

# Smart Energy Code (SEC) Update

## 1. Purpose

This paper intends to inform the Uniform Network Code (UNC) Modification Panel and UNC Parties of the activities occurring in relation to the SEC.

## 2. Code Updates

### 2.1 SEC Designations

There have been no new SEC designations since the last UNC Modification Panel meeting. The latest version of the SEC came into effect on 18<sup>th</sup> August 2016 as SEC version 4.15.

### 2.2 RDP Live

On 7<sup>th</sup> September 2016, the Department for Business, Energy and Industrial Strategy (BEIS) issued a consultation welcoming views cancelling variations in respect of Section E2 'Registration Data' and Section K 'Charging Methodology'. The cancellation of these variations mean that Registration Data provisions in SEC Section E require Electricity and Gas Network Parties to ensure their Registration Data Providers submit full sets of Registration Data to the DCC.

BEIS also proposed a new transitional variation set to mitigate early risks to the accuracy of the DCC billing process where Network Parties are required to:

- Continue to provide transitional Registration Data (in addition to the full Registration Data) as originally required under SEC Section X2.4 until 31<sup>st</sup> October 2016 (or such later date that may be specified by the Secretary of State); and
- Permit the DCC to use the transitional Registration Data for calculating charges for the period to which it relates when necessary.

On 28<sup>th</sup> September 2016 the Secretary of State issued a direction revoking the SEC Section X transitional variations effective 29<sup>th</sup> September 2016. The proposed transitional variations were also introduced on that date.

### 2.3 Future SEC Designations

There will be further SEC designations as we progress towards DCC Live. Up and coming amendments to SEC provisions include the following:

- The Certified Products List (CPL) Requirements Document and SEC Section F2 'Certified Products List' coming into legal effect; and
- Designating the remaining SEC Subsidiary Documents at DCC Live.

## 3. SEC Governance Updates

### 3.1 Release 1.2 Re-plan Proposals submitted by Smart DCC Ltd: Communication from BEIS Senior Responsible Owner

Based on the DCC's planned forecast provided to Smart Metering Design Group (SMDG) members on 21<sup>st</sup> September 2016 and industry comments on them, on 30<sup>th</sup> September 2016, BEIS accepted the DCC's forecast dates for Release 1.2 (R1.2) below:

- R1.2 milestone is moved to 31<sup>st</sup> October 2016; and

- System Integration Testing (SIT) has been split into four streams with the below streams completed for R1.2:
  - Service request functionality for User Entry Process Tests (UEPT) in Import Supplier and Gas Supplier roles;
  - Service request functionality for UEPT in Export Supplier, Gas Distributor and Electricity Distributor roles;
  - Core functionality includes DCC Service Management System, Enterprise Systems, Self-Service Management Interface/Self-Service Interface and Threshold Anomaly Detection; and
  - The fourth stream includes Service request functionality for UEPT in Registered Supplier Agent/Other User roles and testing of mesh communications hubs to be completed after R1.2.

### 3.2 SMIP Contingency Request submitted by Smart DCC Ltd: Final decision from BEIS Senior Responsible Owner regarding DCC Release 1.3

The DCC undertook a contingency review for Release 1.3 (R1.3) with the intention of providing clarity on the changes to the timing of milestones for R1.3. Following the contingency review, BEIS accepted the DCC re-plan proposal on 14<sup>th</sup> September 2016. The key elements of the re-plan are R1.3 Live date to move from 26<sup>th</sup> September 2016 to 12<sup>th</sup> December 2016 and the start of an additional period of Interface Testing (IT), including additional Service Requests Tests, to move to 7<sup>th</sup> November 2016 in response to challenges faced in SIT.

However, following BEIS’ subsequent agreement to move R1.2 to 31<sup>st</sup> October 2016, DCC are now working on a revised timeline for R1.3 and have advised it will come back to Parties in the week commencing 17<sup>th</sup> October 2016 with a firm view.

