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[Senior Capacity Auction Analyst]  
Market Operation

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[dependant on decision date]

Dear Colleague

### **General Information only on the Bacton Capacity Reallocation Invitation Process**

**Please note if you do not hold any Registered NTS Entry Capacity at the Bacton ASEP from 1 November 2015 onwards there is no action required.**

Following implementation of UNC Modification 0501BV, National Grid Gas plc ("National Grid") will invite all Users who hold Registered NTS Entry Capacity and also all Users that are Transferor Users holding existing NTS Capacity Transfers at the Bacton ASEP for one or more of the calendar months November 2015 to September 2016 and for one or more of the quarters beginning 1 October 2016 and ending 30 September 2031, to take part in the Bacton Capacity Initial Reallocation Invitation Process to reallocate their existing Registered NTS Entry Capacity and existing NTS Capacity Transfers<sup>1</sup> for the identified Months/Quarters to the Bacton UKCS or Bacton IP ASEPs.

Users who hold Registered NTS Entry Capacity at the Bacton ASEP from 1 November 2015 onwards and Transferor Bacton Users who hold NTS Entry Capacity Transfers from 1 and invited to reallocate this capacity to the Bacton UKCS or Bacton IP ASEPs.

#### **Bacton Capacity Initial Reallocation Invitation**

If the Initial Capacity Reallocation Process fully reallocates all existing Registered NTS Entry Capacity for all Months/Quarters to the two new ASEPs, National Grid will undertake the reallocation of Registered NTS Entry Capacity and recreate NTS Capacity Transfers at the Bacton UKCS or Bacton IP ASEPs on each Users' behalf, Users will not be required to undertake any actions on National Grid's systems and no further invitations will be issued.

#### **Bacton Capacity Final Reallocation Invitation**

If, however, the Initial Capacity Reallocation Process does not fully reallocate all existing Registered NTS Entry Capacity for all Months/Quarters to the two new ASEPs, National Grid will subsequently issue a Bacton Capacity Final Reallocation Invitation to all Users holding Registered NTS Entry Capacity at the Bacton ASEP for the Months/Quarters oversubscribed only, to re-indicate where they would wish their Registered NTS Entry Capacity rights and their NTS Capacity Transfers<sup>1</sup> to be held in future; either at the Bacton UKCS ASEP or the Bacton IP ASEP. Again National Grid will undertake the reallocation of Registered NTS Entry Capacity and NTS Capacity Transfers to the two new ASEPs on the Users' behalf, and the User will not be required to undertake any actions on National Grid's systems.

#### **Bacton Capacity Return Process**

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<sup>1</sup> Only where User is a Transferor User

If the Final Capacity Reallocation Process does not fully reallocate all existing Registered NTS Entry Capacity for all Months/Quarters to the two new ASEPS as the aggregate level of NTS Entry Capacity is above the relevant obligated level at each of the Bacton UKCS ASEP and Bacton IP ASEP in any Month/Quarter, National Grid will allocate the relevant Month/ASEP or Quarter/ASEP combination prorata to the aggregate amount applied for by all Users. Following proration, any quantity of NTS Entry Capacity allocated to a User in excess of the level that the User has requested at that ASEP, shall be considered Returnable Capacity and National Grid will issue a Bacton Capacity Return Invitation for the User to submit the quantity of Returnable Capacity to be returned to National Grid for the relevant Bacton ASEP by period (Month or Quarter). Again following the Return Process, National Grid will update its computer systems and the User will not be required to undertake any actions on National Grid NTS' systems.

National Grid's IT systems will be updated by 30 September 2015.

Please see the process timeline below.

Process Steps	Initial Invite	Users Response by <sup>2</sup>	NG notify results by	Final Invite by	Users Response by <sup>3</sup>	NG notify Results by	Return Invite	Users Respond by <sup>4</sup>	Results	Systems Updated by
<b>Date</b>	[xxxx]	[xxxx]	[xxxx]	[xxxx]	[xxxx]	[xxxx]	[xxxx]	[xxxx]	[xxxx]	

Please note that this message is being sent by e-mail via the Joint Office of Gas Transporters and web-fax (business hours operational list). An Automated Notification Service (ANS) message will also be issued informing Users that the invitation has been issued.

For avoidance of doubt, expressions used within this letter should be interpreted as having the same meaning as per the UNC Document.

If you have any queries regarding this application process please contact a member of the capacity auctions team on +44 (0)1926 65 4057. Alternatively you can e-mail the capacity team regarding any capacity issues at [capacityauctions@nationalgrid.com](mailto:capacityauctions@nationalgrid.com)

Yours Sincerely,

B Charles  
Market Operation, National Grid

<sup>2</sup> Users can submit their requests between 08.00hrs and 17.00hrs for each Business Day (xx xx xx xx xx xx xx xx xx xx)

<sup>3</sup> Users can submit their requests between 08.00hrs and 17.00hrs for each Business Day (xx xx xx xx xx xx xx xx xx xx)

<sup>4</sup> Users can submit their requests between 08.00hrs and 17.00hrs for each Business Day (xx xx xx xx xx xx xx xx xx xx)

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[ dependant on process start date ]

Dear [User A]

**Bacton Capacity Initial Reallocation Invitation Process**  
**Invitation to participate in the Initial Capacity Reallocation of existing Registered NTS Entry**  
**Capacity at Bacton from 1 November 2015 onwards**

Following on from the information note sent [xxxxxx] and the implementation of UNC Modification 0501BV , National Grid Gas plc (“National Grid”) invites you to take part in the Bacton Capacity Initial Reallocation Invitation Process to reallocate your existing Registered NTS Entry Capacity and existing NTS Capacity Transfers<sup>1</sup> at the Bacton ASEP to the Bacton UKCS or Bacton IP ASEPs for one or more of the calendar months from November 2015 to September 2016 and for one or more of the quarters beginning 1 October 2016 and ending 30 September 2031.

