



REC Update

20 July 2022

Contract Management Committee

Planned Meetings Update & Key Milestones

2020						2021												2022					
Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun

Ofgem Consultation

REC V1.1 Interim Governance
 ★ Opens (Oct) ★ Closes (Nov) → ★ V1.1 Designated (Jan)

REC Licence Changes

Proposed Licence Changes Consultation
 ★ Opens (Nov) ★ Closes (Jan)
 CC Statutory Licence Consultation
 ★ Opens (Mar) ★ Closes (Apr) ★ Designated (Jul)

FS Statutory Licence Consultation
 ★ Opens (Sep) ★ Closes (Oct) ★ Designated (Mar)

REC v2 Code Consolidation

★ V2 Opens (Nov) ★ V2 Closes (Feb) ★ V2 Designated (Jul)

REC V3 Fast Switching

★ V3 Opens (Mar) ★ V3 Closes (Jul) ★ V3 Designated (Oct)

REC Consultation

Performance Assurance

★ Opens (Mar) ★ Closes (Apr) ★ Response (May)

REC/XO Contractuals'

★ REC Manager Proc Completed (Sep)
 ★ Drafting commences (Nov)
 ★ Enduring RECCo. Board Appointed (Mar)
 ★ CDSP FS Contract Signature (Jul)

Go Lives

★ REC V1.1 (Jan)
 ★ Code Consolidation (V2) (Aug)
 ★ GES Contract Signature (May)
 ★ CSS & GES (V3) (Jun)

Key:

- Meeting Scheduled
- Meeting Completed
- Meeting Originally Planned
- ★ Latest Milestone Date
- ★ Original Milestone Date
- ★ Completed Milestone

Ofgem Consultation Update V2

- REC V2 - REC Code Consolidation Consultation
 - Decision published 30 April 2021
 - CR -D092 submitted on 21 June 2021 to implement V2 schedules, any further amendments will need to be raised via change control process.
- CM Performance Assurance Consultation
 - Decision published 2 July 2021
- RCC Statutory Licence Changes Consultation
 - Decision published 2 July 2021

Ofgem Consultation Update V3

- REC V3 – Faster Switching Schedules
 - (Data Access Schedule; Interpretation; Data Management; Registration Service; Registrable Measurement Point Lifecycle; Address Management; Switching Service Management)
 - Xoserve response to Q2.1 and Q2.2 shared with CoMC
 - Xoserve submitted response 30 July
 - Final version of GES SD baselined February 2022
 - Xoserve seeking assurance from service provider that all requirements relating to GES provision can be met
 - Update on Gate Closure Deadline discussions with Ofgem / DCC (see subsequent Slides)
- Switching SCR
 - Statutory Licence Changes to Gas Supply Licence
 - Published 13 December 2021
 - Closed 24 January 2022
 - Statutory Licence Changes to Gas Shipper and Gas Transporter Licences
 - Published 17 February 2022
 - Close date 21st March 2022.

SCR- Impacts to UNC

- SCR –Code Consolidation REC V2 (Sept 2021)
 - Amendments to UNC to align with REC (B;G;M; GT-D)
 - Mod submitted by Ofgem for presentation at Mod Panel on 20 May /IGT Panel 28 May
 - Notice to implement Mod768 issued 2 July
 - Implementation to take effect 01 Sept 2021
- SCR – Faster Switching REC V3 (summer 2022)
 - Queried consequential changes published –awaiting further update
 - We have been asked by Ofgem to describe the changes at DWG and RDUG
 - We presented at DWG – no issues arising
 - We provided the same update to IGT WG on 11/11/21
 - Discussed at RDUG on 11/11/21
 - Change in approach – consultation will now take place via UNC rather than RDUG as originally planned - text circulated 3rd December 2021
 - We are not seeking to amend from the version produced and provided to Ofgem in March 2021
 - Potential change to GT-D
 - Some numbering clarifications
 - Further assessment required to consider Mods implemented since March 2021
- Transition Mod – 0784S – Legal Text provided, WG Report to be concluded in January 2022.
- SCR Mod – 0804 – Mod Panel decision to implement. Final Mod Report issued to Ofgem 22 April 22.
- PAFA – Ofgem has confirmed governance around provision of data to PAFA remains under UNC
- DPM -
 - DPM structure post REC – expected to retain same structure as today as PAFA will need 'Retail' data. CoMC will continue to approve release of this data to PAFA. (See subsequent slides)
 - DPM will maintain 'Wholesale' data permissions for other parties – e.g. Electricity System Operator, Research Bodies and Local Authorities. NB: I have proposed that we retain Community UNC Parties for Wholesale data too.
 - Further work has progressed with RECCo on DAM – RECCo have issued DAM for review at RDUG (issued 07/04/22) – see subsequent slides on DAM / DPM alignment points
 - Xoserve to draft 'side letter' to set out how DPM will be managed going forward. RECCo has agreed this is a sensible approach.

