

## Code Administration Code of Practice KPIs

### Joint Office of Gas Transporters 2014 Q1 Report

As part of its energy Codes Governance Review (CGR), Ofgem proposed that a Code of Practice, (the CACoP) “be established to facilitate convergence and transparency in code Modification processes and to help protect the interests of small market participants and consumers through various means including increased use of plain English in modification reports”.

The Code of Practice puts forward principles for Code Administrators to follow, but also sets out principles applicable to a code Modification process. A standard Modification process is described, including standard pro-forma code Modification documents, processes and timescales with the CACoP itself, the latest copy of which can be found at:

<https://www.ofgem.gov.uk/licences-codes-and-standards/codes/industry-codes-work/code-administration-code-practice-cacop>

The Joint Office of Gas Transporters (Joint Office) are the Code Administrator for the Uniform Network Code (UNC), which contains the terms of transportation arrangements that are common to gas transporters in England, Scotland and Wales. All modifications referred to in this document are available on the Joint Office website at:

<http://www.gasgovernance.co.uk/mods>

Where the CACoP requires us to report an average figure (e.g. days or numbers of modifications), we have included median values to address the skewing effect of particularly large, or small, occurrences.

#### Readers should be aware of the following when reading this document:

1. In compiling this document, the Joint Office have reported on UNC Modifications that have completed their respective process cycles (Withdrawal, Panel Determination for Self Governance / Fast Track Modifications or Ofgem Decision otherwise) within the reporting period ending on 31st March 2014 (18 modifications).

Modification Type			
Self-Governance	Fast Track	Modification	Urgent
3	1	14	-

For example, in assessing the information for item 10 there are currently several modifications for which a UNC Panel recommendation was made during Q1 2014, but an Ofgem decision is awaited – these modifications will be subsequently recorded within the remaining 2014 reports.

2. Where item 5 is concerned, in cases where alternative modifications are involved (i.e. 0451V/0451AV etc.) the number of responses recorded against the modifications are combined to reflect the fact that a ‘single’ consultation has been undertaken – this is in order to avoid ‘double or triple counting’ where ever possible. However, this does not discount that fact that in certain circumstances, a response may be provided against only one of the modifications concerned.
3. Items 1 and 4 have been held over pending the 2014 Joint Office Customer Satisfaction Survey.
4. Only calendar days are reported (i.e. not business days).

**1. Number and percentage of survey respondents who stated they were ‘satisfied’ or better with the assistance offered by the code administrator**

n/a

**2. Number and percentage of reports ‘sent’ back by the Authority.**

In Q1 2014, the Authority sent back 1 report (9%):

0425V	Project Nexus – gas settlement reform
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**3. Number and percentage of final decisions on which the Authority’s assessment i) accords with the panel recommendation and ii) conflicts with the panel recommendation**

13 Ofgem decisions were issued\*, of which 8 (62%) accorded with the panel recommendation.

		Ofgem Decision	
		Implement	Reject
Panel Recommendation	Implement	4	1
	Reject	4	4

*Panel recommended implementation: Ofgem directed implementation:*

0448	Aligning UNC with Licence Conditions relating to European legislative change and Alternative Modification Proposals
0434	Project Nexus – Retrospective Adjustment
0432	Project Nexus – gas settlement reform
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation

*Panel recommended implementation: Ofgem did not direct implementation:*

0418	Review of LDZ Customer Charges
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*Panel did not recommend implementation: Ofgem did not direct implementation:*

0451V	Individual Settlements For Pre-Payment & Smart Meters
0450A	Monthly revision of erroneous SSP AQs outside the User AQ Review Period
0450	Monthly revision of erroneous SSP AQs outside the User AQ Review Period
0418A	Review of LDZ Customer Charges

*Panel did not recommend implementation: Ofgem directed implementation:*

0461	Changing the UNC Gas Day to Align with the Gas Day in EU Network Codes
0451AV	Individual Settlements For Pre-Payment & Smart Meters
0450B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period
0425V	Re-establishment of Supply Meter Points – Shipperless sites

\*0435 was withdrawn before it reached this stage

**4. Glossary and plain English summary to be provided with reports**

n/a

### 5. Average number of respondents to consultation

11 consultations on draft modification reports closed in the data sample\*. These attracted an average of 12 responses (minimum of 4 and maximum of 21) with a median of 13 responses.

0418/0418A	Review of LDZ Customer Charges	21
0461	Changing the UNC Gas Day to Align with the Gas Day in EU Network Codes	18
0434	Project Nexus – Retrospective Adjustment	18
0432	Project Nexus – gas settlement reform	17
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation	14
0450/A/B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	13
0471S	Amending the start time that a Day-ahead Market Offer can be accepted	10
0463S	Recognition of the ‘2nd January’ Scottish Bank Holiday as a Business Day for Supply Point related transactions	7
0451V/0451AV	Individual Settlements For Pre-Payment & Smart Meters	7
0476S	Alignment of DN Charging Methodology with RIIO-GD1 Arrangements	4
0448	UNC alignment with Transporters’ licence requirements for “Network Code and Uniform Network Code” relating to European Regulatory change	4

\* 0435 was withdrawn prior to any consultation being undertaken and 0425V was not subjected to a consultation.