Your existing Registered NTS Entry Capacity rights at the Bacton ASEP and the existing weighted average price for that capacity for the relevant periods are detailed in the following table:

<b>BA Code:</b>	AAA	<b>Shipper Name:</b>	User A
<b>Sub-transaction period start date</b>	<b>Sub-transaction period end date</b>	<b>Existing Registered NTS Entry Capacity at Bacton ASEP (kWh/d)</b>	<b>Existing weighted average price (p/kWh/d)</b>
1 Nov 2015	30 Nov 2015		
1 Dec 2015	31 Dec 2015		
1 Jan 2016	31 Jan 2016		
1 Apr 2031	30 Jun 2031		
1 Jul 2031	30 Sep 2031		

<sup>1</sup> Only where the Bacton User is the Transferor User

Your existing NTS Capacity Transfers<sup>1</sup> are detailed in the following table:

BA Code	AAA	Shipper Name	User A
<b>Sub transaction period start date</b>	<b>Sub-transaction period end date</b>	<b>Existing NTS Entry Capacity Transfer at Bacton ASEP</b>	<b>Transferee</b>
1 Nov 2015	30 Nov 2015		
1 Dec 2015	31 Dec 2015		
1 Jan 2016	31 Jan 2016		
↓	↓		
1 Apr 2031	30 Jun 2031		
1 July 2031	30 Sep 2031		

Please could you indicate at which ASEP (Bacton UKCS or Bacton IP) you wish these existing Registered NTS Entry Capacity rights and existing NTS Entry Capacity Transfers<sup>1</sup> to be held in future for the relevant periods indicated using the attached proforma included within Appendix 1.

**Please note**

- the total quantity of NTS Entry Capacity that you request at the two new ASEPs (Bacton UKCS and Bacton IP) should be equal to the existing amount that you hold at the Bacton ASEP for each relevant period.
- the total quantity of NTS Entry Capacity Transfers that you request at the two new ASEPs (Bacton UKCS and Bacton IP) should not be greater than the lesser of either your existing quantity of NTS Entry Capacity Transfers or your Registered NTS Entry Capacity for each relevant period
- as Transferor User please provide the name of the Transferee User and quantity by relevant ASEP by period (Month or Quarter)

**Users can submit their completed proforma between 08.00hrs and 17.00hrs on each of the following 10 Business Days (xx xx xx xx xx xx xx xx xx xx) via the following facsimile number:**

**Direct fax: +44 (0)1926 654059**

**Please note the completed proforma should be returned to National Grid no later than 17.00 [xx]**

No later than 5 Business Days following the final date for the return of the Appendix 1 proforma, National Grid will undertake the Initial Capacity Reallocation process which consists of the following steps:

- National Grid will calculate the aggregate level of NTS Entry Capacity rights requested by Users for each Month/Quarter at the Bacton UKCS ASEP and the Bacton IP ASEP
- Provided that the aggregate level of NTS Entry Capacity rights requested is below the relevant Obligated level of NTS Entry Capacity at each of the Bacton UKCS ASEP and the Bacton IP ASEP for a given Month/Quarter, National Grid will allocate that NTS Entry Capacity and NTS Capacity Transfers in accordance with the User's individual requests
- If in any Month/Quarter and at either ASEP, the aggregate level of NTS Entry Capacity rights is above the relevant Obligated level of NTS Entry Capacity, National Grid will not allocate any NTS Entry Capacity rights to Users for that Month or Quarter (but will allocate for the other Months or Quarters that are below the obligated level)
- National Grid will publish (via the Joint Office) the aggregate results of the Initial Capacity Reallocation to all Users, and will fax directly the individual reallocations to the individual User for each of the two new ASEPs. This note will contain:
  - Relevant Months or Quarters
  - ASEP
  - Quantity in kWh/day allocated
- National Grid will also inform relevant Users of any Months or Quarters which are not reallocated as the aggregate level of NTS Entry Capacity rights requested was above the relevant Obligated

level of NTS Entry Capacity at either new ASEP and therefore that a second invitation (the Bacton Capacity Final Reallocation Invitation) will be issued.

### **Initial Capacity Reallocation**

If the Initial Capacity Reallocation fully reallocates all existing Registered NTS Entry Capacity for all Months/Quarters to the two new ASEPs, National Grid will undertake the reallocation of Registered NTS Entry Capacity and NTS Capacity Transfers at the Bacton ASEP on your behalf as per your request and the process will be completed. This will not require any User to undertake any actions on National Grid's systems or for National Grid to issue any further invitation to Users.

### **Final Capacity Reallocation**

If, however, the Initial Capacity Reallocation does not fully reallocate all existing NTS Entry Capacity for all Months/Quarters to the two new ASEPs, National Grid will issue [on dependant on when process started ], for Months/Quarters that are oversubscribed only, a Bacton Capacity Final Reallocation Invitation to all Users who at that time hold Registered NTS Entry Capacity at the Bacton ASEP for the particular Months/Quarters affected to re-indicate where they would wish their Registered NTS Entry Capacity rights and NTS Entry Capacity Transfers<sup>1</sup> to be held in future; either at the Bacton UKCS ASEP or the Bacton IP ASEP.

### **Capacity Return Process**

If the Final Capacity Reallocation Process does not fully reallocate all existing Registered NTS Entry Capacity for all Months/Quarters to the two new ASEPS due to oversubscription. National Grid will allocate the relevant oversubscribed Month/ASEP or Quarter/ASEP combination prorata to the aggregate amount applied for by all Users. Following proration, any quantity of NTS Entry Capacity allocated to a User in excess of the level that the User has requested at that ASEP, shall be considered Returnable Capacity and National Grid will issue a Bacton Capacity Return Invitation for the User to submit the quantity of Returnable Capacity to be returned to National Grid for the relevant Bacton ASEP by period (Month or Quarter). Again following the Return Process, National Grid will update its computer systems and the User will not be required to undertake any actions on National Grid NTS' systems.

National Grid will endeavour to contact you if you do not respond or respond incorrectly<sup>2</sup> however if a correct response is not received by 17:00pm on xxx, National Grid will assume that you wish to reallocate your Registered NTS Entry Capacity for each of the relevant months or quarters for which you hold Registered NTS Entry Capacity between the Bacton UKCS ASEP and the Bacton IP ASEP in the same proportion as given by the new Licence Obligated levels at the two ASEPs and the Transfer quantity in the same proportion as the User's split of their primary holdings.