Release of Protected Information to RECCo

- UNC Mod 0762 and IGT UNC Mod 155 added the Retail Energy Code Company as a new User type to the Data Permissions Matrix – implemented with effect from 12 July 2021 (UNC) and 23 July 2021(IGT UNC)
- Progressed work with the Performance Assurance (RPA) Code Manager in parallel with Mod development
 - Anonymised data extract provided to RPA for assessment
 - DRR approved at June CoMC
 - Amended DRR approved at July CoMC
 - Further amended DRR approved at October CoMC
- We have been approached by RPA to start discussing future data requirements regarding REC v3

Activities Completed

- DSC CP has been raised (XRN5352 – Development of the REC Performance Assurance reporting)
 - CP raised to cover support costs to:
 - Perform analysis,
 - Verify accessibility of data
 - Generate sample reports (and redact / pseudonymise data until UNC / IGT UNC Mods approved)
 - Continue to develop final RPA reporting
 - First set of reports to be issued in November 2021
- Discussions to be held with RECCo ahead of GES contract negotiations:
 - DSC Customer access to gas enquiry services – provide verbal update following Ofgem conversations
 - Scope of GES - verbal update following RECCo discussions to determine the scope of the Gas Enquiry Services RECCo will provide and the scope of the services Xoserve will provide to RECCo as the GES Provider.
 - July 2022 update – RECCo has determined that the Ofgem GGL API is not a GES Service – Xoserve will continue to contract with Ofgem to provide this service directly.
- Consequential changes required to SDT as a result of V2 go live approved at October CoMC

Previous Discussion Points

- Test Environment – until now there has been no enduring requirement
 - DCC are proposing that *they* provide an enduring environment will now be required, we do not believe that we have a permanent test environment requirement
- When does Xoserve stop onboarding new customers for services that are moving to GES? Agree no further customers to be onboarded post 01 May 2022. No further Research Body requests assessed post 01 April 2022. Housing Association requests will be accepted until 01 June 2022
- Update on XRN5471 (Services to release data to UNC parties – agreed on 15 June that this would be deferred until post Go Live)
- DSC party access to GES post CSS go live:
 - DNs access as a REC Party (no separate Access Agreement)
 - Shippers access as Non-Party REC Service User (sign separate Access Agreement)
 - Shippers who share same company registration details with Supplier will be considered a REC Party and do not need to sign a separate Access Agreement

Next Steps

- Xoserve to assess changes that will be required to the DSC at V3 go live – expect changes to fall into the following categories: cosmetic changes to align to UNC changes; changes to remove services that move into GES; removal of M Number Data File; introduction of GRDA role. Propose changes to be drafted for approval at May CoMC and to take effect from CSS Go Live.
- Changes will be required to the CDSP Service Document -Third Party and Additional Services Policy (for approval at April CoMC)
 - 2.3.1 (e) the aggregate amount of the CDSP's turnover attributable to Third Party Services (excluding Charges payable under UK Link User Agreements) does not, and will not as a result of entering into the TPS Agreement, exceed 2.5% of the CDSP's overall turnover; and [propose to either carve out provision of GES or increase limit to 10%]
 - 2.4.1 ((b) other than in respect of a UK Link User Agreement with a Trader User [or the provision of the Gas Enquiry Service to RECCo], the term of the TPS Agreement does not exceed 24 months', or the CDSP may terminate the TPS Agreement without liability on not more than 24 months notice;
- Commence work to remove M Number Data File at V3 go live – email to Ofgem requesting extension of service. Ofgem has confirmed the service will cease at CSS go live. Emails issued to Shippers and Suppliers who receive the service (w/c 09 May 22) to confirm final 2 data extracts will be released on 31 May and 30 June.
- Termination letters to all third party customers currently taking services that will move to GES issued w/c 23 May 2022.
- Assessing options to support DSC party access to data – discussion to be held following issue of customer communication relating to services being removed. **This activity will resume post Go Live.**
- Industry seminar held during 1st week of April – FAQs published on REC Portal and Salesforce
- Further comms to be shared over coming weeks

