DSC Change Proposal Document

Customers to fill out all of the information in the sections coloured

Xoserve to fill out all of the information in the sections coloured

# A1: General Details

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| --- | --- | --- | --- | --- |
| Change Reference: | XRN5341 | | | |
| Change Title: | UNC745 - Mandatory Setting of Auction Bid Parameters | | | |
| Date Raised: | 11/03/2021 | | | |
| Sponsor Representative Details: | Organisation: | National Grid – Gas System Operations | | |
| Name: | Mike Pratten | | |
| Email: | mike.pratten@nationalgid.com | | |
| Telephone: | 07766 082525 | | |
| Xoserve Representative Details: | Name: | Matt Rider | | |
| Email: | matt.rider@correla.com | | |
| Telephone: | 07769 685460 | | |
| Business Owner: |  | | |
| Change Status: | Proposal | | With DSG | Out for Review |
| Voting | | Approved | Rejected |

# A2: Impacted Parties

|  |  |  |
| --- | --- | --- |
| Customer Class(es): | Shipper | Distribution Network Operator |
| NG Transmission | IGT |
| All | Other <Please provide details here> |
| Justification for Customer Class(es) selection | Mandatory bid preferences to be set by shippers prior to bidding in auctions   * Where a User/Shipper has already updated their User/Shipper Preferences there will be no impact. * Where a User/Shipper has not already updated their User/Shipper Preferences they will need to do so else they will be unable to submit bids for the corresponding auctions. This applies to BID PRICE (PRICE) and BID CAPACITY (CAPACITY). * A user will continue to have the ability to change the Validation Parameters of BID PRICE (PRICE) and BID CAPACITY (CAPACITY), as well as BID VALUE (VALUE), the latter being out of scope as a mandatory requirement but should still be available for the Shipper to apply. There is an existing audit history facility. It is uncertain if this includes a date and time stamp for when the Users applied them or when they were overridden. We would like this to be consider as part of the analysis. | |

# A3: Proposer Requirements / Final (redlined) Change

|  |  |  |
| --- | --- | --- |
| Problem Statement: | There have been several instances of incorrect prices entered by Shippers in the last 12 months in short term capacity auctions. This has generated a considerable amount of work, impacted other auction participants (via invoices) due to the neutrality processing, as well as application of higher overrun charges, and remains a risk. Whilst it is the responsibility of Shippers to enter correct bid data, NG are seeking to reduce the current level of risk and explore system solutions that could support this.  NG presented at the Sept 2020 and Jan 2021 Ops Forums to reiterate Shippers’ responsibility to enter bid data correctly and remind them of the User and Shipper Preferences that allow for Bid Validation to be placed on the Method of Sale. It is currently the responsibility of Shippers to set up User/Shipper Preferences themselves, though we are unsure how many have put these in place. The recent issues suggest these may not be as widely used as we had thought and there have been instances where Shippers have overridden existing validation parameters to place bids.  NG shall be implementing a change in April 2021 via the Xoserve ME Team to populate the minimum reserve price at bid capture stage, this change was covered under Option B of the ROM submitted for this change. | |
| Change Description: | Preventing Shippers from placing bids on all short-term auctions until they have set up User/Shipper Preferences.  Short-term auctions defined as:   |  |  | | --- | --- | | **Gemini Entry** | **Gemini Exit** | | DADSEC | DADNEX | | WDDSEC | WDDNEX | | DISEC | DONEX |   *Please note that the DBSEC and DBNEX auctions for Buy Back should not be limited for Shippers. Also note that for IP auctions, validation is managed in PRISMA and not on the Gemini platform.*  When an attempt is made to place a bid where no User/Shipper preferences have been set up a message would appear stating that the shipper needs to set up User/Shipper preferences for that MoS before being able to participate in placing bids for the auction.  The Shipper would be prevented from placing bids until the User/Shipper preferences functionality is in place.  Gemini should be able to determine whether a shipper has preferences set up for one MoS over another i.e. if preferences are set up on the WDDNEX but not the DADNEX, the warning only appears for the DADNEX.  This change would apply to all shippers, existing and new.  Shippers must set up User/Shipper preferences for the VALIDATION PARAMETERS - BID PRICE and BID CAPACITY for all relevant locations and MoS’s that they participate in before being able to proceed with bid submission at BID CAPTURE/CAPTURE stage (Gemini - Capture > Create Bids and Gemini Exit - Capture > Capture Requests). These preferences should be captured for Gemini and Gemini Exit (where relevant to the individual Shipper/BA).  Note that in this and other documents NG has referred to ‘BID PRICE’ and ‘BID CAPACITY’, in the User/Shipper preferences screens of Gemini and Gemini the Validation Parameters are referred to as ‘PRICE’ and ‘CAPACITY’, there is also a parameter of ‘VALUE’ that for the purposes of this CP is not applicable/relevant to the requirement but should still remain an option for the Shipper User to apply as required.  Relevant file paths in Gemini and Gemini Exit:  Gemini Shipper Preferences - Home > Deal > Capture > SetUp Shipper Preferences > Query  Gemini Exit User Preferences - Home > Deal > Capture > Setup User Preferences  Where User/Shipper preferences have been set up and a shipper exceeds the validation parameters for either BID PRICE and/or BID CAPACITY, the shipper should still have the option to override the warning and continue to place a higher bid than the validation limits (as per current User/Shipper preferences).  The change should also apply to new functionality relating to API’s for placing bids that is being implemented through the Gemini Enhancements project. Where a shipper is using an API to place bids, validation parameters should not be circumvented.  An audit trail/report record to confirm which Shippers have set up User/Shipper preferences would be required if no current functionality exists, and should include a date and time stamp for when the validation was set up and if and when it has been overridden.  No impacts to external interfaces however a change pack(s) will be shared to explain the data/process changes being made.  This would align to the modification proposal - MOD0745 – and would follow timescales in relation to this process. | |
| Proposed Release: | Release: 1st October 2021 | |
| Proposed Consultation Period: | 10 Working Days | 15 Working Days |
| 20 Working Days | Other [None] |