National Grid's systems will be updated by 30 September 2015

For avoidance of doubt, expressions used within this Invitation Letter should be interpreted as having the same meaning as per the UNC Document.

If you have any queries regarding this application process please contact a member of the capacity auctions team or myself on +44 (0)1926 65 4057. Alternatively you can e-mail the capacity team regarding any capacity issues at [capacityauctions@nationalgrid.com](mailto:capacityauctions@nationalgrid.com)

Yours Sincerely,

B Charles  
Market Operation, National Grid

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<sup>2</sup> A User cannot request reallocation of a quantity less than or greater than their Registered NTS Entry Capacity for the month/Quarter in question or their Registered NTS Entry Capacity at the Bacton ASEP. A Transferor User cannot request reallocation of a quantity of NTS Entry Capacity Transfers greater than the lesser of, either their existing quantity of NTS Entry Capacity Transfers for the Month/Quarter in question or their Registered NTS Entry Capacity at the Bacton ASEP.

## APPENDIX 1

### Bacton Reallocation Process – Initial Capacity Reallocation

Capacity Team, Warwick	Tel: 01926 65 4057	Fax: 01926 65 4059
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BA Code : (i.e. Gemini 3 letter code) _____			
Shipper Name _____			
<b>Confirm that the following firm entitlements are to be reallocated to the Bacton UKCS or Bacton IP ASEP</b>			
Sub-transaction period start date	Sub-transaction period end date	NTS Entry Capacity to be registered at Bacton UKCS ASEP (kWh/d)	NTS Interconnector Entry Capacity to be registered at Bacton IP ASEP (kWh/d)
1 Nov2015	30 Nov 2015		
1 Dec 2015	31 Dec2015		
1 Jan 2016	31 Jan 2016		
↓	↓		
↓	↓		
1 Apr 2031	30 Jun 2031		
1 Jul 2031	30 Sep 2031		

BA Code : (i.e. Gemini 3 letter code) _____				
Shipper name _____				
<b>Confirm that the following transfers are to be reallocated to the Bacton UKCS or Bacton IP ASEP</b>				
Sub-transaction period start date	Sub-transaction period end date	NTS Entry Capacity Transfers to be allocated at Bacton UKCS ASEP (kWh/d)	NTS Entry Capacity Transfers to be allocated at Bacton UKCS ASEP (kWh/d)	Transferee
1 Nov2015	30 Nov 2015			
1 Dec 2015	31 Dec2015			
1 Jan 2016	31 Jan 2016			
↓	↓			
↓	↓			
1 Apr 2031	30 Jun 2031			
1 Jul 2031	30 Sep 2031			

Please complete:

Fax Originated By		Fax Received By	
Company		On Behalf Of	National Grid
Signature		Signature	
Position		Position	
Date		Date	
Tel No		Tel No	01926 65 4057
Fax No		Fax No	01926 65 4059

**Please note: Illegibility and/or failure to complete the proforma correctly will result in NON input of data**  
National Grid Gas plc. Registered in England. No 2006000. Registered Office 1-3, Strand, London, WC2N 5EH

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[www.nationalgrid.com](http://www.nationalgrid.com)

[dependant on start date]

Dear [User A]

**Results of Reallocation of existing Registered NTS Entry Capacity at Bacton from 1 November 2015 onwards (following initial reallocation)**

Please find the results of the Initial Capacity Reallocation showing your individual reallocations of NTS Entry Capacity and NTS Entry Capacity Transfers<sup>1</sup> for each of the two new ASEPs within Appendix 1, which displays;

- i. Relevant Months or Quarters
- ii. ASEP
- iii. Quantity in kWh/day allocated

**This does not require any User to undertake any actions on National Grid's systems**

(internal note - if all allocated use next paragraph)

Please note for all Months/Quarters the aggregated requests were below the Obligated level of either the Bacton UKCS ASEP or the Bacton IP ASEP, therefore all of the existing Registered NTS Entry Capacity and NTS Capacity Transfers<sup>1</sup> have been fully reallocated to the two new ASEPs. This information is found in the attached Appendix 1. No further invitations will be issued as the reallocation process is now completed. IT systems will be updated by National Grid by 30<sup>th</sup> September 2015.

(internal note - if some periods oversubscribed use next 2 paragraphs)

For all Months/Quarters where the aggregated requests are below the Obligated level of either the Bacton UKCS ASEP or the Bacton IP ASEP, the existing Registered NTS Entry Capacity and NTS Capacity Transfers<sup>1</sup> for these Months/Quarters have been reallocated to the new ASEPs as per User requests. This information is found in the attached Appendix 1.

For all Months/Quarters where the aggregate level of NTS Entry Capacity rights requested are above the relevant Obligated level of NTS Entry Capacity at either the Bacton UKCS ASEP or the Bacton IP ASEP no reallocations have been made. These specific Months/Quarters are marked as "oversubscribed" within the Appendix 1 below.

National Grid will now issue [dependant on process trigger date xxxx] a Bacton Capacity Final Reallocation Invitation to all Users who hold Registered NTS Entry Capacity at the Bacton ASEP, for the Months/Quarters that are marked as "oversubscribed". Affected Users can re-indicate where they wish their Registered NTS Entry Capacity rights and NTS Capacity Transfers<sup>1</sup> to be held in future; either at the Bacton UKCS ASEP or the Bacton IP ASEP. The Final Capacity Reallocation will take place by [dependant on process trigger date]

The whole process is anticipated to be completed by [30 September 2015].

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<sup>1</sup> Where Transferor User only





**Results of Initial Capacity Reallocation for NTS Capacity Transfers**

**BA Code:** (i.e. Gemini 3 letter code) \_\_\_\_\_

Shipper Name \_\_\_\_\_

National Grid confirms that the following NTS Entry Capacity Transfers are reallocated to the **Bacton UKCS or Bacton IP ASEP**.

Where “oversubscribed” is indicated no reallocations have been made.