Other CM Engagements

- Engagement ongoing with the Technical Assurance Code Manager
 - Provision of metadata for EMAR population
 - Need to define the process for provision of on-going change (and integration of the Change Management processes)
- Engagement with Performance Assurance Code Manager
 - Agreed scope for transition activities
 - Align issuing of termination letters from Xoserve with Access Agreements from RECCo
 - Discussions ongoing with RPA regarding reporting of Xoserve obligations (NB: GRDA will be covered under DSC)

Other CM Engagements – for awareness

- Engagement initiated with the Governance Code Manager
 - Awaiting confirmation of what products are expected at what stage during the change cycle
 - IA level – ROM? HLSO?
 - Design products – High Level Solution options? Revised interface design formats (file formats / screens / rejection codes?)
 - Impacts are not possible to assess until available
 - We need to assess how this will integrate with the DSC change processes
 - We understand that major releases would be implemented in line with electricity (Thursday evening), and that there will be three major releases (Feb / June / Nov)
 - We anticipate that some types of releases relaxation of SLAs, amending invoice cycles

Change Management Assessments

- At the previous CoMC we agreed to highlight the changes received through the REC, we have proposed the following format ... we provided the following as the proposal for the format – does this work?
- Do we add to the CoMC agenda?
- This has been added to ChMC

REC Change Proposal

Ref	Title	Change Summary	Stage	Position	Additional Com
R0001	Amendment to DCC Service Flag (D151130) Data Item	SEC Modification Proposal 077 proposes to update the values, and business rules, of the DCC Service Flag Data Item. This modification has been approved for implementation on 4th November 2021 by the SEC, and a consequential change is required to the Data Specification to reflect this.	Initial Review	The CDSP would like to express it's support for R0001. This change has been on our radar for a number of months, as communicated under SEC MP077 and we have been working with DSC customers in delivering the changes required to UKL Systems to facilitate the proposal (under XRN5142) and is in scope of our UK Link November-21 Major Release delivery.	None
R0002	Review of Data Specification Category in REC Baseline Statement	The Data Specification was not included in the Switching Programme Change Requests that gave effect to REC V2.0. Therefore, the Data Specification was not formally designated as part of REC V2.0 and has temporarily been added to the REC Baseline Statement as a Category 3 product. The Category 3 change process is not appropriate for these, as changes to Category 3 products should not cause a material impact to parties and stakeholders. Proposal: Standards Definition, Market Message Catalogue, Data Item Catalogue and Data Access Matrix should be designated as part of the REC itself, as Category 2 products.	Initial Review	The CDSP agree with the proposal of amending the categories of change under the Change Management Schedule. We expect that this proposal will have limited impacts to the CDSP as the majority of interfaces that the CDSP contribute towards the EMAR are controlled under the existing DSC and UNC.	None



Late Gate Closure Messages

Update

- Communications were issued to DSC Contract Managers to obtain contacts for the Incident Communications in the event of becoming aware of 'late' Gate Closure Messages
- Within the Slides that we provided we defined:
 - Key Time Periods and the identified Impacts to parties
 - Highlighted that XRN5535 had been raised to deal with receipt of messages in TP5 – this will require further engagement
 - A set of follow on actions for us to progress through REC and with the CSS Provider



DPM Update

DPM Changes for REC v3 Go Live

- We have retained the REC DAM / DPM in alignment following agreement at Jan 22 CoMC
- Following REC v3 Go Live Date then the DPM needs to reflect the future form
- REC Data Access Matrix (DAM) will maintain access to data for parties defined in the Data Access Schedule (see next slide)
- Consequently, the DPM will no longer define access for these parties
- The DPM will define:
 - Access for PAFA
 - Other parties who are not UNC Parties who require access to data under the UNC – e.g. DMSP
 - Parties who are seeking to access ‘Wholesale Data’
 - Data provided to RECCo as CDSP Further Services Provider

