

UNIFORM NETWORK CODE – TRANSPORTATION PRINCIPAL DOCUMENT

Amend TPD Section V (General) paragraph 12.1 as follows:

12.1 Purpose

The purpose of this Section is to establish generic governance arrangements in respect of the following UNC Related Documents (each a “**Document**” and collectively the “**Documents**”):

- (a) Network Code Operations Reporting Manual as referenced in Section V9.4;
- (b) Network Code Validation Rules referenced in Section M1.5.3;
- (c) ECQ Methodology as referenced in Section Q6.1.1(c); ~~and~~
- (d) Measurement Error Notification Guidelines for NTS to LDZ and LDZ to LDZ Measurement Installations as referenced in OAD Section D3.1.5.2;
- (e) the Allocation of Unidentified Gas Document referenced in Section E9.1.1.2; ~~and~~
- (f) the Customer Settlement Error Claims Process Guidance Document referenced in Section E1.3.10.

Amend TPD Section E (Daily Quantities, Imbalances and Reconciliation) paragraphs 1.3.10 to 1.3.13 (inclusive) as follows:

1.3.10 Where an Individual NDM Reconciliation, DM Reconciliation, Individual CSEP Reconciliation or Aggregate NDM Reconciliation identifies an adjustment in gas off-taken in the period of time between the Code Cut Off Date and the last Day of Formula Year t-6 which results in an over-payment by, or under payment to, the User of £50,000 (fifty thousand pounds) or more in respect of an Individual Supply Point or group of Supply Points (where the adjustment has been made, in the case of a group of Supply Points, as a result of the same root cause) then, notwithstanding paragraph 1.3.9 above, the User is entitled to make a claim in respect of the time between Code Cut Off Date and the last Day of Formula Year t-6 in accordance with paragraph 1.3.12+ below. Any such claim shall be submitted by the User and considered by the Transporter having consideration of the Customer Settlement Error Claims Process Guidance Document.

1.3.11 For the purposes of this section 1.3 “Customer Settlement Error Claims Process Guidance Document” means the guidance document prepared by the Transporters and governed in accordance with Section V12 setting out guidance on *inter alia* the applicable eligibility and assessment criteria and the process for submission, acceptance and rejection of a claim as referred to in paragraph 1.3.10 above. For the avoidance of doubt, the document is issued for guidance purposes only and is not binding on Users or Transporters.

1.3.12+ Where a User wishes to make a claim as referred to in paragraph 1.3.10 above, ~~it~~ shall have consideration of the Customer Settlement Error Claims Process Guidance Document and the following process shall be followed:

- (a) the User shall submit to the Transporter Agency the following information:
 - (i) detail in relation to the nature and duration of the error resulting in the adjustment, including copies of the invoices between the Transporters

- and the User and the User and the consumer (such information to be applicable to the claim, for example at Supply Meter Point level);
- (ii) the relevant system files and supporting information (to include workings and methodology);
 - (iii) the materiality of the claim in terms of volume of gas, including details of the methodology used to calculate such materiality;
 - (iv) the exact financial impact of the adjustment including energy (by reference to the monthly average of the System Average Prices) for the period of the claim and transportation costs (with reference to the Transportation Charges prevailing at the time of the claim);
 - ~~(viii)~~ the dates in respect of which the claim will apply in accordance with paragraph 1.3.10 above;
 - ~~(iv)~~ evidence of the financial impact relating to the Supply Point or group of Supply Points the subject of the claim including written confirmation that the consumer(s) connected to the Individual Supply Point or group of Supply Points in question agree(s) that:
 - (a) an adjustment in gas off-taken in the period of time between the Code Cut Off Date and the last Day of Formula Year t-6 should be made;
 - (b) the materiality of the claim as referred to in paragraph 1.3.12~~1~~(a)(ii) is correct; and
 - (c) the dates in relation to which the User’s claim applies are correct; and
 - (v) a warranty that no previous claims which are the same in nature and/or are in relation to the same dates as the claim being made by the User have been made;
- (b) the Transporters shall (having consideration of the Customer Settlement Error Claims Process Guidelines Document) consider the claim and communicate to the User its approval or rejection of such within 90 days of receipt of the claim, during which time the Transporter Agency shall be entitled to raise queries in relation to the claim and/or to request further information from the User in respect of its claim;
- (c) the Transporters shall (having consideration of the Customer Settlement Error Claims Process Guidelines Document) be entitled to reject the claim if it is considered that the information provided by the User is so clearly erroneous on its face that it would be unconscionable for the claim to be accepted, in which case the Transporters shall reject the claim and inform the User of the reason(s) for such rejection;
- (d) if the Transporters consider that an amount exceeding £1 million (one million) pounds is to be re-paid to any User, the Transporter Agency shall issue notification of such fact to Users and that the amount determined as payable to the User shall be paid within 2 (two) months of approval of the claim.

1.3.13~~2~~ In the event that the Transporters reject the User’s claim, the User shall be entitled to appeal the Transporters’ rejection of the claim within 14 days of its receipt of communication of such rejection in accordance with UNC General Terms Section A. Expert Determination (specific part of GT A.

Amend TPD Section S (Invoicing) paragraph 1.4.4 as follows:

Mod 0474 – Inclusion of the guidelines relating to the “Customer Settlement Error Claims Process” within UNC governance

Legal Text

- 1.4.4 Subject to the provisions of Section E, paragraphs 1.3.9-1.3.13~~2~~ (inclusive), no Invoice Document shall contain an Invoice Item or Invoice Amount that shall relate to any Day or period prior to the Code Cut Off Date.