Sub-transaction period start date	Sub-transaction period end date	NTS Entry Capacity Transfers to be allocated at Bacton UKCS ASEP (kWh/d)	NTS Entry Capacity Transfers to be allocated at Bacton UKCS ASEP (kWh/d)	Transferee
1 November 2015	30 November 2015			
1 December 2015	31 December 2015			
1 January 2016	31 January 2016			
1 January 2031	31 March 2031			
1 April 2031	30 June 2031			
1 July 2031	30 September 2031			

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[www.nationalgrid.com](http://www.nationalgrid.com)

[ dependant on process trigger]

Dear Colleague

**Aggregate Results of Bacton Capacity Reallocation of existing Registered NTS Entry Capacity at Bacton from 1 November 2015 onwards (following Initial Capacity Reallocation Process)**

Please find the results of the Initial Capacity Reallocation showing the **aggregated** reallocation of NTS Entry Capacity for each of the two new ASEPs displayed as:

- i. Relevant Months or Quarters
- ii. ASEP
- iii. Quantity in kWh/day allocated

**This does not require any User to undertake any actions on National Grid's systems**

(if all allocated use next paragraph)

Please note for all Months/Quarters the **aggregated** requests were below the Obligated level of either the Bacton UKCS ASEP or the Bacton IP ASEP, therefore all of the existing Registered NTS Entry Capacity has been fully reallocated to the two new ASEPs. Please see attached Appendix 1. The reallocation process is now completed and National Grid will update the IT systems by 30<sup>th</sup> September 2015

(if oversubscribed use next 2 paragraphs)

For all Months/Quarters where the **aggregated** requests were below the Obligated level of either the Bacton UKCS ASEP or the Bacton IP ASEP, the existing Registered NTS Entry Capacity for these Months/Quarters has been reallocated to the new ASEPs as per User requests. This information is found in Appendix 1 below.

For all Months/Quarters where the aggregate level of NTS Entry Capacity rights requested are above the relevant Obligated level of NTS Entry Capacity at either the Bacton UKCS ASEP or the Bacton IP ASEP no reallocations have been made. These specific Months/Quarters are marked as "oversubscribed" within the Appendix 1 below.

National Grid will now issue on [dependant on process trigger date xxxxxx] a Bacton Capacity Final Reallocation Invitation to all Users who hold Registered NTS Entry Capacity at the Bacton ASEP for the Months/Quarters that are oversubscribed. Affected Users can re-indicate where they wish their Registered NTS Entry Capacity rights and NTS Capacity Transfers<sup>1</sup> to be held in future; either at the Bacton UKCS ASEP or the Bacton IP ASEP. The Final Capacity Reallocation will take place by [dependent upon process trigger date]

The whole process is anticipated to be completed by [30th September 2015].

Please note that this message is being sent by e-mail via the Joint Office of Gas Transporters and web-fax (business hours operational list).

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<sup>1</sup> Where Transferor User only

For avoidance of doubt, expressions used within this letter should be interpreted as having the same meaning as per the UNC Document.

If you have any queries please contact a member of the capacity auctions team or myself on +44 (0)1926 65 4057. Alternatively you can e-mail the capacity team regarding any capacity issues at [capacityauctions@nationalgrid.com](mailto:capacityauctions@nationalgrid.com)

Yours Sincerely,

[B Charles]  
[Senior Capacity Auction Analyst]  
Market Operation, National Grid

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Direct fax: +44 (0)1926 654059

[www.nationalgrid.com](http://www.nationalgrid.com)

[Dependant on process trigger date]

Dear [User A]

**Bacton Capacity Final Reallocation Invitation Process**

**Invitation to participate in the Final Capacity Reallocation of existing Registered NTS Entry Capacity at Bacton from 1 November 2015 onwards**

As the Initial Capacity Reallocation Process could not fully reallocate all existing Registered NTS Entry Capacity, at the Bacton ASEP to the Bacton UKCS or Bacton IP ASEPs, for all periods from 1 November 2015 onwards, we are inviting you to take part in the Bacton Capacity Final Reallocation Invitation Process for those Months/Quarters that could not be fully reallocated..

No reallocations, for the specific periods shown in the table below, could be made as the aggregated requests received via the Initial Invitation were above the relevant Obligated level for one of the two ASEPs. We are requesting all Users who hold Registered NTS Entry Capacity and NTS Capacity Transfers<sup>1</sup> at the Bacton ASEP for the relevant periods to re-indicate where they would wish this capacity to be reallocated.

Your existing Registered NTS Entry Capacity rights at the Bacton ASEP and the existing weighted average price for that capacity for the relevant periods are detailed in the following table:

<b>BA Code:</b>	AAA	<b>Shipper Name:</b>	User A
<b>Sub-transaction period start date</b>	<b>Sub-transaction period end date</b>	<b>Existing Registered NTS Entry Capacity at Bacton ASEP (kWh/d)</b>	<b>Existing weighted average price (p/kWh/d)</b>
1 Nov 2015	30 Nov 2015		
1 Dec 2015	31 Dec 2015		
1 Jan 2016	31 Jan 2016		

<sup>1</sup> Where User is Transferor only



- c. If in any Month/Quarter and at either ASEP, the aggregate level of NTS Entry Capacity rights requested is above the relevant Obligated level of NTS Entry Capacity, National Grid NTS will allocate the relevant NTS Entry Capacity for that Month/ASEP or Quarter/ASEP combination pro rata the aggregate amount applied for by all Users.
- d. Following proration
- i. firstly, any transfer requests will be recreated to the fullest extent possible (the amount is not to exceed the Users total allocated NTS Entry Capacity at either of the two new ASEPs)
  - ii. secondly, if a Transferor is a party to more than one Entry Capacity Transfer, (i) shall be repeated with respect to each relevant Transfer so that the Prorated Capacity is apportioned to each Transferee up to, but not exceeding the amount requested for the relevant Month/ASEP or Quarter/ASEP. If the Pro Rated Capacity with respect to a Transferor is not sufficient to fully recreate the trade on NTS systems, to one or more Transferee in the amount requested by the Transferor, a Pro Rated Capacity shall be further prorated and apportioned to each relevant Transferee in accordance with the proportions consistent with the amounts notified by the Transferor.
  - iii. any quantity of NTS Entry Capacity allocated to a User in excess of the level that the User has requested at that ASEP, shall be considered Returnable Capacity and National Grid will issue a Bacton Capacity Return Invitation
- e. National Grid will write to relevant Users to inform the results of the Final Capacity Reallocation and show the User's individual reallocations of NTS Entry Capacity for each of the two new ASEPs in the format:
- i. Relevant Months or Quarters
  - ii. ASEP
  - iii. Quantity in kWh/day
- f. National Grid will write to all Users to inform the aggregate results for each of the two new ASEPs.
- g. National Grid will inform relevant Users of any Months or Quarters which are not reallocated as the aggregate level of NTS Entry Capacity requested was above the relevant obligated level of NTS Entry Capacity at either new ASEP and therefore that a Bacton Capacity Return Invitation (where d(iii) above applies) will be issued.