DPM Changes for REC v3 Go Live

- Parties defined in the Data Access Schedule in REC

- 4.3. The [Enquiry Service](#), [User Categories](#), which shall be maintained in the [Data Access Matrix](#), are as follows:
- (a) a separate category for each [Market Role](#);
 - (b) [Third Party Intermediary](#) and [Third Party Intermediary Service Providers](#);
 - (c) [Non-Domestic Consumer](#) (for portfolio access);
 - (d) local authorities in Great Britain;
 - (e) the UK Government Department for Work and Pensions ([electricity only](#));
 - (f) HM Revenue and Customs, UK police forces and other law enforcement agencies ([electricity only](#));
 - (g) the UK Office for National Statistics;
 - (h) the [Energy Theft Tip-Off Service](#) Provider;
 - (i) the UK Revenue Protection Association;
 - (j) Citizens Advice/ Citizens Advice Scotland, and the Energy Ombudsman;
 - (k) the [Microgeneration Certification Scheme Service Company](#) ([electricity only](#));
 - (l) the [Alt HAN Company](#) (established under the Smart Energy Code);
 - (m) Energy (UK) ([electricity only](#));
 - (n) the BSC Technical Assurance Agent ([electricity only](#));
 - (o) the [Authority](#);
 - (p) [research bodies \(gas only\)](#);
 - (q) [Prepayment Meter Infrastructure Provider](#);
 - (r) the [Code Manager](#); and
 - (s) a separate category for each manager or administrator of each other [Energy Code](#).

DPM Changes

- Amendments to the DPM:
 - Retain parties who may wish to access 'Wholesale Data':
 - UNC / IGT UNC Parties
 - Research Body
 - Local Authorities
 - The above parties will have all data in the DPM set to 'No' until we receive a 'Wholesale Data' Request
 - ESO data is expected to be 'Wholesale' so this is left 'As Is'
 - PAFA will retain access to the existing (Retail) data as agreed by CoMC and REC – to ensure that UNC continues to determine what data is accessible
 - RECCo will be retained on the DPM as we provide services to RECCo as CDSP.

Accession	Accession
COMMUNITY	Shipper
COMMUNITY	Gas Transporters (Inclusive of DNOs & NTS)
COMMUNITY	IGT
COMMUNITY	Supplier
PORTFOLIO	Supplier
PORTFOLIO	Meter-Asset-Manager (MAM)
COMMUNITY	Meter-Asset-Provider (MAP)
PORTFOLIO	Meter-Asset-Provider (MAP)
COMMUNITY	Price-Comparison-Website (PCW)
COMMUNITY	Third-Party-Intermediary (TPI)
COMMUNITY	The parties given the power of investigation and consumer issue resolution
PORTFOLIO	Industrial & Commercial Customer
COMMUNITY	Energy Theft Tip-Off Service (ETIOS)
COMMUNITY	Theft Risk Assessment Service (TRAS)
COMMUNITY	The holder of the smart meter communications licence
COMMUNITY	Research Body Access to data items to be determined (TBD) by an
COMMUNITY	Performance Assurance Framework Administrator (PAFA)
COMMUNITY	Alternative Home Area Network Company (ALTHANCo)
COMMUNITY	The holder of an "Electricity Transmission Licence" (as defined in Section 6(b) in the Electricity Act 1989)
	RECCo
	Local Authorities

DPM Changes

- Following implementation of the SCR text the following text has been removed from the UNC (TPD V 5.5.2 (j))– so a Mod is not mandated in order to add parties to the DPM

(j) ~~to the disclosure of Protected Information by the CDSP in accordance with the provisions of the Data Permissions Matrix (as defined in paragraph 5.2.1(g) of section D of the General Terms). For the avoidance of doubt, a Code Modification is required to add a new User type to the Data Permissions Matrix.~~

- GT-D now reflects that the DPM will be managed by CoMC:

2.6.7 The CDSP shall not agree pursuant to Third Party Services to provide data to any person (other than a Core Customer) except:

- (a) to the extent permitted in the DPM;
- (b) to the extent required for the purposes of the CDSP complying with any contract between the CDSP and RECCo relating to provision of 'CDSP Further Services' (as defined in REC);
- (c) for the purposes of the Gas Enquiry Service (where provided by the CDSP) to the extent permitted in the DAM).

2.6.8 DPM may (and may only) be modified by a decision of the Contract Management Committee.

DPM Changes

- We think that we need to add the DMSP to the DPM (previously as a Transporter appointed Agent we hadn't done so), as it's a CDSP appointment (similar to PAFA)
 - Are the Committee supportive of adding them to the DPM?
 - Suggest we use the DRR process to document adding them to the DPM (and in this instance, the data that they are accessing – as this should be 'As Is')
 - In future requests we may still need to define the actual data items required – so still may be a two step process
 - We will discuss adding the DMSP to the DPM with REC, but same principle will apply as PAFA – i.e. is required to perform the UNC Role
 - Any further notifications needed to UNC Parties in lieu of a Mod (e.g. CDSP to draft a notice that is issued by JO to Code Parties)
 - Any further notifications need to DSC Parties (e.g. CDSP to draft a notice that is issued to DSC Contract Managers)