

Code Administration Code of Practice KPIs

Joint Office of Gas Transporters 2014 Q2 Report

As part of its energy Codes Governance Review (CGR), Ofgem proposed that a Code of Practice, (the CACoP) “be established to facilitate convergence and transparency in code Modification processes and to help protect the interests of small market participants and consumers through various means including increased use of plain English in modification reports”.

The Code of Practice puts forward principles for Code Administrators to follow, but also sets out principles applicable to a code Modification process. A standard Modification process is described, including standard pro-forma code Modification documents, processes and timescales with the CACoP itself, the latest copy of which can be found at:

<https://www.ofgem.gov.uk/licences-codes-and-standards/codes/industry-codes-work/code-administration-code-practice-cacop>

The Joint Office of Gas Transporters (Joint Office) are the Code Administrator for the Uniform Network Code (UNC), which contains the terms of transportation arrangements that are common to gas transporters in England, Scotland and Wales. All modifications referred to in this document are available on the Joint Office website at:

<http://www.gasgovernance.co.uk/mods>

Where the CACoP requires us to report an average figure (e.g. days or numbers of modifications), we have included median values to address the skewing effect of particularly large, or small, occurrences.

Readers should be aware of the following when reading this document:

1. In compiling this document, we have reported on UNC Modifications that have completed their respective process cycles (Withdrawal, Panel Determination for Self Governance / Fast Track Modifications or Ofgem Decision otherwise) within the reporting period **01 April to 30 June 2014** (16 modifications) – see Appendix 2 for a full list.

Modification Type			
Self-Governance	Fast Track	Modification	Urgent
6	3	7	-

For example, in assessing the information for KPI 10 there are currently several modifications for which a UNC Panel recommendation was made during Q2 2014, but an Ofgem decision is awaited – these modifications will be subsequently recorded within the remaining 2014 reports.

2. Where item 5 is concerned, in cases where alternative modifications are involved (i.e. 0451V/0451AV etc.) the number of responses recorded against the modifications are combined to reflect the fact that a ‘single’ consultation has been undertaken – this is in order to avoid ‘double or triple counting’ where ever possible. However, this does not discount that fact that in certain circumstances, a response may be provided against only one of the modifications concerned (not relevant for this edition of the report).
3. Items 1 and 4 have been held over pending the 2014 Joint Office Customer Satisfaction Survey.
4. Only calendar days are reported (i.e. not business days).
5. A quarterly comparison and year-to-date position is shown in Appendix 1

1. Number and percentage of survey respondents who stated they were 'satisfied' or better with the assistance offered by the code administrator

n/a

2. Number and percentage of reports 'sent' back by the Authority.

In Q2 2014, the Authority sent back 1 report (6%):

0454	Introduction of a Long Term Non Firm Capacity Product
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3. Number and percentage of final decisions on which the Authority's assessment i) accords with the panel recommendation and ii) conflicts with the panel recommendation

7 Ofgem decisions were issued*, of which 5 (72%) accorded with the panel recommendation.

		Ofgem Decision	
		Implement	Reject
Panel Recommendation	Implement	5	2
	Reject	-	-

Panel recommended implementation: Ofgem directed implementation:

0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus
0477	Supply Point Registration - Facilitation of Faster Switching
0458	Seasonal LDZ System Capacity Rights.
0455	Updating of Meter Information by the Transporter

Panel recommended implementation: Ofgem did not direct implementation:

0491	Change implementation date of Project Nexus to 1st April 2016
0454	Introduction of a Long Term Non Firm Capacity Product

Panel did not recommend implementation: Ofgem did not direct implementation:

	n/a
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Panel did not recommend implementation: Ofgem directed implementation:

	n/a
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4. Glossary and plain English summary to be provided with reports

n/a

5. Average number of respondents to consultation

13 consultations on draft modification reports closed in the data sample. These attracted an average of 8 responses (minimum of 3 and maximum of 17) with a median of 6 responses.