Please note if you do not respond or provide a non-compliant response<sup>2</sup> by the required date National Grid will endeavour to contact you, however if a compliant response is not received by 17.00pm on xxxx a default will be applied i.e. National Grid will assume that the User wants to reallocate its capacity in the same proportion as given by the Obligated levels at the two ASEPs and the Transfer quantity in the same proportion as the User's split of their primary holdings.

The whole process will be completed by [30th September 2015].

For avoidance of doubt, expressions used within this Invitation Letter should be interpreted as having the same meaning as per the UNC Document.

If you have any queries regarding this application process please contact a member of the capacity auctions team or myself on +44 (0)1926 65 4057. Alternatively you can e-mail the capacity team regarding any capacity issues at [capacityauctions@nationalgrid.com](mailto:capacityauctions@nationalgrid.com)

Yours Sincerely,

[B Charles]  
Market Operation, National Grid

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<sup>2</sup> A User cannot request reallocation of a quantity less than or greater than their Registered NTS Entry Capacity for the month/Quarter in question or their Registered NTS Entry Capacity at the Bacton ASEP. A Transferor User cannot request reallocation of a quantity of NTS Entry Capacity Transfers greater than the lesser of, either their existing quantity of NTS Entry Capacity Transfers for the Month/Quarter in question or their Registered NTS Entry Capacity at the Bacton ASEP.



## APPENDIX 1

### Bacton Reallocation Process – Final Capacity Reallocation

Capacity Team, Warwick	Tel: 01926 65 4057	Fax: 01926 65 4059
------------------------	--------------------	--------------------

<b>BA Code:</b> (i.e. Gemini 3 letter code) _____ Shipper Name _____			
confirm that the following firm entitlements are to be reallocated to the <b>Bacton UKCS</b> or <b>Bacton IP ASEP</b> .			
Sub-transaction period start date	Sub-transaction period end date	NTS Entry Capacity to be registered at Bacton UKCS ASEP (kWh/d)	NTS Interconnector Entry Capacity to be registered at Bacton IP ASEP (kWh/d)

<b>BA Code :</b> (i.e. Gemini 3 letter code) _____ Shipper name _____				
Confirm that the following transfers are to be reallocated to the <b>Bacton UKCS</b> or <b>Bacton IP ASEP</b>				
Sub-transaction period start date	Sub-transaction period end date	NTS Entry Capacity Transfers to be allocated at Bacton UKCS ASEP (kWh/d)	NTS Entry Capacity Transfers to be allocated at Bacton UKCS ASEP (kWh/d)	Transferee
1 Nov2015	30 Nov 2015			
1 Dec 2015	31 Dec2015			
1 Jan 2016	31 Jan 2016			
↓	↓			
1 Apr 2031	30 Jun 2031			
1 Jul 2031	30 Sep 2031			

Fax Originated By		Fax Received By	
Company		On Behalf Of	National Grid
Signature		Signature	
Position		Position	
Date		Date	
Tel No		Tel No	01926 65 4057
Fax No		Fax No	01926 65 4059

**Please note: Illegibility and/or failure to complete the proforma correctly will result in NON input**  
National Grid Gas plc. Registered in England. No 2006000. Registered Office 1-3, Strand, London, WC2N 5EH

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[B Charles]  
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Market Operation

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[ Dependant on process start date]

Dear [User A]

**Results of the Final Capacity Reallocation of existing Registered NTS Entry Capacity at Bacton from 1 November 2015 onwards (following Final Reallocation Process)**

Please find the results of the Final Capacity Reallocation which show the User's individual reallocations of NTS Entry Capacity for each of the two new ASEPs in the format:

- i. Relevant Months or Quarters
- ii. ASEP
- iii. Quantity in kWh/day allocated

**This does not require any User to undertake any actions on National Grid's systems**

For all Month/Quarter where the aggregated requests in the Final Capacity Reallocation process are below the Obligated level of either the Bacton UKCS ASEP or the Bacton IP ASEP, the existing Registered NTS Entry Capacity and NTS Capacity Transfers for these Months/Quarters have been reallocated to the new ASEPS as per User requests.

For all Months/Quarters where the aggregate level of NTS Entry Capacity rights requested are above the relevant Obligated level of NTS Entry Capacity at either the Bacton UKCS ASEP or the Bacton IP ASEP National Grid has

- i. allocated the relevant NTS Entry Capacity for that Month/ASEP or Quarter/ASEP combination pro rata the aggregate amount requested by all Users.
- ii. allocated such Pro Rated Capacity to Transferee Users in accordance with the following provisions before allocating any Pro Rated Capacity to the Transferor
  - a) firstly, recreate any transfer requests to the fullest extent possible (the amount is not to exceed the Users total allocated NTS Entry Capacity at either of the two new ASEPs)
  - b) secondly, if a Transferor is a party to more than one Entry Capacity Transfer, (a) shall be repeated with respect to each relevant Transfer so that the Prorated Capacity is apportioned to each Transferee up to, but not exceeding the amount requested for the relevant Month/ASEP or Quarter/ASEP. If the Pro Rated Capacity with respect to a Transferor is not sufficient to fully recreate the trade on NTS systems, to one or more Transferee in the amount requested by the Transferor, Pro Rated Capacity shall be further prorated and apportioned to each relevant Transferee in accordance with the proportions consistent with the amounts notified by the Transferor.