## 4. SEC Modifications Update

### 4.1 New SEC Modification Proposals

Since the September 2016 UNC Modification Panel meeting, three new Modification Proposals have been submitted to SECAS. These are outlined in Table one below:

SEC Modification Proposal	Summary of Modification
<a href="#">SECMP0020</a> – Removal of the confidential classification of the unique identifiers listed in SEC Schedule 5	This Modification Proposal seeks to remove the confidential classification clauses in SEC Schedule 5 which prohibits the publication and disclosure of the unique identifier(s) described within it.
<a href="#">SECMP0021</a> – Increase the representation of the ‘Other SEC Party’ category on the SSC and TABASC	The Proposer would like to increase the ‘Other SEC Party’ representation on the SSC to three and on the TABASC to four.
<a href="#">SECMP0022</a> – Expanding SMKI PMA membership and	This Modification Proposal seeks to alter the current Smart Metering Key Infrastructure Policy Management Authority (SMKI PMA) membership in order to take account of its operational responsibilities, and to mitigate against the risk that a meeting is not quorate. This Modification Proposal

SEC Modification Proposal	Summary of Modification
removing Alternate restrictions	also seeks to remove the restriction on SMKI PMA Members which prohibits each SMKI PMA Member from nominating an Alternate from the same organisation that employs them.

**Table one: New Modification Proposals**

SECAS will raise these to the October 2016 SEC Panel meeting. SECAS and the Proposers recommend that all three modifications be progressed as Path 3 Modifications: Self-Governance.

SECAS and the Proposers recommend that SECMP0020 and SECMP0022 progress to the Report Phase where they will be sent out for industry consultation. SECMP0021 will require additional refinement from a SEC Working Group.

#### 4.2 SECMP0016 Working Group Consultation Issued

On 5<sup>th</sup> October 2016, SECAS issued [SECMP0016 'Consideration of "maximum credit" value in credit cover calculation'](#) for Working Group Consultation.

This Modification Proposal recommends that a Party's Maximum Credit Value offered in a Credit Assessment is considered when calculating a Party's Unsecured Credit Limit.

The proposed calculation introduces an Unsecured Credit Factor in place of the current Credit Cover Factor, that is a proportion of a Party's Maximum Credit Value. The Unsecured Credit Factor is determined by the Party's Recognised Credit Rating or Credit Assessment Score.

Responses to the [SECAS Helpdesk](#) are due by 21<sup>st</sup> October 2016. For more information, please see [SECAS Latest News](#).

#### 4.3 SEC Modification Proposals in the Refinement Process

Table two provides an update on the status of the sixteen Modification Proposals that are in the Refinement Process stage.

Working Group	Modification Proposal #	Modification Proposal Title	Update
WG1	<b>SECMP0002</b>	Add New Command to Reset Debt Registers	Working Group 1 met on 30 <sup>th</sup> August 2016.
	<b>SECMP0003</b>	Deficiencies in the Service Request for setting Maximum Demand Configurable Time	
	<b>SECMP0005</b>	Inclusion of Tariff and Register Labels on SMETS2 Devices	
	<b>SECMP0006</b>	Specifying the number of digits for device displays	

	<b>SECMP0007</b>	Firmware updates to mandated HAN devices	
	<b>SECMP0015</b>	GPF timestamp for reading instantaneous Gas values	
	<b>SECMP0018</b>	Standard Electricity Distributor Configuration Settings	
	<b>SECMP0019</b>	Auxiliary Load Control Switches (ALCS) Description Label	
WG2	<b>SECMP0004</b>	Inclusion of Meter Serial Number data item in the Smart Metering Inventory	Working Group 2 met on 26 <sup>th</sup> August 2016.  The next meeting is scheduled for 18 <sup>th</sup> October 2016.
	<b>SECMP0008</b>	Provision of a DCC Alert (formerly Service Request Error Response) for Quarantined Service Requests	
	<b>SECMP0011</b>	Including the MAP ID in the Smart Metering Inventory	
WG3	<b>SECMP0009</b>	Centralised Firmware Library	Working Group 3 met on 2 <sup>nd</sup> September 2016.
WG4	<b>SECMP0010</b>	Introduction of triage arrangements for Communication Hubs	Working Group 4 met on 5 <sup>th</sup> September 2016.
	<b>SECMP0012</b>	Channel selection to support Shared HAN Solutions	
	<b>SECMP0013</b>	Smart meter device diagnostics and triage	
WG5	<b>SECMP0016</b>	Consideration of "Maximum Credit" value in credit cover calculation	Working Group 5 met 19 <sup>th</sup> September 2016.  The next meeting is scheduled for 28 <sup>th</sup> October 2016.

