

Action OC/0302 Joint Office (BF) to clarify the definitions of subsidiary and ancillary documents.

This action is to provide a view on the governance and status of UNC Subsidiary, Ancillary and Related Documents:

1. In summary:

Ancillary Agreements are typically arrangements made between a Transporters and 1 or more Shipper Users. Amendments are typically through the Modification process.

Subsidiary Documents support processes highlighted in OAD and are typically Transporter to Transporter documents which are governed through the Offtake Committee.

IGTAD Subsidiary Documents support processes highlighted in IGTAD and are typically Transporter to IGT documents which are governed through the IGTAD Committee.

UNC Related documents are found in both TPD and OAD and are typically Transporter or industry documents that support processes outlined in Code that are also likely to have a Shipper impact and are governed through the Uniform Network Code Committee (UNCC).

2. Ancillary Agreements:

Ancillary Agreements are referenced in UNC TPD Section V and is an agreement between a Transporter and one or more users (excludes Traders and DNOs). Governance would be identified in each agreement and in some cases would be through the use of the Modification Process and not be referral to a UNC Committee.

EXTRACT FROM CODE

1.1 Ancillary Agreement

1.1.1 An "Ancillary Agreement" is an agreement between the Transporter and one or more Users setting out any terms of a transportation arrangement (as defined in Standard Special Condition A3 of the Transporter's Licence) in relation to the relevant System(s):

(a) entered into pursuant to any provision of the Code which contemplates that such an Agreement may be entered into; or

(b) which expressly provides that it is to be a "Network Code Ancillary Agreement" for the purposes of this Section V.

3. Subsidiary Documents

Subsidiary documents are referenced in OAD Section N General and are specifically Transporter to Transporter documents with no direct Shipper or Trader User involvement in the governance process.

Documents can be created by agreement between the parties, with approval by the Offtake Committee or by use of the Modification process. Maintenance is undertaken by the Offtake Committee which is a Transporter only committee.

EXTRACT FROM CODE:

1.2 Subsidiary Documents

1.2.1 In this Document, "Offtake Subsidiary Document" means each of the following documents:

- (a) the SCO Interface Procedures (referred to in Section C3);*
- (b) the Offtake Communications Document (referred to in Section M);*
- (c) the Validation Procedures (referred to in Section D3);*
- (d) the Emergency Procedures E2 (referred to in Section C2.3);*
- (e) the document TD76 (referred to in Section H1.3.1);*
- (f) the Transmission System Operator to Distribution System Operator Agreement Guidelines (referred to in Section N9);*
- (g) the OAD Template Agreements Document (referred to in Section A3.1.1 and paragraph 3.1.2);*
- (h) any other document which may be specified or may be agreed by the Parties to be a Offtake Subsidiary Document.*

1.2.2 The version of each the Offtake Subsidiary Documents prevailing at the date of this Document is the version issued by National Grid Gas plc on or before the date of this Document.

1.2.3 The Offtake Committee shall keep each Offtake Subsidiary Document under review.

1.2.4 Any Party may propose a modification to an Offtake Subsidiary Document.

1.2.5 The Offtake Committee shall establish and implement the procedure to be adopted in relation to a proposal to modify an Offtake Subsidiary Document.

1.2.6 A modification of an Offtake Subsidiary Document shall be made by the decision (in accordance with paragraph 8.5) of the Offtake Committee and not otherwise.

1.2.7 The Parties shall comply with the Offtake Subsidiary Documents.

4. IGTAD Subsidiary Documents

IGTAD Subsidiary documents are referenced in IGTAD Section F and are specifically Transporter to ITG documents with no direct Shipper or Trader User involvement in the governance process.

Documents can be created by agreement between the parties, with approval by the IGTAD Committee or by use of the Modification process. Maintenance is undertaken by the IGTAD Committee which is a Transporter/IGT only committee.

EXTRACT FROM CODE:

1.2 Subsidiary Documents *1.2.1 In this Document, "IGTAD Subsidiary Document" means any document which may be specified or may be agreed by the Parties to be a IGTAD Subsidiary Document.*

1.2.2 The version of each IGTAD Subsidiary Document prevailing at the date of this Document is the version issued by National Grid Gas plc on or before the date of this Document.

1.2.3 The IGTAD Committee shall keep each IGTAD Subsidiary Document under review.

1.2.4 Any Party may propose a modification to an IGTAD Subsidiary Document.

1.2.5 The IGTAD Committee shall establish and implement the procedure to be adopted in relation to a proposal to modify an IGTAD Subsidiary Document.

1.2.6 A modification of an IGTAD Subsidiary Document shall be made by the decision (subject to and in accordance with paragraph 7.5) of the IGTAD Committee and not otherwise.

1.2.7 The Parties shall comply with the IGTAD Subsidiary Documents.

5. UNC Related Documents

UNC Related documents are referenced in TPD Section V and are generally Transporter or industry documents that support processes outlined in Code that are also likely to have a Shipper impact.

Documents can be created by use of the Modification process. Maintenance is undertaken by the Uniform Network Code Committee (UNCC) which includes Shipper and Transporter voting members.

EXTRACT FROM CODE:

TPD Section V

12 GENERAL PROVISIONS RELATING TO UNC RELATED DOCUMENTS

12.1 Purpose

The purpose of this Section is to establish generic governance arrangements in respect of the following UNC Related Documents (each a “Document” and collectively the “Documents”):

(a) Network Code Operations Reporting Manual as referenced in Section V9.4;

(b) Network Code Validation Rules referenced in Section M5.3.3;

(c) ECQ Methodology as referenced in Section Q6.1.1(c);

(d) Measurement Error Notification Guidelines for NTS to LDZ and LDZ to LDZ Measurement Installations as referenced in OAD Section D3.1.5.

(e) the Distribution Network Operator Designated Class 1 Guidance Document referred to in Section B4.7;

(f) the Customer Settlement Error Claims Process Guidance Document referenced in Section E1.3.10;

(g) the Performance Assurance Framework Document referenced in paragraph 16.1.1(d); and

(h) the Performance Assurance Report Registers referenced in paragraph 16.5.1.