0491	Change implementation date of Project Nexus to 1st April 2016	17
0455	Updating of Meter Information by the Transporter	13
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	11
0484S	Guidance for the production of legal text	9
0477	Supply Point Registration - Facilitation of Faster Switching	9
0458	Seasonal LDZ System Capacity Rights.	8
0488S	Additional Changes to the Mod 81 Reports	6
0482S	Proposed Changes to Mod 81 Reports to be effective from 01/10/13	6
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	4
0475S	Update to Section G, Annex G3 (Propsective Erroneous Large AQ Calculation Proforma)	4
0474S	Inclusion of the guidelines relating to the "Customer Settlement Error Claims Process" within UNC governance.	4
0454	Introduction of a Long Term Non Firm Capacity Product	4
0490S	Updates to UNC TPD Section B to correct anomalies arising from the implementation of UNC Modification 0376S "Increased Choice when Applying for NTS Exit Capacity	3

6. Percentage of papers published outside of modification rules requirements

The modification rules specify requirements for the publication of modification panel papers, modifications, and modification reports. These have all been met (0% outside modification rules requirements).

7. Number and percentage of reports submitted to the authority in line with original timetable

No timetable is set for when reports should be submitted to the Authority and hence we cannot report on this KPI as described. The modification panel sets a date by which each modification workgroup is requested to report.

During Q2 2014, workgroup reports were received by the panel for 11 modifications. 6 (55%) of these were received within the original requested timetable.

8. Number of extensions to timetable requested

At modification panel meetings held in Q2 2014, 8 requests for workgroup reporting timetables to be extended were accepted.

9. Average time between (non-urgent) proposal being raised and submitted for decision

During Q2 2014, the modification panel determined 16 non-urgent modifications. These were considered, on average, 127 days after they were raised (minimum of 13 and maximum of 342 days) with a median of 98 days.

0458	Seasonal LDZ System Capacity Rights.	342
0455	Updating of Meter Information by the Transporter	331
0454	Introduction of a Long Term Non Firm Capacity Product	259
0475S	Update to Section G, Annex G3 (Propsective Erroneous Large AQ Calculation Proforma)	178
0474S	Inclusion of the guidelines relating to the "Customer Settlement Error Claims Process" within UNC governance.	156
0484S	Guidance for the production of legal text	132
0482S	Proposed Changes to Mod 81 Reports to be effective from 01/10/13	120
0477	Supply Point Registration - Facilitation of Faster Switching	104
0488S	Additional Changes to the Mod 81 Reports	91
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	80
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	73
0492FT	Governance change - update to Mod Rules following Consumers' Representative's name change	41
0490S	Updates to UNC TPD Section B to correct anomalies arising from the implementation of UNC Modification 0376S "Increased Choice when Applying for NTS Exit Capacity	41
0499FT	Amendment to TPD Section G1.6.13(e)(iv)	35
0491	Change implementation date of Project Nexus to 1st April 2016	28
0496FT	Reinstatement of Calendar Days as a measure in Section G2.5.8(b)	13

10. Average time between proposal being submitted for decision and decision being published

This KPI disregards self-governance and fast track modifications but measures the number of days between the Final Modification Report being issued to Ofgem and the date of a decision letter by Ofgem. During Q2 2014, 7 decisions were received from Ofgem. On average, these were received after 50 days (in the range 13 to 155 days) with a median of 36 days.

0454*	Introduction of a Long Term Non Firm Capacity Product	155
0491	Change implementation date of Project Nexus to 1st April 2016	39
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	36
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	36
0458	Seasonal LDZ System Capacity Rights.	36
0455	Updating of Meter Information by the Transporter	36
0477	Supply Point Registration - Facilitation of Faster Switching	13

* subject to an Ofgem send back dated 19/02/2014.

11a. Average time between decision and implementation (separately identifying systems and non-system changes) - for modifications that completed their cycle and were implemented during Q2

6 modifications completed their governance cycle and were implemented during Q2 2014, 1 of which (0455) required changes to central systems. The average time between decision and implementation was 22 days (with a range of 4 to 27 days) and a median of 25 days.

0496FT	Reinstatement of Calendar Days as a measure in Section G2.5.8(b)	27
0490S	Updates to UNC TPD Section B to correct anomalies arising from the implementation of UNC Modification 0376S "Increased Choice when Applying for NTS Exit Capacity	27
0499FT	Amendment to TPD Section G1.6.13(e)(iv)	25
0492FT	Governance change - update to Mod Rules following Consumers' Representative's name change	25
0474S	Inclusion of the guidelines relating to the "Customer Settlement Error Claims Process" within UNC governance.	25
0455	Updating of Meter Information by the Transporter	4

11b. Average time between decision and implementation (separately identifying systems and non-system changes) - for modifications completing their cycle in Q1 but implemented in Q2

4 modifications completed their cycle during Q1 but were implemented during Q2 2014, 2 of which (0471S and 0425V) required changes to central systems. The average time between decision and implementation was 52 days (with a range of 40 to 68 days) and a median of 50 days.