**Table two: Modifications Proposals Update**

Further information on the SEC Modification Proposals can be found on the [SEC Website Modifications Register](#).

Each month, SECAS prepares a Modification Status Report for the SEC Panel. This report provides the latest information on Modifications Proposals currently progressing within the Modification Process. The October 2016 Modification Status Report is attached as Appendix A to this paper.

#### **4.4 SEC Modification Question Hour**

As a reminder, SECAS holds a SEC Modifications' Question Hour on the Monday before each SEC Panel meeting at 11am. The next SEC Modifications' Question Hour will be held on 7<sup>th</sup> November 2016.

The session provides an update on the progress of active Modification Proposals going through the SEC Modification Process. Following this brief overview, there will be opportunity for SEC Parties to ask their questions regarding any of the raised Modification Proposals or the Modification Process in general.

For teleconference details please see [SECAS Latest News](#).

## **5. Next Meeting**

The next SEC Panel meeting is scheduled for Friday, 14<sup>th</sup> October 2016.

**Kayla Reinhart**

**SECAS Team**

**12<sup>th</sup> October 2016**

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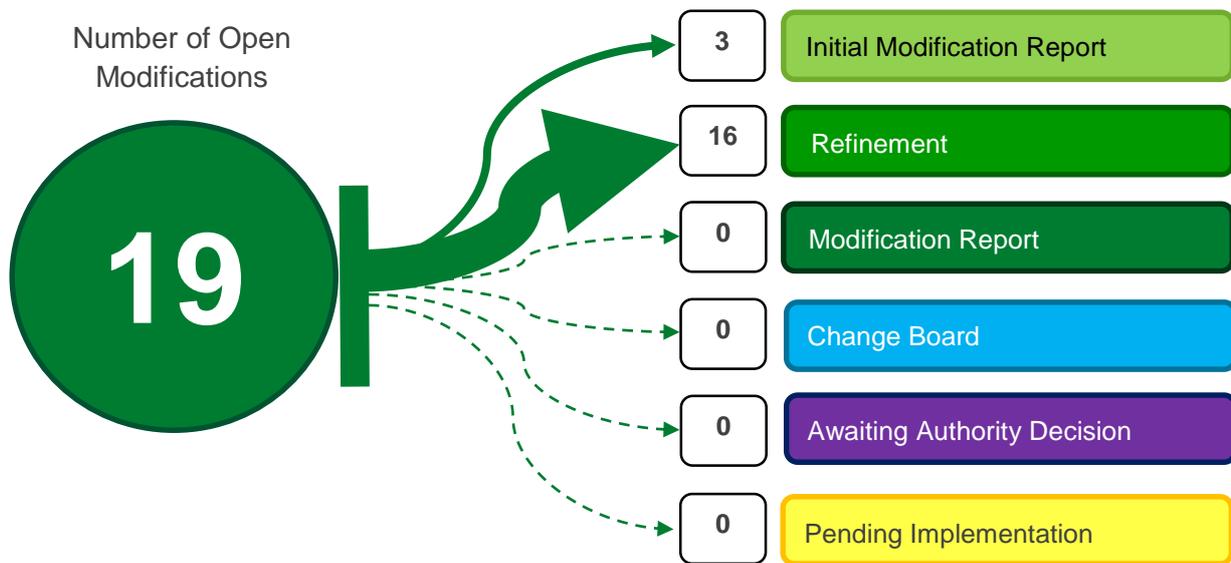
Smart Energy Code

**Modification Status Report**  
**October 2016**  
FOR INFORMATION

## 1. Summary

This report provides a monthly update on the status and progress of SEC Modification Proposals, highlighting key information and decisions made. This report covers the period between the September 2016 and October 2016 SEC Panel meetings. Further information can be found in the Modifications Register and/or on the Modification Proposal [webpages](#).

