

Guidelines document Performance Assurance Reporting Template Guidance Document

This is one of a series of Performance Assurance Documents Governed under the Uniform Network Code, which support and maintain the Energy Settlement Performance Assurance Regime.

The Performance Assurance Framework is limited to activity downstream of the Local Distribution Zone. Gas transported through the National Transmission System (NTS) and supply points connected to the NTS are excluded from the arrangements created by this Guidelines document.

Version History

Version	Date	Reason for update
0.1	18 January 2015	First draft
0.2	22 March 2015	Second Draft: Changes to original list of reports following comments from workgroup; inclusion of further reports.
0.3	2 April 2015	Third draft: Changes to original report criteria
0.4	28 April 2015	Forth draft: Remove Changes to Development of Rules
0.5	1 June 2015	Amendments following Xoserve comments
0.6	1 July 2015	Amendments following discussion with Xoserve.
0.7	13 July 2015	Amendments following Xoserve comments
0.8	01 August 2015	Amendments following Xoserve comments

12.1 Publication Requirements

Each Document shall be kept up to date and published by the Transporters on the Joint Office of Gas Transporters website.

12.2 Modifications

Should a User or Transporter wish to propose modifications to any of the Documents, such proposed modifications shall be raised through the normal UNC Modification Process.

12.3 Approved Modifications

12.4.1 In the event that a proposed modification is approved by the relevant UNC Panel or relevant Authority, the modification shall be implemented.

12.4.2 Each revised version of a Document shall be version controlled and retained by the Transporters. It shall be made available on the Joint Office of Gas Transporters website.”

Contents

General.....	4
Report Criteria.....	5
Estimated Reads.....	5
Potentially Incorrect Correction Factors.....	76
No asset (meter) attached.....	8
Shipper Transfer Read Performance.....	109
Meter Reading Submission.....	11
Meter Reading Validity.....	13
AQ Calculation Rates.....	15
Reconciliation Performance.....	17
Meter Reading Process Healthcheck.....	18

General

The Performance Assurance Workgroup has developed these report templates to support the production of industry Performance Assurance Reporting. National Transmission sites are not captured by these reports.

Report Criteria

Estimated Reads

Report title	Estimated Reads used for gas allocation.
Report reference	1.1
Purpose of report	The purpose is to monitor the risk identified by Engage in the independent study around use of estimated reads for products 1 and 2. http://www.gasgovernance.co.uk/pa/IndRiskStudy "30 January 2015 Gas Market Settlements Risks Quantification Section 2", Page 8, "Engage recommend that a performance measure is implemented to target the number of estimates used for MPRNs in products 1 and 2"
Expected interpretation of report results	The report is expected to show per month, by Shipper where estimated reads have been used for initial gas allocation, split out by Product Class 1 – 2.
Report structure (actual report headings and description of each heading)	Month PC1 & PC2 Shipper short code Percentage of Reads where estimate used Industry average
Data inputs to the report	Product Class, Date , Estimate Read count / Total Read count per shipper
Number rounding convention	Round up to closest whole number
History e.g. report builds month on month	Monthly reporting
Rules governing treatment of data inputs (the actual formula / specification to prepare the report)	Record where a D-7 estimate is used in Class 1 and 2 – this is used where the DMSP (Class 1) or Shipper (Class 2) fail to provide a read for the day.
Design questions awaiting a response	
Frequency of report	Monthly
Sort criteria – alphabetical, ascending etc	Shipper Short Code alphabetically
History/Background	Source - Engage Consulting Gas Market Settlement Risk Quantification report
Additional comments	
Estimated development cost	
Estimated ongoing cost	

Example report

Use of Estimated Reads within a Shippers Portfolio, Repeat for products 1 and 2.				
Shipper Shortcode	Meter reading date month			
	January	February	March	etc
ABC	22%	28%	11%	
DEF	82%	76%	94%	

GHI	56%	67%	78%	
All Shippers	50%	60%	70%	

Estimated Reads used for gas allocation Product Class 1

Year 20xx

Shipper Short Code	January	February	March	
ABC	0	0	0	
DEF	1%	1%	0	
GHI	0	3%	0	
Average	1%	2%	0%	