Where there is any quantity of NTS Entry Capacity reallocated to a User in excess of the level that the User has requested at that ASEP in response to the Bacton Capacity Final Reallocation that capacity shall be considered Returnable Capacity. National Grid will issue by [xxxx]a Bacton Capacity Return Invitation to all Users who have a quantity of Returnable Capacity greater than zero and affected Users can submit to National Grid the quantity of Returnable Capacity to be returned to National Grid for the relevant Bacton ASEP by period (Month or Quarter). The Bacton Capacity Return process will take place by [xxxx]

The whole process is anticipated to be completed by [30 September2015]

<b>Results of Final Capacity Reallocation for Registered Capacity</b>			
<b>BA Code:</b> (i.e. Gemini 3 letter code) _____			
Shipper Name _____			
National Grid confirms that the following NTS Entry Capacity is reallocated to the <b>Bacton UKCS or Bacton IP ASEP</b>			
Sub-transaction period start date	Sub-transaction period end date	NTS Entry Capacity reallocated at Bacton UKCS ASEP (kWh/d)	NTS Entry Capacity reallocated at Bacton IP ASEP (kWh/d)
1 Nov 2015	30 Nov 2015		
1 Dec 2015	31 Dec 2015		
1 Jan 2016	31 Jan 2016		
↓	↓		
1 Jan 2031	31 Mar 2031		
1 Apr 2031	30 Jun 2031		
1 Jul 2031	30 Sep 2031		

<b>Results of Final Capacity Reallocation for NTS Entry Capacity Transfers</b>				
<b>BA Code:</b> (i.e. Gemini 3 letter code) _____				
Shipper Name _____				
National Grid confirms that the following NTS Entry Capacity Transfers are reallocated to the <b>Bacton UKCS or Bacton IP ASEP</b>				
Sub-transaction period start date	Sub-transaction period end date	NTS Entry Capacity Transfers to be allocated at Bacton UKCS ASEP (kWh/d)	NTS Entry Capacity Transfers to be allocated at Bacton UKCS ASEP (kWh/d)	Transferee
1 Nov 2015	30 Nov 2015			
1 Dec 2015	31 Dec 2015			
1 Jan 2016	31 Jan 2016			
↓	↓			
1 Jan 2031	31 Mar 2031			
1 Apr 2031	30 Jun 2031			
1 Jul 2031	30 Sep 2031			

National Grid NTS will, no later than 30<sup>th</sup> September 2015, update systems accordingly.

For avoidance of doubt, expressions used within this letter should be interpreted as having the same meaning as per the UNC Document.

If you have any queries please contact a member of the capacity auctions team or myself on +44 (0)1926 65 4057. Alternatively you can e-mail the capacity team regarding any capacity issues at [capacityauctions@nationalgrid.com](mailto:capacityauctions@nationalgrid.com)

Yours Sincerely,

[B Charles]  
Market Operation, National Grid

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[Dependant on process trigger date]

Dear [User A]

**Bacton Capacity Return Invitation Process**

**Invitation to participate in the Capacity Return (following proration) of existing Registered NTS Entry Capacity at Bacton from 1 November 2015 onwards**

The Final Capacity Reallocation Process could not fully reallocate all existing Registered NTS Entry Capacity, at the Bacton ASEP to the Bacton UKCS or Bacton IP ASEPs, for all periods from 1 November 2015 onwards, for both the two new ASEPs. Where the aggregated requests received via the Final Reallocation Invitation were above the relevant Obligated level of NTS Entry Capacity, National Grid

- i. allocated the relevant NTS Entry Capacity for that Month/ASEP combination pro rata to the aggregate amount applied for by all Users.
- ii. recreated any Transfer requests to the fullest extent possible<sup>1</sup> (the amount not exceeding the Users total allocated NTS Entry Capacity at either of the two new ASEPs).

National Grid considers any quantity of NTS Entry Capacity allocated to you in excess of the level you requested at that ASEP is Returnable Capacity.

National Grid invites all Users who hold a quantity of Returnable Capacity at the relevant Bacton ASEP for the relevant periods listed within Appendix 1 attached to submit to National Grid the quantity of Returnable Capacity to be returned to National Grid for the relevant Bacton ASEP by Month/Quarter.

Your Returnable Capacity at the Bacton ASEP and the existing weighted average price for that capacity for the relevant periods is detailed in the following table:

<b>BA Code:</b>	AAA	<b>Shipper Name:</b>	User A
<b>Sub-transaction period start date</b>	<b>Sub-transaction period end date</b>	<b>Returnable Capacity at Bacton UKCS ASEP (kWh/d)</b>	<b>Returnable Capacity at Bacton IP ASEP (kWh/d)</b>
1 Nov 2015	30 Nov 2015		
1 Dec 2015	31 Dec 2015		
1 Jan 2016	31 Jan 2016		

<sup>1</sup> Where a Transferor is a party to more than one Entry Capacity Transfer (ii) this is repeated with respect to each relevant Transfer

<b>BA Code:</b>	AAA	<b>Shipper Name:</b>	User A
<b>Sub-transaction period start date</b>	<b>Sub-transaction period end date</b>	<b>Returnable Capacity at Bacton UKCS ASEP (kWh/d)</b>	<b>Returnable Capacity at Bacton IP ASEP (kWh/d)</b>
↓	↓		
1 Apr 2031	30 Jun 2031		
1 Jul 2031	30 Sep 2031		

Your existing NTS Capacity Transfers Error! Bookmark not defined. are detailed in the following table:

<b>BA Code</b>	<b>AAA</b>	<b>Shipper Name</b>
<b>Sub-transaction period start date</b>	<b>Sub-transaction period end date</b>	<b>Existing NTS Entry Capacity at Bacton ASEP (kWh/d)</b>
1 Nov 2015	30 Nov 2015	
1 Dec 2015	31 Dec 2015	
1 Jan 2016	31 Jan 2016	
↓	↓	
1 Apr 2031	30 Jun 2031	
1 Jul 2031	30 Sep 2031	

Please could you indicate the quantity of Returnable Capacity you wish to return for the relevant periods indicated using the attached proforma included within Appendix 1.