### 1.1. Status of Open Modification Proposals



Modifications in refinement, 16



## 1.2. New Modification Proposals

There are three new modifications this month:

- SECMP0020 - Removal of the confidential classification of the unique identifiers listed in SEC Schedule 5;
- SECMP0021 - Increase the representation of the “Other SEC Party” category on the SSC and TABASC; and
- SECMP0022 - Expanding SMKI PMA membership and removing Alternate restrictions.

## 1.3. Approvals and rejections

The following decisions have been made in the last month.

- No approvals or rejections by the Change Board.
- No approvals or rejections by the Authority.

## 2. Highlights from the last month

### 2.1 Working Group Highlights

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#### **Working Group 1: Modifications that affect Technical Specifications, Devices and associated processes**

Working Group (WG) 1 has not met since the September 2016 Panel meeting.

The solution for SECMP0015 'Add Timestamp to Read Instantaneous Prepay Values response messages' has been finalised, and the WG have requested a DCC Preliminary Assessment (PA).

SECAS have met with the Proposers of SECMP0018 'Standard Electricity Distributor Configuration Settings' and SECMP0019 'ALCS Description Labels', to develop the solutions of each respective modification. The updated solution requirements will be sent to the WG for comment before requesting a DCC PA.

The DCC PAs have been received for SECMP0002 'Add new command to reset debt registers', SECMP0003 'Deficiencies in the Service Request for setting Maximum Demand Configurable Time', and SECMP0005 'Include Tariff and Register Labels in SMETS2 Devices'. These PAs are currently being reviewed by the WG prior to the request for DCC Impact Assessments (IAs).

The WG are awaiting confirmation of the current technical specification requirements around device digit displays, prior to the commencement of the WG Consultation for SECMP0006 'Specifying the number of digits for device displays'.

#### **Working Group 2: Modifications that focus mainly on the DCC's Smart Metering Inventory (SMI) and DCC specific Service Requests and Alerts**

WG2 has not met since the September 2016 Panel meeting. Following the August 2016 meeting, SECAS and WG2 are in the process of drafting consultation documents for SECMP0004 'Inclusion of Device Serial Number data item in the Smart Metering Inventory' and SECMP0008 'Provision of a DCC Alert (formerly Service Request Error Response) for Quarantined Service Requests'. SECAS are waiting on information from the DCC IAs for SECMP0004 and SECMP0008, which has delayed finalising these documents. SECAS and WG2 are finalising the requirements for SECMP0011 to request a DCC IA, as well as issuing the consultation document.

#### **Working Group 3: Centralised Firmware Library (CFL)**

WG3 met on 2<sup>nd</sup> September 2016 to discuss the modification, and the associated requirements for the CFL solution. The following areas were discussed:

- who provides the service and associated security implications and requirements, with it being a newly procured service or potentially delivered by the DCC;
- wider end-to-end considerations including Use Cases; and
- alternative approaches that increase the efficiency of firmware access as per the aims of the proposed solution.

Following the WG3 meeting, SECAS and the Proposer will begin working on a full straw man solution. This strawman will be discussed at the next WG meeting. It is intended to clarify the necessary technical document changes and wider security considerations.

#### **Working Group 4: Modifications that mainly relate to Communication Hubs and Device Testing**

WG4 last met on 5<sup>th</sup> September 2016. The WG are awaiting the DCC PA for SECMP0010 'Introduction of triage arrangements for Communication Hubs', and the requirements for SECMP0013 'Smart meter device diagnostics and triage' are being worked on to enable the commencement of any necessary PA.

