

Code Administration Code of Practice KPIs

The UNC KPIs required for the Code Administration Code of Practice are reported below, together with a list of the modifications involved where relevant.

1. Number and percentage of survey respondents who stated they were 'satisfied' or better with the assistance offered by the code administrator

An on-line survey was conducted in October 2012, to which 26 responses were received. In response to the question "If you have sought assistance from the Joint Office, how satisfied are you with the assistance received?", 26 indicated that assistance had been sought. Of these, 15 recorded themselves as Very Satisfied and 11 as Satisfied (100% satisfied or better).

2. Number and percentage of reports 'sent' back by the Authority.

In 2012, the Authority sent back two reports (8%):

0395	Limitation on Retrospective Invoicing and Invoice Correction (3 to 4 year solution)
0398	Limitation on Retrospective Invoicing and Invoice Correction

3. Number and percentage of final decisions on which the Authority's assessment i) accords with the panel recommendation and ii) conflicts with the panel recommendation

25 Ofgem decisions were issued during 2012, of which 15 (60%) accorded with the panel recommendation.

		Ofgem Decision	
		Implement	Reject
Panel Recommendation	Implement	11	7
	Reject	3	4

Panel recommended implementation: Ofgem directed implementation:

0356	Demand Data for the NTS Exit (Flat) Capacity Charges Methodology
0373	Governance of NTS connection processes
0378	Greater Transparency over AQ Appeal Performance
0390	Introduction of a Supply point Offtake Rate Review and Monitoring Process
0391	Distributed Gas Charging Arrangements
0392	Proposal to amend Annex A of the CSEP NExA table, by replacing the current version of the AQ table
0403	EU Third Package: 21 day switching with flexible objection period
0412	Changes to the Stages of Emergency Resulting from Changes Introduced by Exit Reform
0415	Revision of the Gas Balancing Alert Arrangements
0419	Redefining the capacity of the Specified Exit Point used in the calculation of the NTS Optional Commodity tariffs
0420	New Connections Interruptible loads

Panel did not recommend implementation: Ofgem did not direct implementation:

0231V	Changes to the Reasonable Endeavours Scheme to better incentivise the detection of Theft
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0326VV	Allocation of unidentified gas following the appointment of the Allocation of Unidentified Gas Expert (AUGE)
0346	An Alternative to the Supplier Energy Theft Scheme Based on Throughput
0387	Removal of Anonymity from Annual Quantity Appeal and Amendment Reports

Panel did not recommend implementation: Ofgem directed implementation:

0404	Profiling payment of LDZ capacity transportation charges for Small Shipper Organisations
0399	Transparency of Theft Detection Performance
0338V	Remove the UNC requirement for a 'gas trader' User to hold a Gas Shipper Licence

Panel Recommended Implementation: Ofgem did not direct implementation:

0277	Creation of Incentives for the Detection of Theft of Gas (Supplier Energy Theft Scheme)
0356A	Demand Data for the NTS Exit (Flat) Capacity Charges Methodology
0369	Re-establishment of Supply Meter Points – measures to address shipperless sites
0369A	Alternative Re-establishment of Supply Meter Points – measures to address shipperless sites
0375	Changes to how Unsecured Credit Limits are determined within UNC TPD Section V 3.1.7 (Independent Assessments)
0396	EU Third package: Three week switching
0426	Amendment to the NTS System Entry Overrun Charge

4. Glossary and plain English summary to be provided with reports

Ofgem guidance indicated that a qualitative assessment of this KPI should be made via customer surveys. The JO annual survey therefore included the question “Do you find the Joint Office’s reports/documentation to be sufficiently clear”, to which all respondents indicated they were satisfied or better. Thirteen indicated they were satisfied, and thirteen very satisfied.

5. Average number of respondents to consultation

39 consultations on draft modification reports closed during 2012. These attracted an average of 7.9 responses, with a minimum of 1 and maximum of 17 responses.