Estimated Reads used for gas allocation Product Class 2

Year 20xx

Shipper Short Code	January	February	March	
ABC	0	0	0	
DEF	2%	5%	1%	
GHI	0	0	8%	
Average	1%	2%	4%	

Potentially Incorrect Correction Factors

Report title	Potentially Incorrect Correction Factors
Report reference	1.3
Purpose of report	This is intended to monitor a risk identified by Engage in the independent study. See page 9 of Engage document, reference above.
Expected interpretation of report results	Shippers will update the default correction factor with a correction factor that better reflects the sites characteristics.
Report structure (actual report headings and description of each heading)	See below. The report is produced monthly and is a snapshot at a point in time. The report shows shipper short code and a count of MPRNs with a potentially incorrect correction factor.
Data inputs to the report	Count of MPRNs with AQ > 732 MWh where the correction factor is 1.02264. Shipper Short Code.
Number rounding convention	Rounded to whole number
History e.g. report builds month on month	Monthly
Rules governing treatment of data inputs (the actual formula / specification to prepare the report)	
Design questions awaiting a response	None
Frequency of report	Monthly
Sort criteria – alphabetical, ascending etc	Alphabetical by Shipper Short Code
History/Background	
Additional comments	
Estimated development cost	
Estimated ongoing cost	

Example report

Count of MPRNs with AQ > 732 MWh where the correction factor is 1.02264.				
Shipper Shortcode	Month			
	January	February	March	etc
ABC	22	28	11	
DEF	82	76	94	
GHI	56	67	78	
All shippers	160	171	183	

No asset (meter) attached

Report title	No asset (meter) attached
Report reference	1.4
Purpose of report	To provide a view of no assets attached within the industry and to compare instances between shipper portfolios and to track the data historically
Expected interpretation of report results	The report should identify the percentage of meter points where no asset is attached within a shipper portfolio.
Report structure (actual report headings and description of each heading)	Month Shipper short code MPRNs with no meter attached Industry average
Data inputs to the report	Broken down by product class, number of meter points with no asset attached divided by total meter points within a shipper portfolio
Number rounding convention	Round up to closest whole number
History e.g. report builds month on month	Monthly
Rules governing treatment of data inputs (the actual formula / specification to prepare the report)	The portfolio size is measured as at the last day of the relevant month. Industry average calculated as [total industry MPRNs with no asset attached] / [total industry MPRNs]
Design questions awaiting a response	None
Frequency of report	Monthly
Sort criteria – alphabetical, ascending etc	Alphabetically by Shipper Short Code
Additional comments	
Estimated development cost	
Estimated ongoing cost	

Example report

Product class 1/2/3/4				
Shipper Shortcode	Meter reading date month			
	January	February	March	etc
ABC	2%	8%	1%	
DEF	2%	6%	4%	
GHI	6%	7%	8%	
Average	3%	7%	4%	

No asset (meter) attached Class 1

Year 20xx

Shipper Short Code	January	February	March	
ABC	0	0	0	
DEF	1%	1%	0	
GHI	0	3%	0	
Average	1%	2%	0%	

No asset (meter) attached Class 2

Year 20xx

Shipper Short Code	January	February	March	
ABC	0	0	0	
DEF	2%	5%	1%	
GHI	0	0	8%	
Average	1%	2%	4%	

No asset (meter) attached Class 3

Year 20xx

Shipper Short Code	January	February	March	
ABC	0	0	0	
DEF	0	0	0	
GHI	0	0	0	
Average	0	0	0	

No asset (meter) attached Class 4

Year 20xx

Shipper Short Code	January	February	March	
ABC	10%	12%	4%	
DEF	1%	1%	0	
GHI	5%	3%	2%	
Average	3%	4%	2%	