The WG have also sought input from the Energy UK (EUK) Supplier Forum, and will seek input from the Security Sub-Committee (SSC) and Technical Architecture and Business Architecture Sub-Committee (TABASC) to help draw out scenarios where triage would be necessary and what is feasible from a governance perspective. SECAS expect to discuss the output from these meetings in November 2016.

#### **Working Group 5: Governance-focused modifications**

WG5 met for the second time on 19<sup>th</sup> September 2016. The DCC presented a model showing the residual risks to SEC Parties if an Event of Default (EoD) was to occur. This was based on the current SEC credit calculation, the original proposed calculation, and an alternative calculation. The WG further refined the third calculation and agreed the solution.

The DCC advised the WG that they would require two months to implement this modification following Authority Determination. It was also noted that that it would be beneficial to implement SECMP0016 'Consideration of "maximum credit" value in credit cover calculation' at the beginning of the Regulatory Year (1<sup>st</sup> April 2017). Therefore, SECAS, the DCC, and the WG have been working to a shortened timetable to allow this date to be achievable. The WG Consultation was published to industry on 5<sup>th</sup> October 2016.

### 3. Modification Proposal Progress

This table summarises the progress of all open Modification Proposals. For further details, please see the [Modifications Register](#). Note that Modification Proposals timescales for SECMP0004, SECMP0006 and SECMP0008 are subject to revision. Revised timescales will be considered by the Panel on 14<sup>th</sup> October 2016.

Mod Ref	Title	Status	Indicative Timetable					
			Oct	Nov	Dec	Jan	Feb	Mar
SECMP0002	Add New Command to Reset Debt Registers	The WG has refined the modification into a set of requirements. The DCC PA of the proposed solution has been returned and circulated to the WG. SECAS is drafting a Refinement Consultation document for the WG to review, and identify which areas within the PA response requires further WG discussions.	WG meeting/ DCC IA/ Refinement Consultation		DCC IA/ Final WG meeting	Panel reviews Draft Modification Report	Change Board Vote	Modification Decision by Authority
SECMP0003	Deficiencies in the Service Request for setting Maximum Demand Configurable Time	The WG has refined the modification into a set of requirements. The DCC PA of the proposed solution has been returned and circulated to the WG. SECAS is drafting a Refinement Consultation document for the WG to review, and identify which areas within the PA response require further WG discussions.	WG meeting	DCC IA/ Refinement Consultation		Final WG meeting	Panel reviews Draft Modification Report	Change Board Vote

Mod Ref	Title	Status	Indicative Timetable					
			Oct	Nov	Dec	Jan	Feb	Mar
SECMP0004	Inclusion of Device Serial Number data item in the Smart Metering Inventory	<p>The WG has refined the modification into a set of requirements, and are currently awaiting DCC IA of the proposed solution.</p> <p>No alternative solutions have been progressed. SECAS are working with the DCC on establishing a backfilling process for already installed assets. This process will act as a base for all modifications seeking to add new fields to the Smart Metering Inventory (SMI).</p> <p>The delivery of the DCC IA has been delayed to mid-October 2016, prompting revisions to the progression timetable to be put to the Panel for consideration at its October 2016 meeting.</p>	Refinement Consultation / Final WG meeting	Panel reviews Draft Modification Report	Change Board Vote	Modification Decision by Authority	Implementation activities (if approved)	
SECMP0005	Include Tariff and Register Labels in SMETS2 Devices	<p>The WG has refined the modification into a set of requirements. The DCC PA of the proposed solution has been returned and circulated to the WG. SECAS is drafting a Refinement Consultation document for the WG to review, and identify which areas within the PA response requires further WG discussions.</p>	WG meeting/ DCC IA/ Refinement Consultation		DCC IA / Final WG meeting	Panel reviews Draft Modification Report	Change Board Vote	Modification Decision by Authority