0356	Demand Data for the NTS Exit (Flat) Capacity Charges Methodology	17
0398	Limitation on Retrospective Invoicing and Invoice Correction (3 to 4 year solution)	15
0395	Limitation on Retrospective Invoicing and Invoice Correction	15
0403	EU Third Package: 21 day switching with flexible objection period	13
0396	EU Third package: Three week switching	13
0387	Removal of Anonymity from Annual Quantity Appeal and Amendment Reports	13
0421	Provision for an AQ Review Audit	11
0417S	Notice for Enduring Exit Capacity Reduction Applications	11
0373	Governance of NTS connection processes	11
0369	Re-establishment of Supply Meter Points – measures to address shipperless sites	11
0424	Re-establishment of Supply Meter Points – prospective measures to address shipperless sites	10
0378	Greater Transparency over AQ Appeal Performance	10
0376S	Increased Choice when Applying for NTS Exit Capacity	10
0326VV	Allocation of unidentified gas following the appointment of the Allocation of Unidentified Gas Expert (AUGE)	10
0427S	Estimated Opening Meter Reads Code Amendment	9

0420	New Connections Interruptible loads	9
0416S	Extending the data provision permissions created by Modification 0279 regarding historic asset and read data provision	9
0390	Introduction of a Supply point Offtake Rate Review and Monitoring Process	9
0338V	Remove the UNC requirement for a 'gas trader' User to hold a Gas Shipper Licence	9
0404	Profiling payment of LDZ capacity transportation charges for Small Shipper Organisations	8
0399	Transparency of Theft Detection Performance	8
0401S	Amendments to the provisions for agreeing pressures at the Offtakes from the National Transmission System to Distribution Networks	7
0412	Changes to the Stages of Emergency Resulting from Changes Introduced by Exit Reform	6
0411S	Removal of the Obligation to Publish Firm Gas Monitor from the UNC	6
0391	Distributed Gas Charging Arrangements	6
0419	Redefining the capacity of the Specified Exit Point used in the calculation of the NTS Optional Commodity tariffs	5
0414S	Discontinuation of ANS usage for Interruption Communications by Transporters	5
0409S	Removing the restriction on the Users' application quantity for Annual NTS Exit (Flat) Capacity	5
0400S	Removal of obligation to install duplicate Telemetry Equipment	5
0397S	Amendments to Section I of the OAD to reflect Offtake Profile Notice rules & recognition of different NTS/LDZ Offtake sensitivities	5
0393S	Interruptible to Firm – NTS Supply Points Transition	5
0415	Revision of the Gas Balancing Alert Arrangements	4
0384S	UNC Modification Rules; housekeeping, clarity and minor drafting changes	4
0426	Amendment to the NTS System Entry Overrun Charge	3
0413S	DN Adjustment of notices for the reduction of Enduring Annual NTS Exit (Flat) Capacity	3
0389VS	Simplification of Points of Telemetry	3
0423S	Removing the UNC TPD Section O requirement to publish nodal forecast data only at the same time as the Ten Year Statement	2
0408S	Moffat and Bacton Interconnectors: Changes to Agreements to Align to UNC Modification 0195AV	1
0406S	Changes to the Measurement Provisions of the px (TGPP) Limited Network Entry Agreement (NEA)	1

6. Percentage of papers published outside of modification rules requirements

The modification rules specify requirements for the publication of modification panel papers, modifications, and modification reports. These have all been met (0% outside modification rules requirements).

7. Number and percentage of reports submitted to the authority in line with original timetable

No timetable is set for when reports should be submitted to the Authority and hence we cannot report on this KPI as described. The modification panel sets a date by which each modification workgroup is requested to report.

During 2012, workgroup reports were received by the panel for 25 modifications. 21 (84%) of these were received within the requested timetable.

8. Number of extensions to timetable requested

At modification panel meetings held in 2012, 26 requests for workgroup reporting timetables to be extended were accepted.

9. Average time between (non-urgent) proposal being raised and submitted for decision

During 2012, the modification panel determined whether or not to implement (where self-governance applied) or recommend whether Ofgem should implement 43 non-urgent modifications. These were considered, on average, 229 days after they were raised, with the minimum being 63 days and the maximum 594.