Shipper Transfer Read Performance

Report title	Shipper Transfer Read Performance
Report reference	1.5
Purpose of report	To identify the performance by Shipper of the submission of opening meter readings. The failure to provide an opening meter reading will result in the use of a UK Link calculated estimated reading.
Expected interpretation of report results	Understanding performance across all Shippers Improve performance
Report structure (actual report headings and description of each heading)	Shipper, month, monthly performance (% of opening reads provided)
Data inputs to the report	All change of shipper events within the period and the acceptance of an opening read from the new Shipper
Number rounding convention	Percentage performance to 2 decimal places
History e.g. report builds month on month	Report builds month on month
Rules governing treatment of data inputs (the actual formula / specification to prepare the report)	Re-confirmations are excluded from the reported data.
Design questions awaiting a response	None
Frequency of report	Monthly
Sort criteria – alphabetical, ascending etc	Alphabetical by Shipper Short Code
History/Background	Xoserve Data Quality Workgroup
Additional comments	
Estimated development cost	None – already developed and provided to Ofgem
Estimated ongoing cost	No direct cost to Shippers, included in services provided on behalf of GTs

Example report

Shipper Shortcode	Transfer read performance by Shipper			
	January	February	March	Etc
ABC	22%	28%	11%	
DEF	82%	76%	94%	
GHI	56%	67%	78%	
All shippers	50%	60%	70%	

Meter Reading Submission

Report title	Read Submission Performance Target Monitoring
Report reference	1.6
Purpose of report	To compare shipper read submission to target performance levels as set out in UNC.
Expected interpretation of report results	To understand whether shippers are meeting the expectations of UNC. Shippers to use the report to improve processes. Low performance levels across many shippers might indicate a systematic problem with Nexus.
Report structure (actual report headings and description of each heading)	See below.
Data inputs to the report	Supply Meter Point Class, Date , Meter reads, MPRNs in a shipper's portfolio.
Number rounding convention	Percentage, to two decimal places.
History e.g. report builds month on month	The report is produced monthly, giving time for the read submission deadline to pass, e.g. for daily or monthly meter reading products and frequencies performance relating to January will be reported as at the end of February (i.e.in early March if Xoserve have a month-end data extract) ; for annual read frequencies the report will also be produced monthly, the performance relating to the 12 months January 2014 to December 2014 will be reported in early February 2015.
Rules governing treatment of data inputs (the actual formula / specification to prepare the report)	<p>Percentage of MPRNs by shipper and meter reading and product where target has been met. For example percentage of SSP sites in Product Class 4 where a read has been received in the preceding year.</p> <p>Daily Reads – Transporter provided 97.5% by 11am Daily Reads – Shipper provided 97.5% Daily Reads - 90% Provided within month Monthly Reads – 90% monthly sites received read a within month Annual Reads – 70% SSP Sites receive a read within year Annual Reads – 90% LSP Sites receive a read within year</p> <p>The portfolio size is measured as at the last day of the relevant month.</p>
Design questions awaiting a response	
Frequency of report	Monthly
Sort criteria – alphabetical, ascending etc	Alphabetically by Shipper Short Code
History/Background	UNC Mod 520 – PAF Reporting spreadsheet
Additional comments	<p>This report is to record Transporter and Shipper compliance with Section M of the UNC.</p> <p>For example Monthly Read sites 3.1.7 . Quarterly Read sites 3.4.1 Annual Read sites 3.4.2, for not less than 90% of the number of Monthly Read Meters which are Relevant Supply Meters for the whole of the month. And 3.5.2 For the purposes of paragraph 3.5.1(b) the relevant percentage is: (a) where the Annual Quantity of the Supply Point in which the relevant</p>

	Supply Meter Point is comprised does not exceed 73,200 kWh (2,500 therms), 70%; (b) except as provided in paragraph (a), 90%.
Estimated development cost	
Estimated ongoing cost	