Mod Ref	Title	Status	Indicative Timetable					
			Oct	Nov	Dec	Jan	Feb	Mar
SECMP0006	Specifying the number of digits for device display	<p>The WG has refined the modification into a set of requirements and the DCC have formally confirmed that this modification will have no impact on their systems.</p> <p>No alternative solutions have been raised at this stage.</p> <p>SECAS continues to draft a Refinement Consultation document for the WG to review.</p> <p>The WG are aware of a potential impact on the Balancing and Settlement Code (BSC). The WG is awaiting clarification on the SMETS requirements from BEIS which was discussed at the September 2016 TSIRS meeting with further discussions expected at the October 2016 TSIRS meeting.</p> <p>Due to the time taken to clarify the BEIS position on the SMETS requirements, revisions to the progression timetable are being put to the Panel for consideration at its October 2016 meeting.</p>	Refinement Consultation	Panel reviews Draft Modification Report	Change Board Vote	Modification Decision by Authority	Implementation activities (if approved)	
SECMP0007	Firmware updates to mandated HAN devices	<p>The WG has refined the modification into a set of requirements and are currently awaiting DCC PA of the proposed solution. Meanwhile, SECAS is doing further work on the Solution Design Document in advance of the request for the full DCC IA.</p>	DCC PA	WG meeting / consultation / DCC IA	DCC IA		Refinement Consultation	Final WG meeting

Mod Ref	Title	Status	Indicative Timetable					
			Oct	Nov	Dec	Jan	Feb	Mar
SECMP0008	Provision of a DCC Alert (formerly Service Request Error Response) for Quarantined Service Requests	<p>The WG has refined the modification into a set of requirements and the DCC have formally confirmed that this modification will have no impact on their systems.</p> <p>No alternative solutions have been raised at this stage.</p> <p>SECAS continues to draft a Refinement Consultation document for the WG to review.</p> <p>The delivery of the DCC IA has been delayed to mid-October 2016, prompting revisions to the progression timetable to be put to the Panel for consideration at its October 2016 meeting.</p>	Refinement Consultation / Final WG meeting	Panel reviews Draft Modification Report	Change Board Vote	Modification Decision by Authority	Implementation activities (if approved)	
SECMP0009	Centralised Firmware Library	The WG are refining possible solutions and requirements. Discussions have been centred on data availability and data security.	Possible DCC PA	WG meeting / Refinement Consultation / DCC IA	DCC IA		WG meeting to refine solution	Final WG meeting
SECMP0010	Introduction of triage arrangements for Communication Hubs	<p>The WG has refined the modification into a set of requirements and are currently awaiting DCC PA of the proposed solution.</p> <p>Additional work is underway between Suppliers on the usage of the mechanisms the modification would introduce to help further inform the solution.</p>	DCC PA	WG meeting / Refinement Consultation / DCC IA	DCC IA		WG meeting to refine solution	Final WG meeting
SECMP0011	Inclusion of MAP ID in the Smart Metering Inventory	The WG has refined the modification into a set of requirements. The DCC PA of the proposed solution has been returned and circulated to the WG. SECAS is drafting a Refinement Consultation document for the WG to review, and identify which areas within the PA response require further WG discussions prior to requesting the full DCC IA.	WG meeting / DCC IA	DCC IA/ Refinement Consultation	DCC IA		Final WG meeting	Panel reviews Draft Modification Report

