

## UK Link Industry Engagement Forum Risk, Assumption & Dependency Register

Risk	Mitigation	Supporting Information / Actions	Control Status
<p>There is a risk that some industry stakeholders do not have a good understanding of the UKLink Programme and Nexus requirements due to not attending industry meetings or reading the published documentation, leading to not being ready for UKL testing/implementation phases</p>	<ul style="list-style-type: none"> <li>• Industry readiness matrix developed to monitor organisations readiness</li> <li>• Engagement programme in place to target organisations not always present at industry meetings</li> <li>• Engagement Newsletters issued quarterly to all organisations</li> <li>• Data Cleansing programme in place and monitored</li> <li>• Registration activities for Market Trials to take place</li> <li>• Increased communication on take up of classes for new settlement products</li> <li>• Shippers and GTs to raise issue and awareness at any other fora they may attend e.g, Gas Forum, ICOSS etc. Xoserve contact point is Stakeholder Business Partner</li> </ul>	<p>During Xoserve/Shipper 121 engagement meetings, we are still encountering organisations who do not have an appreciation of the Nexus requirements or the UKLink Programme Changes. Xoserve are continuing to support these organisations.</p> <p>Feedback given to Xoserve w/c 28<sup>th</sup> April from Shipper organisation raising concerns that in an industry meeting it became obvious that a number of parties did not understand the Settlement requirements.</p>	
<p>There is a risk that industry modifications are raised which impact the baselined requirements and/or design models leading to an impact on the delivery (time/cost/quality) of the UKLink Programme</p>	<ul style="list-style-type: none"> <li>• Early engagement process in place for Xoserve to be involved prior to new modification being raised</li> <li>• Communicate key messages that requirements are baselined</li> <li>• Change Control process in place for the programme.</li> <li>• Make impacts (time/cost/quality) of potential changes to the programme at workstream meeting, Change Overview Board and UNC Mod Panel</li> </ul>	<p>List of out of scope modifications in place for the programme to track.</p> <p>Changes to legacy systems will not necessarily be delivered for 1<sup>st</sup> Oct 15 in the new systems.</p>	
<p>There is a risk the industry do not reach a conclusion on the values to be used for allocation of unidentified gas for 1st October 2015 and the values of "1" defined</p>	<ul style="list-style-type: none"> <li>• Xoserve to support the industry in progressing mod 473 and 473a through industry governance</li> </ul>		

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in mod 432 being unacceptable, leading to the industry requesting a delay to implementation.			
There is a risk that modifications required for transition are not progressed through industry governance in the timescales required for implementation.	<ul style="list-style-type: none"> <li>Raise all transition topics at industry group and gain consensus before modifications are raised.</li> </ul>	<p>Good progress has been made through PN UNC with schedule of transition topics published in advance.</p> <p>No significant issues have been encountered to date.</p>	
There is a risk that other industry programmes are delayed or change in scope leading to conflicting timescales or a requirement to amend the baselined UKL design models which impacts on the implementation timescales.	<ul style="list-style-type: none"> <li>Monitor industry programmes and potential impacts to UKLink Programme</li> <li>Identify dependency points between programmes</li> <li>Ask industry participants to draw attention to conflicting timescales in industry discussions where Xoserve are not present</li> </ul>	<p>Xoserve analysing any impacts from proposed DCC go live change to 1<sup>st</sup> December 2014.</p> <p>EU analysis in progress to understand impacts on UKLink system.</p>	
There is a risk that transition rules are not agreed and/or are changed late within the programme, leading to a delay in modifications being agreed and impacting implementation timelines.	<ul style="list-style-type: none"> <li>Transition topics schedule published for discussion at industry group in advance to ensure stakeholders can field the appropriate resources from their business.</li> <li>Early engagement on transition topics via industry group (even when not fully defined) to give early sight of potential issues and encourage open discussion.</li> </ul>	<p>Published schedule until August 2014. Good progress on transition topics in PNUNC so far.</p>	
There is a risk that stakeholders do not make necessary changes to their systems/processes in time to undertake Market Trials, leading to them being unable to participate.	<ul style="list-style-type: none"> <li>Xoserve to ensure dates are visible to the stakeholders as early as possible</li> <li>Engagement plan to ensure awareness of requirements (inc 121 meetings with organisations)</li> <li>Ensure relevant information is made available e.g. file formats</li> </ul>	<p>Market Trials dates have been shared at various forums since Jan 14. The dates are 1<sup>st</sup> June to 29<sup>th</sup> August 2015.</p> <p>File formats planned to be delivered 30/09/14 via UKLink Committee.</p> <p>Technology days planned for June 2014</p>	
There's a risk that information required by the industry (file formats / data flow / firewall port info)	<ul style="list-style-type: none"> <li>Ensure stakeholders are aware of dates when information will be available</li> </ul>	<p>File Formats 30/9/14</p> <p>Details (firewall ports etc) for Market</p>	