Meter Reading Product	1	2	3	4	4	4
Target	97.5% of reads submitted daily by 11am on GFD+1	97.5% of reads submitted by end of GFD+1	90% of daily reads submitted each month.	Reads submitted for 90% of MPRNs with a monthly read frequency each month.	Reads submitted for 70% of SSP MPRNs with an annual read frequency in each 12-month period.	Reads submitted for 90% of LSP MPRNs with an annual read frequency in each 12-month period.
Deadline for read submission after read date.	5 calendar days	5 calendar days	Month + 10 calendar days	7 calendar days	25 calendar days	14 calendar days
Report Details	(Number of daily reads provided by the Shipper Gas Transporter in the month by 11am on GFD+1) divided by (Number of MPRNs in shippers portfolio multiplied by number of days in the month)	(Number of daily reads provided by the shipper in the month by end of GFD+1) divided by (Number of MPRNs in shippers portfolio multiplied by number of days in the month)	(Number of daily reads provided by the shipper in the month) divided by (Number of MPRNs in shippers portfolio multiplied by number of days in the month)	Percentage of MPRNs in Shipper's portfolio which have had a read in the last month.	Percentage of MPRNs in Shipper's portfolio which have had a read in the last 12 months.	Percentage of MPRNs in Shipper's portfolio which have had a read in the last 12 months.
Shipper B	98%	98%	80%	80%	40%	80%
Shipper C	30%	30%	100%	100%	90%	100%
All Shippers	85%	85%	90%	90%	70%	90%

Meter Reading Validity

Report title	Meter Reading Validity Monitoring
Report reference	1.7
Purpose of report	To assess quality of shipper meter reading provision.
Expected interpretation of report results	To understand whether shippers are meeting the expectations of Nexus. Shippers to use the report to improve processes. Low performance levels across many shippers might indicate a systematic problem with Nexus.
Report structure (actual report headings and description of each heading)	See below.
Data inputs to the report	Total number of reads submitted in a month, reads rejected due to the various reasons given in the table below. Missing reads for product 3. Consumption adjustments. Replacement reads. Check reads and expectation of check reads. Reason why read was rejected.
Number rounding convention	Percentage, to two decimal places.
History e.g. report builds month on month	The report is produced monthly, giving time for the read submission deadline to pass, e.g. performance relating January will be reported in early March.
Rules governing treatment of data inputs (the actual formula / specification to prepare the report)	Percentage of reads where logic check accepted against shipper portfolio.
Design questions awaiting a response	
Frequency of report	Monthly
Sort criteria – alphabetical, ascending etc	Alphabetically by Shipper Short Code
History/Background	UNC Mod 520 – PAF Reporting spreadsheet
Additional comments	
Estimated development cost	
Estimated ongoing cost	

	Reads where logic check* failed as a % of submitted readings.	Products, 2, 3 and 4. Reads rejected due to incorrect application of market breaker or override flag as a % of submitted readings.	Product 3 only - missing reads as a % of submitted reads.	Number of consumption adjustments for DM sites	Replacement reads submitted as a % of reads submitted.	Check reads provided as % of expected check reads, i.e. report if it is more than 12 months since the last check read (or date of installation)
Shipper A						
Shipper B						

Shipper C						
All Shippers						

* "Logic check" is the term used in the Nexus BRDs for the validation of the data in the U01 records – Meter Serial Number, number of digits in the reading, etc.

AQ Calculation Rates

Report title	Rolling AQ calculation monitoring
Report reference	1.8
Purpose of report	To provide assurance that the volumes of MPRNs which go through the AQ calculation process are as expected.
Expected interpretation of report results	Where a meter reading has been submitted in a month, it would be expected that the AQ would also be recalculated for most MPRNs (with the exception of new sites, sites with no reading history, etc.).
Report structure (actual report headings and description of each heading)	See below.
Data inputs to the report	AQs calculated each month. Count of MPRNs in shippers' portfolio.
Number rounding convention	Percentage, to two decimal places.
History e.g. report builds month on month	The report is produced monthly, giving time for the read submission deadline to pass, e.g. performance relating January will be reported in early March.
Rules governing treatment of data inputs (the actual formula / specification to prepare the report)	Percentage of AQs calculated against shipper portfolio. The portfolio size is measured as at the last day of the relevant month.
Design questions awaiting a response	
Frequency of report	
Sort criteria – alphabetical, ascending etc	Alphabetically by Shipper Short Code
History/Background	UNC Mod 520 – PAF Reporting spreadsheet
Additional comments	
Estimated development cost	
Estimated ongoing cost	