Mod Ref	Title	Status	Indicative Timetable					
			Oct	Nov	Dec	Jan	Feb	Mar
SECMP0012	Channel selection to support Shared HAN solutions	The WG will discuss the Business Requirements for this modification at its next meeting, and refine these requirements where required, before initiating a DCC PA (and potentially issuing an initial consultation on the proposed modification).	WG meeting DCC PA	DCC PA/ WG meeting	DCC IA	DCC IA / Refinement Consultation	Refinement Consultation/ Final WG meeting	Panel reviews Draft Modification Report
SECMP0013	Smart meter device diagnostics and triage	The WG continue to refine the modification into a set of requirements.  Additional work is underway between Suppliers on the usage of the mechanisms the modification would introduce to help further inform the solution and requirement drafting.	WG meeting / DCC PA	DCC PA / WG meeting	DCC IA	DCC IA / Refinement Consultation	WG meeting to refine solution	Final WG meeting
SECMP0015	Add Timestamp to Read Instantaneous Prepay Values response message	The WG agreed to the Business Requirements. No alternative solutions have been raised at this stage. The Solution Design Document was presented to the WG on 30 <sup>th</sup> September 2016. The WG clarified several ambiguities, and the SDD has been updated accordingly. Following a final call for comments, the DCC will be requested to commence a PA.	DCC PA	DCC/ WG meeting	WG meeing/ DCC IA	DCC IA / Refinement Consultation	Final WG meeting	Panel reviews Draft Modification Report
SECMP0016	Consideration of "Maximum Credit" value in credit cover calculation	The WG met in September 2016 and finalised the solution to enable the preparation of the necessary legal drafting and preparation of the Refinement Consultation. The Refinement Consultation following WG review will then be issued in October 2016, with the aim of potentially completing the modification process in time to support a 1 <sup>st</sup> April 2016 implementation. This would coincide with the commencement of the new regulatory year, if approved.	Refinement Consultation	Final WG meeting	Panel reviews Draft Modification Report	Change Board Vote	Modification Decision by Authority	Implementation activities (if approved)

Mod Ref	Title	Status	Indicative Timetable					
			Oct	Nov	Dec	Jan	Feb	Mar
SECMP0018	Standard Electricity Distributor Configuration Settings	<p>Following the first WG meeting, SECAS and the Proposer will prepare Business Requirements to support the initiation of further WG discussions.</p> <p>Confirmation from the DCC that there should be no impact will also need to be obtained as it is not changing any of the functionality that exists for Network Users to configure the settings via the DCC.</p>	WG meeting	Refinement Consultation	Final WG meeting	Panel reviews Draft Modification Report	Change Board Vote	Modification Decision by Authority
SECMP0019	ALCS Description Labels	<p>Following the first WG meeting discussions, SECAS and the Proposer will prepare Business Requirements to support the initiation of further WG discussions and to imitate a DCC PA..</p>	WG meeting	Refinement Consultation	Final WG meeting	Panel reviews Draft Modification Report	Change Board Vote	Modification Decision by Authority

## 4. Release Contents

This section provides a summary of future releases, and highlights modifications that are targeted for, or approved for, a SEC Release date.

### June 2017 Release (29<sup>th</sup> June 2017)

Mod Ref	Status	Potential Impacts	
		Docs	Systems
0002	In development – Proposer target <b>Please note:</b> revised target dates not yet considered by Proposer and WG, as no DCC System impacting Modification Proposals will be included within the June 2017 Release. Release content is subject to Panel discussions on Release scope and content at its October 2016 meeting.	SMETS2, GBCS, DUIS, MMC	DCC
0003		DUIS, GBCS,	DCC
0004		SMETS2, GBCS, DUIS,	DCC
0005		SMETS2, GBCS, DUIS,	DCC
0006		SMETS2, GBCS, CHTS,	SMS
0007		SMETS2, GBCS, CHTS,	DCC
0008		DUIS, TADP	DCC
0010		CHIMSM, CHTS	DCC
0011		DUIS	DCC
0013		DUIS	DCC, SMS
0015		SMETS2, GBCS,	DCC

### November 2017 Release (2<sup>nd</sup> November 2017)

Mod Ref	Status	Potential Impacts	
		Docs	Systems
0009	In development – Proposer target	SEC	TBC
0016	<b>Please note:</b> revised target dates not yet considered by Proposer and WG. Modifications Proposals with a DCC Systems Impact will be limited in November 2016, and is subject to Panel discussions on Release scope and content at its October 2016 Meeting.	SEC	DCC
0018		GBCS	
0019		DUIS	DCC, DCC Users