0471S	Amending the start time that a Day-ahead Market Offer can be accepted	68
0425V	Re-establishment of Supply Meter Points – Shipperless sites	60
0476S	Alignment of DN Charging Methodology with RIIO-GD1 Arrangements	40
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation	40

12. Number and percentage of reports for which implementation cost estimates were available for consultation

An estimate of implementation costs for central systems (which may be zero) was included in all 13 modifications subject to consultation in Q2 2014 (100%).

13a. Accuracy percentage difference (whether higher or lower) between estimated and actual implementation costs for modifications that were specific to Q2 only

1 of the modifications implemented during Q2 2014 required changes to central systems (0455), though these changes have yet to be completed and no report is made at this time.

13b. Accuracy percentage difference (whether higher or lower) between estimated and actual implementation costs for modifications rolling over (as in KPI 11) from Q1 to Q2

2 of the modifications rolled over from the Q1 statistics that were implemented during Q2 2014 required changes to central systems (0471S and 0425V), though these changes have yet to be completed and no report is made at this time.

Appendix 1 – Comparison and Year to Date Performance*

KPI Reference		Q1	Q2	Q3	Q4	YTD
2	Reports Sent Back by the Authority	1	1			2
3	Decisions Panel vs Authority	i) Accord	8	5		13
		ii) Conflict	5	2		7
5	Respondents to Consultations	i) Average	12	8		10
		ii) Median	13	6		10
8	Extensions to Timetable	10	10			20
9	Time between 'raised' and 'submitted'	i) Average	243	127		185
		ii) Median	202	98		150
10	Time between 'submitted' and decision being published	i) Average	39	50		45
		ii) Median	36	36		36

*All data is 'number'

Appendix 2 – Modifications included in this Report (Q2 specific)

0499FT	Amendment to TPD Section G1.6.13(e)(iv)	Fast Track
0496FT	Reinstatement of Calendar Days as a measure in Section G2.5.8(b)	Fast Track
0492FT	Governance change - update to Mod Rules following Consumers' Representative's name change	Fast Track
0491	Change implementation date of Project Nexus to 1st April 2016	Modification
0490S	Updates to UNC TPD Section B to correct anomalies arising from the implementation of UNC Modification 0376S "Increased Choice when Applying for NTS Exit Capacity	Self-Governance
0488S	Additional Changes to the Mod 81 Reports	Self-Governance
0484S	Guidance for the production of legal text	Self-Governance
0482S	Proposed Changes to Mod 81 Reports to be effective from 01/10/13	Self-Governance
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	Modification
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	Modification
0477	Supply Point Registration - Facilitation of Faster Switching	Modification
0475S	Update to Section G, Annex G3 (Propsective Erroneous Large AQ Calculation Proforma)	Self-Governance
0474S	Inclusion of the guidelines relating to the "Customer Settlement Error Claims Process" within UNC governance.	Self-Governance
0458	Seasonal LDZ System Capacity Rights.	Modification
0455	Updating of Meter Information by the Transporter	Modification
0454	Introduction of a Long Term Non Firm Capacity Product	Modification

Appendix 3 – Modifications included in this Report (Q1 rolled over into Q2)

The data sampling approach taken in compiling this report means that a modification completing its relevant governance cycle in any given quarter but being implemented in another might not feature in KPIs 11 (time between decision and implementation) and 13 (accuracy of estimated versus actual implementation costs. To avoid this, KPI 11b and 13b has been included to identify those modifications ‘rolled over’ from a previous quarter.

The table below identifies the modifications that fall into this description for this report.

0471S	Amending the start time that a Day-ahead Market Offer can be accepted	Self-Governance
0425V	Re-establishment of Supply Meter Points – Shipperless sites	Modification
0476S	Alignment of DN Charging Methodology with RIIO-GD1 Arrangements	Self-Governance
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation	Modification