0421	Provision for an AQ Review Audit	594
0384S	UNC Modification Rules; housekeeping, clarity and minor drafting changes	503
0373	Governance of NTS connection processes	405
0391	Distributed Gas Charging Arrangements	385
0395	Limitation on Retrospective Invoicing and Invoice Correction	378
0356	Demand Data for the NTS Exit (Flat) Capacity Charges Methodology	377
0398	Limitation on Retrospective Invoicing and Invoice Correction (3 to 4 year solution)	358
0369	Re-establishment of Supply Meter Points – measures to address shipperless sites	350
0375	Changes to how Unsecured Credit Limits are determined within UNC TPD Section V 3.1.7 (Independent Assessments)	304
0376S	Increased Choice when Applying for NTS Exit Capacity	297
0378	Greater Transparency over AQ Appeal Performance	286
0356A	Demand Data for the NTS Exit (Flat) Capacity Charges Methodology	258
0397S	Amendments to Section I of the OAD to reflect Offtake Profile Notice rules & recognition of different NTS/LDZ Offtake sensitivities	244
0424	Re-establishment of Supply Meter Points – prospective measures to address shipperless sites	232
0400S	Removal of obligation to install duplicate Telemetry Equipment	230
0401S	Amendments to the provisions for agreeing pressures at the Offtakes from the National Transmission System to Distribution Networks	227
0415	Revision of the Gas Balancing Alert Arrangements	226
0369A	Alternative Re-establishment of Supply Meter Points – measures to address shipperless sites	224
0396	EU Third package: Three week switching	223
0416S	Extending the data provision permissions created by Modification 0279 regarding historic asset and read data provision	220
0387	Removal of Anonymity from Annual Quantity Appeal and Amendment Reports	198
0426	Amendment to the NTS System Entry Overrun Charge	196
0393S	Interruptible to Firm – NTS Supply Points Transition	196
0399	Transparency of Theft Detection Performance	195
0412	Changes to the Stages of Emergency Resulting from Changes Introduced by Exit Reform	195
0376SA	Increased Choice when Applying for NTS Exit Capacity	195
0390	Introduction of a Supply point Offtake Rate Review and Monitoring Process	195
0326VV	Allocation of unidentified gas following the appointment of the Allocation of Unidentified Gas Expert (AUGE)	182
0427S	Estimated Opening Meter Reads Code Amendment	171
0420	New Connections Interruptible loads	169
0411S	Removal of the Obligation to Publish Firm Gas Monitor from the UNC	167
0404	Profiling payment of LDZ capacity transportation charges for Small Shipper	164

	Organisations	
0403	EU Third Package: 21 day switching with flexible objection period	157
0338V	Remove the UNC requirement for a 'gas trader' User to hold a Gas Shipper Licence	154
0408S	Moffat and Bacton Interconnectors: Changes to Agreements to Align to UNC Modification 0195AV	143
0409S	Removing the restriction on the Users' application quantity for Annual NTS Exit (Flat) Capacity	139
0414S	Discontinuation of ANS usage for Interruption Communications by Transporters	139
0417S	Notice for Enduring Exit Capacity Reduction Applications	128
0413S	DN Adjustment of notices for the reduction of Enduring Annual NTS Exit (Flat) Capacity	127
0406S	Changes to the Measurement Provisions of the px (TGPP) Limited Network Entry Agreement (NEA)	90
0423S	Removing the UNC TPD Section O requirement to publish nodal forecast data only at the same time as the Ten Year Statement	78
0419	Redefining the capacity of the Specified Exit Point used in the calculation of the NTS Optional Commodity tariffs	78
0389VS	Simplification of Points of Telemetry	63

10. Average time between proposal being submitted for decision and decision being published

This KPI disregards self-governance modifications but measures the number of days between the modification panel recommendation and when a decision letter is issued by Ofgem. During 2012, 25 decisions were received from Ofgem. On average, these were received after 125 days. The range was from 29 to 795 days.

0231V	Changes to the Reasonable Endeavours Scheme to better incentivise the detection of Theft	795
0277	Creation of Incentives for the Detection of Theft of Gas (Supplier Energy Theft Scheme)	431
0346	An Alternative to the Supplier Energy Theft Scheme Based on Throughput	431
0378	Greater Transparency over AQ Appeal Performance	186
0338V	Remove the UNC requirement for a 'gas trader' User to hold a Gas Shipper Licence	176
0403	EU Third Package: 21 day switching with flexible objection period	162
0396	EU Third package: Three week switching	162
0404	Profiling payment of LDZ capacity transportation charges for Small Shipper Organisations	84
0373	Governance of NTS connection processes	76
0399	Transparency of Theft Detection Performance	68
0356A	Demand Data for the NTS Exit (Flat) Capacity Charges Methodology	53
0356	Demand Data for the NTS Exit (Flat) Capacity Charges Methodology	53
0420	New Connections Interruptible loads	39
0392	Proposal to amend Annex A of the CSEP NExA table, by replacing the current version of the AQ table	36
0391	Distributed Gas Charging Arrangements	36
0390	Introduction of a Supply point Offtake Rate Review and Monitoring Process	36
0426	Amendment to the NTS System Entry Overrun Charge	35
0387	Removal of Anonymity from Annual Quantity Appeal and Amendment Reports	35
0375	Changes to how Unsecured Credit Limits are determined within UNC TPD Section V 3.1.7 (Independent Assessments)	35
0369A	Alternative Re-establishment of Supply Meter Points – measures to address	35

