sight of file formats even if caveated with "subject to change".</li> </ul>	
There is a risk that the time allowed for Market Trials is insufficient and considered too late within the Programme for shippers.	<ul style="list-style-type: none"> <li>Each stakeholder is required to undertake comprehensive system testing prior to Market Trials.</li> </ul>	<ul style="list-style-type: none"> <li>Xoserve will consider mitigating actions, whilst developing the Market Trials approach.</li> </ul>	
There is a risk that some industry stakeholders do not have a good understanding of the UKLink Programme and Nexus requirements due to not attending industry meetings, leading to not being ready for UKL testing/implementation phases	<ul style="list-style-type: none"> <li>Industry readiness matrix developed to monitor organisations readiness</li> <li>Engagement programme in place to target organisations not always present at industry meetings</li> <li>Engagement Newsletters issued quarterly to all organisations</li> <li>Data Cleansing programme in place and monitored</li> <li>Registration activities for Market Trials to take place</li> <li>Increased communication on take up of classes for new settlement products</li> <li>Shippers and GT's to raise issue and awareness</li> </ul>	<ul style="list-style-type: none"> <li>During Xoserve/Shipper 121 engagement meetings, we are still encountering organisations that do not have an appreciation of the Nexus requirements or the UKLink Programme Changes. Xoserve are continuing to support these organisations.</li> <li>Xoserve held an Architecture &amp; Technology Day on 05/06 which was extremely well attended from across the industry. The day outlined the Solution Architecture and technology being used for UKLink and an overview of the Programme Delivery Lifecycle.</li> </ul>	

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	<p>at any other fora they may attend e.g. Gas Forum, ICOSS, etc. Xoserve contact point is Stakeholder Business Partner</p>	<p>Xoserve are receiving an increased number of requests to meet on a 1-1 basis with Shippers.</p>	
<p>There is a risk that industry modifications are raised which impact the baselined requirements and/or design models leading to an impact on the delivery (time/cost/quality) of the UKLink Programme</p>	<ul style="list-style-type: none"> <li>• Early engagement process in place for Xoserve to be involved prior to new modification being raised</li> <li>• Communicate key messages that requirements are baselined</li> <li>• Change Control process in place for the programme.</li> <li>• Make impacts visible (time/cost/quality) of potential changes to the programme at workstream meeting, Change Overview Board and UNC Mod Panel</li> </ul>	<ul style="list-style-type: none"> <li>• List of out of scope modifications in place for the programme to track.</li> <li>• Changes to legacy systems will not necessarily be delivered for 1st October 2015 in the new systems.</li> <li>• CoB agreed to update New Modification and Workgroup Report templates with the question “does this modification affect the Nexus delivery and if so how?”</li> </ul>	

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There is a risk that modifications required for transition are not progressed through industry governance in the timescales required for implementation leading to a delay in modifications being agreed and impacting implementation timelines.	<ul style="list-style-type: none"> <li>Raise all transition topics at industry group and gain consensus before modifications are raised.</li> <li>Transition topics schedule published for discussion at industry group in advance to ensure stakeholders can field the appropriate resources from their business.</li> <li>Early engagement on transition topics via industry group (even when not fully defined) to give early sight of potential issues and encourage open discussions.</li> </ul>	<ul style="list-style-type: none"> <li>Good initial progress has been made through PN UNC with schedule of transition topics published in advance.</li> <li>Dependency on Detailed Design to understand further level of detail on transition topics.</li> </ul>	
There's a risk that information required by the industry (file formats / data flow / firewall port info) are not available at an early enough stage of the lifecycle to allow changes to stakeholder systems to be completed in time for Market Trials and/or Implementation	<ul style="list-style-type: none"> <li>Ensure stakeholders understand dates when information will be available</li> <li>Understand lead times for stakeholder organisations to ensure this is planned</li> </ul>	<ul style="list-style-type: none"> <li>File Formats 30/9/14</li> <li>Details (firewall ports etc.) for Market Trials Q1 2015</li> </ul>	
There is a risk that stakeholders are unable to resource the changes required for UKLink Programme alongside other changes required in 2015 leading to processing issues post go live.	<ul style="list-style-type: none"> <li>Ensure visibility of industry wide programme and dependencies</li> </ul>	<ul style="list-style-type: none"> <li>Through 121 engagement some parties have raised concerns with resourcing of UKLink Programme alongside other changes.</li> </ul>	
There is a risk that stakeholders choose not to participate in Market Connectivity testing and Market Trials leading to issues being encountered connecting to the new system post go live.	<ul style="list-style-type: none"> <li>Engagement plan to highlight Market Trials to stakeholders</li> <li>Visibility of Market Trial dates</li> <li>Understand issue of stakeholder organisations not being ready (June 15)</li> </ul>	<ul style="list-style-type: none"> <li>Testing Strategy presented on 12<sup>th</sup> May, giving outline information on the principles and dates for Market Trials.</li> </ul>	

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<p>There is a risk that other industry programmes are delayed or change in scope leading to conflicting timescales or a requirement to amend the baselined UKL design models which impacts on the implementation timescales.</p>	<ul style="list-style-type: none"> <li>• Monitor industry programmes and potential impacts to UKLink Programme</li> <li>• Identify dependency points between programmes</li> <li>• Ask industry participants to draw attention to conflicting timescales in industry discussions where Xoserve are not present</li> </ul>	<ul style="list-style-type: none"> <li>• Xoserve analysing any impacts from proposed DCC go live change to 1<sup>st</sup> December 2014. EU Analysis in progress to understand impacts on UKLink system.</li> </ul>	

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Assumption	Comment
All participants have read the Business Requirements Documents and understand the new process changes	Recent examples of organisations who are not aware, or fully aware, of the business requirements.
The level of industry change will decrease during 2014/15	Required for stable requirements and to allow
There will be an increased level of system error handling (primarily through SAP / AMT Sybex) which stakeholders will have to allow for.	Develop further information through detailed design and share at Industry Technology Days.
Customisation of SAP “out of the box” processes will be kept to a minimum. The programme plan assumes SAP “out of the box” and will require Industry support & approval to change processes & rules in order to meet the current build and testing timescales.	Monitor through detailed design phase and raise any requirements for process change to meet SAP “out of the box” processes with the industry through Project Nexus Workgroup.
The industry will have addressed data quality concerns raised by the programme by the time of the data load for UAT Testing in January 2015 to minimise the impact of inappropriate data on UK Link processes and industry participants.	Required to ensure User Acceptance testing is as robust and accurate as possible.
Industry connectivity testing will be required for UKLink but not Gemini as the connectivity for Gemini will not change as part of the Programme	To be confirmed through detailed design phase

Dependency	Impact
Stakeholders are required to have Internet Explorer v8 or above	Stakeholders may be unable to connect to the new system for Market Trials and at implementation
All mods relevant to UKL Programme need to progress through industry governance in a timely manner	May impact implementation of changes.
The Nexus requirements will require changes to core UK Link, Gemini, Contact Management System (CMS), Data Enquiry Service (DES) and Xoserve IP reporting system.	Scope of changes to be detailed further through analysis and design phases of the programme.
New contracting arrangements to facilitate iGT agency services need to be in place	May impact ability to implement if contracting arrangements are not agreed and in place.