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<p>are not available at an early enough stage of the lifecycle to allow changes to stakeholder systems to be completed in time for Market Trials and/or Implementation</p>	<ul style="list-style-type: none"> <li>Understand lead times for stakeholder organisations to ensure this is planned</li> </ul>	<p>Trials Q1 2015</p>	
<p>There is a risk that stakeholders choose not to participate in Market Connectivity testing and Market Trials leading to issues being encountered connecting to the new system post go live.</p>	<ul style="list-style-type: none"> <li>Engagement plan to highlight Market Trials to stakeholders</li> <li>Visibility of Market Trial dates</li> <li>Understand issue of stakeholder organisations not being ready (June 15)</li> </ul>	<p>Testing Strategy to be presented on 12<sup>th</sup> May, giving outline information on the principles and dates for Market Trials.</p>	
<p>There is a risk that stakeholders are unable to resource the changes required for UKLink Programme alongside other changes required in 2015 leading to processing issues post go live.</p>	<ul style="list-style-type: none"> <li>Ensure visibility of industry wide programme and dependencies</li> </ul>		

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Assumption	Comment
All participants have read the Business Requirements Documents and understand the new process changes	Recent examples of organisations who are not aware, or fully aware, of the business requirements.
The level of industry change will decrease during 2014/15	Required for stable requirements and to allow
There will be an increased level of system error handling (primarily through SAP / AMT Sybex) which stakeholders will have to allow for.	Develop further information through detailed design and share at Industry Technology Days.
Customisation of SAP "out of the box" processes will be kept to a minimum. The programme plan assumes SAP "out of the box" and will require Industry support & approval to change processes & rules in order to meet the current build and testing timescales.	Monitor through detailed design phase and raise any requirements for process change to meet SAP "out of the box" processes with the industry through Project Nexus Workgroup.
The industry will have addressed data quality concerns raised by the programme by the time of the data load for UAT Testing in January 2015 to minimise the impact of inappropriate data on UK Link processes and industry participants.	Required to ensure User Acceptance testing is as robust and accurate as possible.
Industry connectivity testing will be required for UKLink but not Gemini as the connectivity for Gemini will not change as part of the Programme	To be confirmed through detailed design phase

Dependency	Impact
Stakeholders are required to have Internet Explorer v8 or above	Stakeholders may be unable to connect to the new system for Market Trials and at implementation
All Stakeholders will undertake Connectivity Testing and a minimum defined Industry mix for Market Trials	Problems may be encountered post implementation
All mods relevant to UKL Programme need to progress through industry governance in a timely manner	May impact implementation of changes.
The Nexus requirements will require changes to core UK Link, Gemini, Contact Management System (CMS), Data Enquiry Service (DES) and Xoserve IP reporting system.	Scope of changes to be detailed further through analysis and design phases of the programme.
New contracting arrangements to facilitate iGT agency services need to be in place	May impact ability to implement if contracting arrangements are not agreed and in place.