

Code Administration Code of Practice KPIs

Joint Office of Gas Transporters 2014 Q4 Report

As part of its energy Codes Governance Review (CGR), Ofgem proposed that a Code of Practice, (the CACoP) “be established to facilitate convergence and transparency in code Modification processes and to help protect the interests of small market participants and consumers through various means including increased use of plain English in modification reports”.

The Code of Practice puts forward principles for Code Administrators to follow, but also sets out principles applicable to a code Modification process. A standard Modification process is described, including standard pro-forma code Modification documents, processes and timescales with the CACoP itself, the latest copy of which can be found at:

<https://www.ofgem.gov.uk/licences-codes-and-standards/codes/industry-codes-work/code-administration-code-practice-cacop>

The Joint Office of Gas Transporters (Joint Office) are the Code Administrator for the Uniform Network Code (UNC), which contains the terms of transportation arrangements that are common to gas transporters in England, Scotland and Wales. All modifications referred to in this document are available on the Joint Office website at:

<http://www.gasgovernance.co.uk/mods>

Where the CACoP requires us to report an average figure (e.g. days or numbers of modifications), we have included median values to address the skewing effect of particularly large, or small, occurrences.

Readers should be aware of the following when reading this document:

1. In compiling this document, we have reported on UNC Modifications that have completed their respective process cycles (Withdrawal, Panel Determination for Self Governance / Fast Track Modifications or Ofgem Decision otherwise) within the reporting period **01 October to 31 December 2014** (10 modifications) – see Appendix 2 for a full list.

Self-Governance	Fast Track	Modification	Withdrawn
1	-	5	4

For example, in assessing the information for KPI 10 there are currently several modifications for which a UNC Panel recommendation was made during Q4 2014, but an Ofgem decision is awaited – these modifications will be subsequently recorded when the decision is received.

2. Where a modification has been withdrawn, regardless of whether it is Fast-Track, Self-Governance or standard Modification, it is classed as ‘Withdrawn’.
3. Where item 5 is concerned, in cases where alternative modifications are involved (i.e. 0451V/0451AV etc.) the number of responses recorded against the modifications are combined to reflect the fact that a ‘single’ consultation has been undertaken – this is in order to avoid ‘double or triple counting’ where ever possible.
4. Items 1 and 4 are not reported in the Quarterly Reports, only in the Annual Report.
5. Only calendar days are reported (i.e. not business days).
6. A quarterly comparison and year-to-date position is shown in Appendix 1.

1. Number and percentage of survey respondents who stated they were 'satisfied' or better with the assistance offered by the code administrator

n/a

2. Number and percentage of reports 'sent' back by the Authority.

In Q4 2014, the Authority sent back 0 reports (0%):

	None
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3. Number and percentage of final decisions on which the Authority's assessment i) accords with the panel recommendation and ii) conflicts with the panel recommendation

5 Ofgem decisions were issued, of which 3 (60%) accorded with the panel recommendation.

		Ofgem Decision	
		Implement	Reject
Panel Recommendation	Implement	3	1
	Reject	1	-

Panel recommended implementation: Ofgem directed implementation:

0513	UK Link Programme (Project Nexus) - independent project assurance for Users
0497	Removal of the CSEP NExA table held within Annex A part 8
0465V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA), Weighted Average PARCA Security

Panel recommended implementation: Ofgem did not direct implementation:

0452V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA)
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Panel did not recommend implementation: Ofgem did not direct implementation:

	None
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Panel did not recommend implementation: Ofgem directed implementation:

0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)
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4. Glossary and plain English summary to be provided with reports

n/a

5. Average number of respondents to consultation

6 consultations on draft modification reports closed in the data sample. These attracted an average of 7 responses (minimum of 2 and maximum of 14) with a median of 6 responses.

0513	UK Link Programme (Project Nexus) - independent project assurance for Users	14
0487S	Introduction of an Advanced Meter Indicator and Advanced Meter Reader (AMR) Service Provider Identifier in advance of Project Nexus Go Live	8
0469S	Transporter Gas Safety Visit Reporting	7
0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)	5
0497	Removal of the CSEP NExA table held within Annex A part 8	4

0505S	Update to UNC TPD Section G & M to correct anomalies arising from the implementation of UNC Modification 0463S	2
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6. Percentage of papers published outside of modification rules requirements

The modification rules specify requirements for the publication of modification panel papers, modifications, and modification reports. These have all been met (0% outside modification rules requirements).

7. Number and percentage of reports submitted to the authority in line with original timetable

No timetable is set for when reports should be submitted to the Authority and hence we cannot report on this KPI as described. The modification panel sets a date by which each modification workgroup is requested to report.

During Q4 2014, workgroup reports were received by the panel for 10 modifications. 5 (50%) of these were received within the original requested timetable.

8. Number of extensions to timetable requested

This KPI measures how the Workgroup assessment process is currently working and is therefore not restricted to modifications completing their cycle in this report.

At modification panel meetings held in Q4 2014, 11 requests for workgroup reporting timetables to be extended were accepted.