**Please note**

- the total quantity of Returnable Capacity requested at the two new ASEPs (Bacton UKCS and Bacton IP) should not be greater than your Returnable Capacity for the month/Quarter in question at the existing Bacton ASEP.
- If the quantity requested by the Bacton User does exceed your permitted quantity of Returnable capacity, National Grid NTS will limit the quantity to the User's maximum Returnable quantity.

**Users can submit their completed proforma between 08.00hrs and 17.00hrs on each of the following 5 Business Days (xx xx xx xx xx xx xx xx xx xx) via the following facsimile number:**

**Direct fax: +44 (0)1926 654059**

**Please note the completed proforma should be returned to National Grid no later than 17.00 [xx]**

No later than 5 Business Days following the close out date [xxxxxx] for responses, National Grid will inform relevant Users of their returned capacity.

Please note if you do not respond or provide a non-compliant response<sup>2</sup> by the required date National Grid will endeavour to contact you, however if a compliant response is not received by 17.00pm on xxxx a default will be applied i.e. National Grid will allocate the Users returnable capacity to them as Registered NTS Entry Capacity for each of the relevant Months or Quarters as per the notification within the capacity return invitation.

No later than 5 Business Days following the date for the return of the Bacton Capacity Return Invitation, National Grid will undertake the reallocation of your Registered NTS Entry Capacity and NTS Capacity Transfers<sup>Error!</sup> Bookmark not defined. at the Bacton ASEP on your behalf for all the months from 1 November 2015 until 30 September 2016 and for the quarters from 1 October 2016 until 30 September 2031. **This will not require any User to undertake any actions on National Grid's systems or for National Grid to issue any general invitation to Users.**

The whole process will be completed by [30th September 2015].

For avoidance of doubt, expressions used within this Invitation Letter should be interpreted as having the same meaning as per the UNC Document.

If you have any queries regarding this application process please contact a member of the capacity auctions team or myself on +44 (0)1926 65 4057. Alternatively you can e-mail the capacity team regarding any capacity issues at [capacityauctions@nationalgrid.com](mailto:capacityauctions@nationalgrid.com)

Yours Sincerely,

[B Charles]  
Market Operation, National Grid

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<sup>2</sup> A User cannot request reallocation of a quantity less than or greater than their Registered NTS Entry Capacity for the month/Quarter in question or their Registered NTS Entry Capacity at the Bacton ASEP. A Transferor User cannot request reallocation of a quantity of NTS Entry Capacity Transfers greater than the lesser of, either their existing quantity of NTS Entry Capacity Transfers for the Month/Quarter in question or their Registered NTS Entry Capacity at the Bacton ASEP.



## APPENDIX 1

### Bacton Reallocation Process – Final Capacity Reallocation

Capacity Team, Warwick	Tel: 01926 65 4057	Fax: 01926 65 4059
------------------------	--------------------	--------------------

**BA Code:** (i.e. Gemini 3 letter code) \_\_\_\_\_  
**Shipper Name** \_\_\_\_\_

confirm that the following firm entitlements are to be reallocated to the **Bacton UKCS or Bacton IP ASEP**

Sub-transaction period start date	Sub-transaction period end date	Returnable Capacity at Bacton UKCS ASEP (kWh/d)	Returnable Capacity at Bacton IP ASEP (kWh/d)	Quantity to be returned

**BA Code :** (i.e. Gemini 3 letter code) \_\_\_\_\_  
**Shipper name** \_\_\_\_\_

Confirm that the following transfers are to be reallocated to the **Bacton UKCS or Bacton IP ASEP** in accordance with the Transition Document [Part IIE] of the UNC

Sub-transaction period start date	Sub-transaction period end date	NTS Entry Capacity Transfers to be allocated at Bacton UKCS ASEP (kWh/d)	NTS Entry Capacity Transfers to be allocated at Bacton IP ASEP (kWh/d)	Transferee
1 Nov2015	30 Nov 2015			
1 Dec 2015	31 Dec2015			
1 Jan 2016	31 Jan 2016			
1 Apr 2031	30 Jun 2031			
1 Jul 2031	30 Sep 2031			

Fax Originated By		Fax Received By	
Company		On Behalf Of	National Grid
Signature		Signature	
Position		Position	
Date		Date	
Tel No		Tel No	01926 65 4057
Fax No		Fax No	01926 65 4059

**Please note: Illegibility and/or failure to complete the proforma correctly will result in NON input**  
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[www.nationalgrid.com](http://www.nationalgrid.com)

[ Dependant on process start date]

Dear [User A]

**Results of the Bacton Capacity Return from 1 November 2015 onwards**

Please find the results of the Bacton Capacity Return which show your individual results for each of the two new ASEPs, providing:

- i. Relevant Months or Quarters
- ii. ASEP
- iii. Quantity in kWh/day allocated

**This does not require any User to undertake any actions on National Grid's systems**

<b>Results of the Bacton Capacity Return Process</b>		
<b>BA Code:</b> (i.e. Gemini, 3 letter code) _____ <b>Shipper Name</b> _____		
National Grid confirms that the following firm Registered NTS Entry Capacity is returned to National Grid.		
<b>Sub-transaction period start date</b>	<b>Sub-transaction period end date</b>	<b>NTS Entry Capacity returned to Bacton ASEP (kWh/d)</b>
1 Nov 2015	30 Nov 2015	
1 Dec 2015	31 Dec 2015	
1 Jan 2016	31 Jan 2016	
↓	↓	
1 Jan 2031	31 Mar 2031	
1 Apr 2031	30 Jun 2031	
1 Jul 2031	30 Sep 2031	

National Grid NTS will, no later than 30<sup>th</sup> September 2015, update systems accordingly.

For avoidance of doubt, expressions used within this letter should be interpreted as having the same meaning as per the UNC Document.