Product	1	2	3	4	4	4	All
Reading Target	97.5% of reads submitted daily by 11am on GFD+1	97.5% of reads submitted by end of GFD+1	90% of daily reads submitted each month.	Reads submitted for 90% of MPRNs with a monthly read frequency each month.	Reads submitted for 70% of SSP MPRNs with an annual read frequency in each 12-month period.	Reads submitted for 90% of LSP MPRNs with an annual read frequency in each 12-month period.	MPRNs where an AQ has been uncalculated for more than 12 months each month
AQ Expectation	97.5% of MPRNs have a new AQ calculated in each month.	97.5% of MPRNs have a new AQ calculated in each month.	90% of MPRNs have a new AQ calculated in each month.	90% of MPRNs have a new AQ calculated in each month.	5.8% of MPRNs have a new AQ calculated each month.	7.5% of MPRNs have a new AQ calculated each month.	
Shipper A							

Shipper B							
Shipper C							
All Shippers							

Reconciliation Performance

Report title	Reconciliation Performance Target Monitoring
Report reference	1.9
Purpose of report	Where a meter reading has been submitted a reconciliation should occur for products 3 and 4.
Expected interpretation of report results	
Report structure (actual report headings and description of each heading)	See below.
Data inputs to the report	MPRNs where reconciliation has occurred each month. Count of MPRNs in shippers' portfolio.
Number rounding convention	Percentage, to two decimal places.
History e.g. report builds month on month	The report is produced monthly, giving time for the read submission deadline to pass, e.g. performance relating January will be reported in early March.
Rules governing treatment of data inputs (the actual formula / specification to prepare the report)	Percentage of reconciliations accepted against shipper portfolio. The portfolio size is measured as at the last day of the relevant month.
Design questions awaiting a response	
Frequency of report	Montly
Sort criteria – alphabetical, ascending etc	Alphabetically by Shipper Short Code
History/Background	UNC Mod 520 – PAF Reporting spreadsheet
Additional comments	
Estimated development cost	
Estimated ongoing cost	

Product	3	4	4	4	3 and 4
Reading Target	90% of daily reads submitted each month.	Reads submitted for 90% of MPRNs with a monthly read frequency each month.	Reads submitted for 70% of SSP MPRNs with an annual read frequency in each 12-month period.	Reads submitted for 90% of LSP MPRNs with an annual read frequency in each 12-month period.	MPRNs where a reconciliation has not occurred more than 12 months each month
Reconciliation Expectation	90% of MPRNs are reconciled in each month.	90% of MPRNs are reconciled in each month.	5.8% of MPRNs are reconciled in each month.	7.5% of MPRNs are reconciled in each month.	
Shipper A					
Shipper B					
Shipper C					
All Shippers					

Meter Reading Process Healthcheck

Report title	Meter Reading Process Healthcheck
Report reference	1.10
Purpose of report	To provide an overview of the effectiveness of the meter reading process
Expected interpretation of report results	A high proportion of reads requiring the use of the override flag and AQ correction process would indicate that the meter reading validation tolerances might need review.
Report structure (actual report headings and description of each heading)	See below.
Data inputs to the report	See table below.
Number rounding convention	Percentage, to two decimal places.
History e.g. report builds month on month	The report is produced monthly, giving time for the read submission deadline to pass, e.g. performance relating January will be reported in early March.
Rules governing treatment of data inputs (the actual formula / specification to prepare the report)	Percentage of reads where override flag used
Design questions awaiting a response	
Frequency of report	Monthly
Sort criteria – alphabetical, ascending etc	
History/Background	UNC Mod 520 – PAF Reporting spreadsheet
Additional comments	
Estimated development cost	
Estimated ongoing cost	

	Product Class			
	1	2	3	4
MPRNs on each Product				
Readings Accepted				
Readings Rejected				
Readings Accepted with Override flag				
Use of AQ correction process for market breaker reason.				