# A4: Benefits and Justification

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| --- | --- |
| Benefit Description: | Reduced workload dealing with disputes  Removal of impact on other short term auctions market participants through Capacity Neutrality |
| *What, if any, are the tangible benefits of introducing this change? What, if any, are the intangible benefits of introducing this change?* |
| Benefit Realisation: | From the 1st October 2021 onwards |
| *When are the benefits of the change likely to be realised?* |
| Benefit Dependencies: | None |
| *Please detail any dependencies that would be outside the scope of the change, this could be reliance on another delivery, reliance on some other event that the projects has not got direct control of.* |

# A5: Final Delivery Sub-Group (DSG) Recommendations – Removed (see Section C for DSG recommendations)

# A6: Service Lines and Funding

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| --- | --- | --- | --- |
| Service Line(s) Impacted - New or existing |  | | |
| Level of Impact | Major/ Minor/ Unclear/ None | | |
| If None please give justification |  | | |
| Impacts on UK Link Manual/ Data Permissions Matrix |  | | |
| Level of Impact | Major/ Minor/ Unclear/ None | | |
| If None please give justification |  | | |
| Funding Classes  : | Customer Classes/ Funding | Delivery of Change | On-going Budget Amendment |
| Shipper | XX % | XX % |
| National Grid Transmission | XX % | XX % |
| Distribution Network Operator | XX % | XX % |
| IGT | XX % | XX % |
| Other <please specify> | XX % | XX % |
| ROM or funding details: |  | | |
| Funding Comments: |  | | |

# A7: ChMC Recommendation

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| --- | --- | --- | --- | --- |
| Change Status: | Approve | Reject | | Defer |
| Industry Consultation: | 10 Working Days | | 15 Working Days | |
| 20 Working Days | | Other [Specify Here] | |
| Expected date of receipt for responses (to Xoserve) | XX/XX/XXXX | | | |

|  |  |  |
| --- | --- | --- |
| DSC Consultation Issue: | Yes | No |
| Date Issued: | Click here to enter a date. | |
| Comms Ref(s): |  | |
| Number of Responses: |  | |

# A8: DSC Voting Outcome

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Solution Voting: | Shipper | | | Please select. |
| National Grid Transmission | | | Please select. |
| Distribution Network Operator | | | Please select. |
| IGT | | | Please select. |
| Meeting Date: | Click here to enter a date. | | | |
| Release Date: | Release: Feb / Jun / Nov XX or Adhoc DD/MM/YYYY or NA | | | |
| Overall Outcome: | No | Yes | If [Yes] please specify <Release> | |

Please send the completed forms to: [box.xoserve.portfoliooffice@xoserve.com](mailto:box.xoserve.portfoliooffice@xoserve.com)

Version Control

# Document

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| Version | Status | Date | Author(s) | Remarks |
|  |  |  |  |  |

# Template

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| --- | --- | --- | --- | --- |
| Version | Status | Date | Author(s) | Remarks |
| 3.0 | Superseded | 17/07/2018 | Emma Smith | Template approved at ChMC on 11th July 2018 |
| 4.0 | Superseded | 07/09/2018 | Emma Smith | Minor wording amendments and additional customer group impact within Appendix 1 |
| 5.0 | Superseded | 10/12/2018 | Heather Spensley | Template moved to new Word template as part of Corporate Identity changes. |
| 6.0 | Approved | 12/12/2018 | Simon Harris | Cosmetic changes made. Approved at ChMC on the 12th December 2018. |
| 6.1 | In Draft | 26/03/2019 | Richard Johnson/ Alison Cross | The following minor changes were made:   * Inclusion of an All ‘Impacted Parties’ option in A2 * Justification section added to section A2 * Change Description replaced with Problem Statement in section A3 * Remove ‘X’ in Release information (sections A3, A5, A7, C1 and G8) * Updated Service Line and UK Link impacts and funding section (A6) to include further detail * Amended questions 3 and 4 in section B * Added Service Line/UK link Assessment in section D * Removed Section A5 |
| 6.2 | For approval | 14/05/2019 | Alison Cross | Following review at DSC Governance review group re-added Change Description text box |
| 7.0 | Approved | 13/06/2019 | Richard Johnson | DSC Governance Review Group changes to the template approved at Change Management Committee on 12th June 2019 |