	shipperless sites	
0369	Re-establishment of Supply Meter Points – measures to address shipperless sites	35
0326VV	Allocation of unidentified gas following the appointment of the Allocation of Unidentified Gas Expert (AUGE)	35
0415	Revision of the Gas Balancing Alert Arrangements	34
0412	Changes to the Stages of Emergency Resulting from Changes Introduced by Exit Reform	34
0419	Redefining the capacity of the Specified Exit Point used in the calculation of the NTS Optional Commodity tariffs	29

11. Average time between decision and implementation (separately identifying systems and non-system changes)

29 modifications were implemented during 2012, none of which required changes to central systems. The average time between decision and implementation was 51 days.

0363V	Commercial Arrangements for NTS Commingling Facilities	287
0348	NTS Optional Commodity tariff – update to application rules	217
0392	Proposal to amend Annex A of the CSEP NExA table, by replacing the current version of the AQ table	161
0399	Transparency of Theft Detection Performance	102
0404	Profiling payment of LDZ capacity transportation charges for Small Shipper Organisations	81
0356	Demand Data for the NTS Exit (Flat) Capacity Charges Methodology	50
0412	Changes to the Stages of Emergency Resulting from Changes Introduced by Exit Reform	40
0415	Revision of the Gas Balancing Alert Arrangements	38
0390	Introduction of a Supply point Offtake Rate Review and Monitoring Process	37
0385S	Inclusion of DNOs as Users in User Pays Arrangements	29
0373	Governance of NTS connection processes	28
0331	Demand Estimation Section H Changes to Processes and Responsibilities	27
0413S	DN Adjustment of notices for the reduction of Enduring Annual NTS Exit (Flat) Capacity	27
0401S	Amendments to the provisions for agreeing pressures at the Offtakes from the National Transmission System to Distribution Networks	25
0409S	Removing the restriction on the Users' application quantity for Annual NTS Exit (Flat) Capacity	25
0408S	Moffat and Bacton Interconnectors: Changes to Agreements to Align to UNC Modification 0195AV	25
0400S	Removal of obligation to install duplicate Telemetry Equipment	25
0389VS	Simplification of Points of Telemetry	25
0397S	Amendments to Section I of the OAD to reflect Offtake Profile Notice rules & recognition of different NTS/LDZ Offtake sensitivities	25
0417S	Notice for Enduring Exit Capacity Reduction Applications	22
0406S	Changes to the Measurement Provisions of the px (TGPP) Limited Network Entry Agreement (NEA)	22
0423S	Removing the UNC TPD Section O requirement to publish nodal forecast data only at the same time as the Ten Year Statement	22
0411S	Removal of the Obligation to Publish Firm Gas Monitor from the UNC	22
0393S	Interruptible to Firm – NTS Supply Points Transition	22
0384S	UNC Modification Rules; housekeeping, clarity and minor drafting changes	22
0427S	Estimated Opening Meter Reads Code Amendment	22
0414S	Discontinuation of ANS usage for Interruption Communications by Transporters	22
0416S	Extending the data provision permissions created by Modification 0279	22

	regarding historic asset and read data provision	
0419	Redefining the capacity of the Specified Exit Point used in the calculation of the NTS Optional Commodity tariffs	5

12. Number and percentage of reports for which implementation cost estimates were available for consultation

An estimate of implementation costs for central systems (which may be zero) was included in all 39 modifications subject to consultation in 2012 (100%).

13. Accuracy percentage difference (whether higher or lower) between estimated and actual implementation costs

None of the modifications implemented during 2012 required changes to central systems.