9. Average time between (non-urgent) proposal being raised and submitted for decision

During Q4 2014, the modification panel determined 5 non-urgent modifications. These were considered, on average, 211 days after they were raised (minimum of 79 and maximum of 440 days) with a median of 195 days.

0469S	Transporter Gas Safety Visit Reporting	440
0452V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA)	258
0497	Removal of the CSEP NExA table held within Annex A part 8	195
0465V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA), Weighted Average PARCA Security	83
0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)	79

10. Average time between proposal being submitted for decision and decision being published

This KPI disregards self-governance and fast track modifications but measures the number of days between the Final Modification Report being issued to Ofgem and the date of a decision letter by Ofgem. During Q4 2014, 5 decisions were received from Ofgem. On average, these were received after 164 days (in the range 35 to 351 days) with a median of 49 days.

0465V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA), Weighted Average PARCA Security	351
0452V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA)	351
0513	UK Link Programme (Project Nexus) - independent project assurance for	49

	Users	
0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)	36
0497	Removal of the CSEP NExA table held within Annex A part 8	35

11a. Average time between decision and implementation (separately identifying systems and non-system changes) - for modifications that completed their cycle and were implemented during Q4

2 modifications completed their governance cycle and were implemented during Q4 2014, none of which required changes to central systems. The average time between decision and implementation was 5.5 days (with a range of 3 to 8 days) and a median of 5.5 days.

0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)	8
0513	UK Link Programme (Project Nexus) - independent project assurance for Users	3

11b. Average time between decision and implementation (separately identifying systems and non-system changes) - for modifications completing their cycle up to Q3 but implemented in Q4

5 modifications completed their cycle during Q1/2/3 but were implemented during Q4 2014, 2 of which required changes to central systems. The average time between decision and implementation was 163 days (with a range of 131 to 223 days) and a median of 139 days.

0450B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	223
0477	Supply Point Registration - Facilitation of Faster Switching	191
0499FT	Amendment to TPD Section G1.6.13(e)(iv)	139
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	131
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	131

12. Number and percentage of reports for which implementation cost estimates were available for consultation

An estimate of implementation costs for central systems (which may be zero) was included in all 6 modifications subject to consultation in Q4 2014 (100%).

13a. Accuracy percentage difference (whether higher or lower) between estimated and actual implementation costs for modifications that were specific to Q4 only

None of the modifications implemented during Q4 2014 required changes to central systems.

13b. Accuracy percentage difference (whether higher or lower) between estimated and actual implementation costs for modifications rolling over (as in KPI 11) from Q1/2/3 to Q4

2 of the modifications rolled over from the Q1/2/3 reports that were implemented during Q4 2014 required changes to central systems (0477 & 0450B), although these changes have yet to be completed and no report is made at this time.

Appendix 1 – Comparison and Year to Date Performance*

KPI Reference		2014						2013	2012	2011	
		Q1	Q2	Q3	Q4	Year Total					
2	Reports Sent Back by the Authority	1	1	0	0	2		1	2	0	
3	Decisions Panel vs Authority	i) Accord	8	5	2	3	18		18	15	32
		ii) Conflict	5	2	0	2	9		2	10	8
5	Respondents to Consultations	i) Average	12	8	3	7	8		7	8	8
		ii) Median	13	6	3	6	7		6	8	-
8	Extensions to Timetable	9	8	4	11	32		52	26	58	
9	Time between 'raised' and 'submitted' (days)	i) Average	243	127	157	211	187		225	229	172
		ii) Median	202	98	150	195	133		168	196	-
10	Time between 'submitted' and decision being published (days)	i) Average	39	50	34	164	65		44	125	83
		ii) Median	36	36	34	49	36		34	39	-

*All data is 'number'

Appendix 2 – Modifications included in this Report (Q4 specific)

0513	UK Link Programme (Project Nexus) - independent project assurance for Users	Urgent
0508	Revised Distributed Gas Charging Arrangements	Withdrawn
0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)	Modification
0505S	Update to UNC TPD Section G & M to correct anomalies arising from the implementation of UNC Modification 0463S	Withdrawn
0497	Removal of the CSEP NExA table held within Annex A part 8	Modification
0495	Introduction of a Change Board for the UNC	Withdrawn
0487S	Introduction of an Advanced Meter Indicator and Advanced Meter Reader (AMR) Service Provider Identifier in advance of Project Nexus Go Live	Withdrawn
0469S	Transporter Gas Safety Visit Reporting	Self-Governance
0465V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA), Weighted Average PARCA Security	Modification
0452V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA)	Modification

Appendix 3 – Modifications included in this Report (Q1/2/3 rolled over into Q4)

The data sampling approach taken in compiling this report means that a modification completing its relevant governance cycle in any given quarter but being implemented in another might not feature in KPIs 11 (time between decision and implementation) and 13 (accuracy of estimated versus actual implementation costs. To avoid this, KPI 11b and 13b has been included to identify those modifications ‘rolled over’ from a previous quarter.

The table below identifies the modifications that fall into this description for this report.

0499FT	Amendment to TPD Section G1.6.13(e)(iv)	Fast Track
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	Modification
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	Modification
0477	Supply Point Registration - Facilitation of Faster Switching	Modification
0450B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	Modification