### February 2018 Release (22<sup>nd</sup> February 2018)

Mod Ref	Status	Potential Impacts	
		Docs	Systems
0012	In development – Proposer target <b>Please note:</b> any necessary revised target dates not yet considered by Proposer and WG. Modifications Proposals with a DCC Systems Impact will be limited in November 2017, and is subject to Panel discussions on Release scope and content at its October 2016 Meeting.	SMETS2, GBCS, DUIS, CHTS, MMC, DUGIS	TBC

## Code Administrators' metrics: Quarter 3 2016 (1<sup>st</sup> July 2016 to 30<sup>th</sup> September 2016)

Metric No.	Description	Measurement area	Numbers	
<b>Area: General</b>				
1	Number of modifications raised in the period (Any modifications with alternatives should just be counted as 1):	Authority Consent – Non-urgent	0	
		Authority Consent –Urgent	0	
		Self-governance	1	
		Fast-Track self-governance	0	
		Self-governance - Urgent	0	
2	Number of modifications withdrawn in the period (Any modifications with alternatives should just be counted as 1):	Authority Consent – Non-urgent	1	
		Authority Consent –Urgent	0	
		Self-governance	0	
		Fast-Track self-governance	0	
		Self-governance - Urgent	0	
				Alternates
3	Number of modifications submitted to the Authority for decision in the period (include the number of alternatives submitted in the second box):	Non-urgent	0	0
		Urgent	0	0
				Alternates
4	Number of final industry decisions on modification in the period (include the number of alternatives submitted in the second box):	Self-governance	1	0
		Fast track self-governance	0	
		Self-governance - Urgent	0	
				Alternates
5	Number of reports 'sent back' by the Authority in the period (include the number of alternates in the second box):	Non-urgent	0	0
		Urgent	0	0
6	Number of modifications implemented in the period:		1	
<b>Area: Consultation</b>				
7	Number of consultations which closed in the period for the following types of modifications this includes any consultation raised at any point during the modification cycle, and any	Authority Consent – Non-urgent	0	
		Authority Consent –Urgent	0	
		Self-governance	1	
		Fast-Track self-governance	0	

	modifications with alternates should be counted as 1:	Self-governance - Urgent	0
8	Number of consultations to <b><i>non-urgent</i></b> modification, which closed in the period, that had a consultation period of <u>less than 15 business days</u>	Authority Consent	0
		Self-governance	0
		Fast-track self-governance	0
9	Number of consultations to <b><i>urgent</i></b> modifications which closed in the period, that had a consultation period of <u>less than 5 business days</u>	Authority Consent – Urgent	0
		Self-governance - Urgent	0
10	Number of modifications which had their final vote in the period, for which legal text <u>was not</u> available in the final consultation	Authority Consent – Non-urgent	0
		Authority Consent –Urgent	0
		Self-governance	0
		Fast-Track self-governance	0
		Self-governance - Urgent	0
<b>Area: Engagement</b>			
11	Number of new parties who have acceded to the code in the period		12
12	Number of times assistance was requested with access and engagement to code and the modification process in the period		2255
13	Number of respondents to Authority Consent modification consultations which closed in the period (this includes any consultation raised at any point during the modification cycle):	Minimum	0
		Mean	0
		Median	0
		Maximum	0
14	Number of respondents to Self-governance modification consultation which closed in the period (this includes any consultations raised at any point during the modification cycle):	Minimum	7
		Mean	7
		Median	7
		Maximum	7
<b>Area: Costs</b>			
15	Number of modifications which had their final vote in the period, for which an estimation of the central system implementation costs <u>was not</u> available in the final consultation:	Authority Consent – Non-urgent	0
		Authority Consent –Urgent	0
		Self-governance	0
		Fast-Track self-governance	0
		Self-governance - Urgent	0
16	Number of modifications, implemented in the period, where the central system implementation costs were zero:		1

17	Number of modifications, implemented in the period where the central system implementation costs were more or less than the advised prior to the final industry vote:	Greater than or equal to 10% <b><u>MORE</u></b> than the advised implementation cost	0
		Greater than or equal to 10% <b><u>LESS</u></b> than the advised implementation cost	0



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