If you have any queries please contact a member of the capacity auctions team or myself on +44 (0)1926 65 4057. Alternatively you can e-mail the capacity team regarding any capacity issues at [capacityauctions@nationalgrid.com](mailto:capacityauctions@nationalgrid.com)

Yours Sincerely,

[B Charles]  
Market Operation, National Grid

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[B Charles]  
[Senior Capacity Auction Analyst]  
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[www.nationalgrid.com](http://www.nationalgrid.com)

[ Dependant on start date of process]

Dear Colleague

**Aggregate Results of the completed Bacton Capacity Reallocation Process**

Please find the results of the Bacton Capacity Reallocation showing the aggregated reallocation of NTS Entry Capacity for each of the two new ASEPs providing:

- i. Relevant Months or Quarters
- ii. ASEP
- iii. Quantity in kWh/day allocated

**This does not require any User to undertake any actions on National Grid's systems**

Please note

- for all Months/Quarters where the aggregated requests are below the Obligated level of either the Bacton UKCS ASEP or the Bacton IP ASEP, the existing Registered NTS Entry Capacity has been fully reallocated to the two new ASEPs as per User requests
- for all Months/Quarters where the aggregate level of NTS Entry Capacity rights requested are above the relevant Obligated level of NTS Entry Capacity at either the Bacton UKCS ASEP or the Bacton IP ASEP National Grid NTS has allocated the relevant NTS Entry Capacity for that Month/ASEP or Quarter/ASEP combination pro rata the aggregate amount applied for by all Users.
- For all Months/Quarters, following proration, where Users had remaining NTS Entry Capacity, this was allocated to the other new Bacton ASEP as relevant or returned to National Grid

**The reallocation process is now completed.**

For avoidance of doubt, expressions used within this letter should be interpreted as having the same meaning as per the UNC Document.

Please note that this message is being sent by e-mail via the Joint Office of Gas Transporters and web-fax (business hours operational list).

If you have any queries please contact a member of the capacity auctions team or myself on +44 (0)1926 65 4057. Alternatively you can e-mail the capacity team regarding any capacity issues at [capacityauctions@nationalgrid.com](mailto:capacityauctions@nationalgrid.com)

Yours Sincerely,

[B Charles]

## APPENDIX 1

### Bacton Aggregate Reallocation Results following the Bacton Capacity Reallocation Process

Capacity Team, Warwick	Tel: 01926 65 4057	Fax: 01926 65 4059
------------------------	--------------------	--------------------

Aggregate Results of Capacity Reallocation for all Users at Bacton UKCS ASEP and Bacton IP ASEP in accordance with UNC Transportation Principal Document [Section B].			
Sub-transaction period start date	Sub-transaction period end date	NTS Entry Capacity reallocated at Bacton UKCS ASEP (kWh/d)	NTS Interconnector Entry Capacity reallocated at Bacton IP ASEP (kWh/d)
1 November 2015	30 November 2015		
1 December 2015	31 December 2015		
1 January 2016	31 January 2016		
1 February 2016	29 February 2016		
1 March 2016	31 March 2016		
1 April 2016	30 April 2016		
1 May 2016	31 May 2016		
1 June 2016	30 June 2016		
1 July 2016	31 July 2016		
1 August 2016	31 August 2016		
1 September 2016	30 September 2016		
1 October 2016	31 December 2016		
1 January 2017	31 March 2017		
1 April 2017	30 June 2017		
1 July 2017	30 September 2017		
1 October 2017	31 December 2017		
1 January 2018	31 March 2018		
1 April 2018	30 June 2018		
1 July 2018	30 September 2018		
1 October 2018	31 December 2019		
1 January 2019	31 March 2019		
1 April 2019	30 June 2019		
1 July 2019	30 September 2019		
1 October 2019	31 December 2019		
1 January 2020	31 March 2020		
1 April 2020	30 June 2020		
1 July 2020	30 September 2020		
1 October 2020	31 December 2020		

**Aggregate Results of Capacity Reallocation for all Users at Bacton UKCS ASEP and Bacton IP ASEP in accordance with UNC Transportation Principal Document [Section B].**

<b>Sub-transaction period start date</b>	<b>Sub-transaction period end date</b>	<b>NTS Entry Capacity reallocated at Bacton UKCS ASEP (kWh/d)</b>	<b>NTS Interconnector Entry Capacity reallocated at Bacton IP ASEP (kWh/d)</b>
1 January 2021	31 March 2021		
1 April 2021	30 June 2021		
1 July 2021	30 September 2021		
1 October 2021	31 December 2021		
1 January 2022	31 March 2022		
1 April 2022	30 June 2022		
1 July 2022	30 September 2022		
1 October 2022	31 December 2022		
1 January 2023	31 March 2023		
1 April 2023	30 June 2023		
1 July 2023	30 September 2023		
1 October 2023	31 December 2023		
1 January 2024	31 March 2024		
1 April 2024	30 June 2024		
1 July 2024	30 September 2024		
1 October 2024	31 December 2024		
1 January 2025	31 March 2025		
1 April 2025	30 June 2025		
1 July 2025	30 September 2025		
1 October 2025	31 December 2025		
1 January 2026	31 March 2026		
1 April 2026	30 June 2026		
1 July 2026	30 September 2026		
1 October 2026	31 December 2026		
1 January 2027	31 March 2027		
1 April 2027	30 June 2027		
1 July 2027	30 September 2027		
1 October 2027	31 December 2027		
1 January 2028	31 March 2028		
1 April 2028	30 June 2028		
1 July 2028	30 September 2028		
1 October 2028	31 December 2028		
1 January 2029	31 March 2029		
1 April 2029	30 June 2029		
1 July 2029	30 September 2029		
1 October 2029	31 December 2029		
1 January 2030	31 March 2030		
1 April 2030	30 June 2030		
1 July 2030	30 September 2030		

**Aggregate Results of Capacity Reallocation for all Users at Bacton UKCS ASEP and Bacton IP ASEP in accordance with UNC Transportation Principal Document [Section B].**

<b>Sub-transaction period start date</b>	<b>Sub-transaction period end date</b>	<b>NTS Entry Capacity reallocated at Bacton UKCS ASEP (kWh/d)</b>	<b>NTS Interconnector Entry Capacity reallocated at Bacton IP ASEP (kWh/d)</b>
1 October 2030	31 December 2030		
1 January 2031	31 March 2031		
1 April 2031	30 June 2031		
1 July 2031	30 September 2031		

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