### 6. Percentage of papers published outside of modification rules requirements

The modification rules specify requirements for the publication of modification panel papers, modifications, and modification reports. These have all been met (0% outside modification rules requirements).

### 7. Number and percentage of reports submitted to the authority in line with original timetable

No timetable is set for when reports should be submitted to the Authority and hence we cannot report on this KPI as described. The modification panel sets a date by which each modification workgroup is requested to report.

During Q1 2014, workgroup reports were received by the panel for 5 modifications. 2 (40%) of these were received within the original requested timetable.

### 8. Number of extensions to timetable requested

At modification panel meetings held in Q1 2014, 9 requests for workgroup reporting timetables to be extended were accepted.

*9. Average time between (non-urgent) proposal being raised and submitted for decision*

During Q1 2014, the modification panel determined 17 non-urgent modifications\*. These were considered, on average, 243 days after they were raised (minimum of 21 and maximum of 624 days) with a median of 202 days.

0418	Review of LDZ Customer Charges	624
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation	538
0432	Project Nexus – gas settlement reform	496
0434	Project Nexus – Retrospective Adjustment	479
0418A	Review of LDZ Customer Charges	426
0450	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	309
0448	UNC alignment with Transporters' licence requirements for "Network Code and Uniform Network Code" relating to European Regulatory change	286
0461	Changing the UNC Gas Day to Align with the Gas Day in EU Network Codes	258
0463S	Recognition of the '2nd January' Scottish Bank Holiday as a Business Day for Supply Point related transactions	202
0450A	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	134
0450B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	122
0471S	Amending the start time that a Day-ahead Market Offer can be accepted	104
0476S	Alignment of DN Charging Methodology with RIIO-GD1 Arrangements	52
0425V	Re-establishment of Supply Meter Points – Shipperless sites	35
0480FT	Minor update to UNC General Terms Section B	24
0451AV	Individual Settlements For Pre-Payment & Smart Meters	21
0451V	Individual Settlements For Pre-Payment & Smart Meters	21

\* 0435 was withdrawn prior to Panel consideration.

*10. Average time between proposal being submitted for decision and decision being published*

This KPI disregards self-governance modifications but measures the number of days between the Final Modification Report being issued to Ofgem and the date of a decision letter by Ofgem. During Q1 2014, 13 decisions were received from Ofgem\*. On average, these were received after 39 days (in the range 21 to 71 days) with a median of 36 days.

0425V**	Re-establishment of Supply Meter Points – Shipperless sites	71
0448***	UNC alignment with Transporters' licence requirements for "Network Code and Uniform Network Code" relating to European Regulatory change	48
0418A	Review of LDZ Customer Charges	48
0418	Review of LDZ Customer Charges	48
0461	Changing the UNC Gas Day to Align with the Gas Day in EU Network Codes	36
0434	Project Nexus – Retrospective Adjustment	36
0432	Project Nexus – gas settlement reform	36
0450B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	35
0450A	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	35
0450	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	35
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation	35
0451AV	Individual Settlements For Pre-Payment & Smart Meters	21
0451V	Individual Settlements For Pre-Payment & Smart Meters	21

\* 0435 was withdrawn prior to Panel consideration.

\*\* subject to an Ofgem send back dated 08/01/2014.

\*\*\* spanned Ofgem Christmas moratorium on decisions.

*11. Average time between decision and implementation (separately identifying systems and non-system changes)*

4 modifications were implemented during Q1 2014, 1 of which required changes to central systems. The average time between decision and implementation was 12 days (with a range of 1 to 22 days) and a median of 12 days.

0480FT	Minor update to UNC General Terms Section B	22
0463S	Recognition of the '2nd January' Scottish Bank Holiday as a Business Day for Supply Point related transactions	22
0451AV	Individual Settlements For Pre-Payment & Smart Meters	1
0448	UNC alignment with Transporters' licence requirements for "Network Code and Uniform Network Code" relating to European Regulatory change	1

*12. Number and percentage of reports for which implementation cost estimates were available for consultation*

An estimate of implementation costs for central systems (which may be zero) was included in all 11 modifications subject to consultation in Q1 2014 (100%).

*13. Accuracy percentage difference (whether higher or lower) between estimated and actual implementation costs*

1 of the modifications implemented during Q1 2014 required changes to central systems, though these changes have yet to be completed and no report is made at this time.