

Code Administration Code of Practice KPIs

Joint Office of Gas Transporters 2014 Report

As part of its energy Codes Governance Review (CGR), Ofgem proposed that a Code of Practice, (the CACoP) “be established to facilitate convergence and transparency in code Modification processes and to help protect the interests of small market participants and consumers through various means including increased use of plain English in modification reports”.

The Code of Practice puts forward principles for Code Administrators to follow, but also sets out principles applicable to a code Modification process. A standard Modification process is described, including standard pro-forma code Modification documents, processes and timescales with the CACoP itself, the latest copy of which can be found at:

<https://www.ofgem.gov.uk/licences-codes-and-standards/codes/industry-codes-work/code-administration-code-practice-cacop>

The Joint Office of Gas Transporters (Joint Office) are the Code Administrator for the Uniform Network Code (UNC), which contains the terms of transportation arrangements that are common to gas transporters in England, Scotland and Wales. All modifications referred to in this document are available on the Joint Office website at:

<http://www.gasgovernance.co.uk/mods>

Where the CACoP requires us to report an average figure (e.g. days or numbers of modifications), we have included median values to address the skewing effect of particularly large, or small, occurrences.

Readers should be aware of the following when reading this document:

1. In compiling this document, we have reported on UNC Modifications that have completed their respective process cycles (Withdrawal, Panel Determination for Self Governance / Fast Track Modifications or Ofgem Decision otherwise) within the reporting period **01 January to 31 December 2014** (50 modifications) – see Appendix 2 for a full list.

Modification Type			
Self-Governance	Fast Track	Modification	Withdrawn
11	5	26	8

For example, in assessing the information for KPI 10 there are currently several modifications for which a UNC Panel recommendation was made during 2014, but an Ofgem decision is awaited – these modifications will be subsequently recorded when the decision is received.

2. Where item 5 is concerned, in cases where alternative modifications are involved (i.e. 0451V/0451AV etc.) the number of responses recorded against the modifications are combined to reflect the fact that a ‘single’ consultation has been undertaken – this is in order to avoid ‘double or triple counting’ where ever possible. However, this does not discount that fact that in certain circumstances, a response may be provided against only one of the modifications concerned (not relevant for this edition of the report).
3. Only calendar days are reported (i.e. not business days).
4. A comparison with previous years is shown in Appendix 1

1. Number and percentage of survey respondents who stated they were ‘satisfied’ or better with the assistance offered by the code administrator

50 (100%)

2. Number and percentage of reports ‘sent’ back by the Authority.

In 2014, the Authority sent back 2 reports (7%):

0425V	Re-establishment of Supply Meter Points – Shipperless sites
0454	Introduction of a Long Term Non Firm Capacity Product

3. Number and percentage of final decisions on which the Authority’s assessment i) accords with the panel recommendation and ii) conflicts with the panel recommendation

27 Ofgem decisions were issued, of which 18 (67%) accorded with the panel recommendation.

		Ofgem Decision	
		Implement	Reject
Panel Recommendation	Implement	14	4
	Reject	5	4

Panel recommended implementation: Ofgem directed implementation:

0513	UK Link Programme (Project Nexus) - independent project assurance for Users
0497	Removal of the CSEP NExA table held within Annex A part 8
0494	Imbalance Charge amendments required to align the UNC with the Network Code on Gas Balancing of Transmission Networks
0485	Introduction of the Long-term use-it-or-lose-it mechanism to facilitate compliance with the EU Congestion Management Procedures
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus
0477	Supply Point Registration - Facilitation of Faster Switching
0465V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA), Weighted Average PARCA Security
0458	Seasonal LDZ System Capacity Rights.
0455	Updating of Meter Information by the Transporter
0448	Aligning UNC with Licence Conditions relating to European legislative change and Alternative Modification Proposals
0434	Project Nexus – Retrospective Adjustment
0432	Project Nexus – gas settlement reform
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation

Panel recommended implementation: Ofgem did not direct implementation:

0491	Change implementation date of Project Nexus to 1st April 2016
0454	Introduction of a Long Term Non Firm Capacity Product
0452V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA)
0418	Review of LDZ Customer Charges

Panel did not recommend implementation: Ofgem did not direct implementation:

0451V	Individual Settlements For Pre-Payment & Smart Meters
0450A	Monthly revision of erroneous SSP AQs outside the User AQ Review Period
0450	Monthly revision of erroneous SSP AQs outside the User AQ Review Period
0418A	Review of LDZ Customer Charges

Panel did not recommend implementation: Ofgem directed implementation:

0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)
0461	Changing the UNC Gas Day to Align with the Gas Day in EU Network Codes
0451AV	Individual Settlements For Pre-Payment & Smart Meters
0450B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period
0425V	Re-establishment of Supply Meter Points – Shipperless sites

4. Glossary and plain English summary to be provided with reports

Ofgem guidance indicated that a qualitative assessment of this KPI should be made via customer surveys. The JO annual survey therefore included the question “How satisfied are you with the materials produced”, to which all respondents (100%) indicated they were satisfied or better.

5. Average number of respondents to consultation

33 consultations on draft modification reports closed in the data sample. These attracted an average of 8 responses (minimum of 2 and maximum of 21) with a median of 7 responses.

0418/0418A	Review of LDZ Customer Charges	21
0461	Changing the UNC Gas Day to Align with the Gas Day in EU Network Codes	18
0434	Project Nexus – Retrospective Adjustment	18
0491	Change implementation date of Project Nexus to 1st April 2016	17
0432	Project Nexus – gas settlement reform	17
0513	UK Link Programme (Project Nexus) - independent project assurance for Users	14
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation	14
0455	Updating of Meter Information by the Transporter	13
0450/A/B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	13
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	11
0471S	Amending the start time that a Day-ahead Market Offer can be accepted	10
0484S	Guidance for the production of legal text	9
0477	Supply Point Registration - Facilitation of Faster Switching	9
0487S	Introduction of an Advanced Meter Indicator and Advanced Meter Reader (AMR) Service Provider Identifier in advance of Project Nexus Go Live	8
0458	Seasonal LDZ System Capacity Rights.	8
0469S	Transporter Gas Safety Visit Reporting	7
0463S	Recognition of the '2nd January' Scottish Bank Holiday as a Business Day for Supply Point related transactions	7
0451V/0451AV	Individual Settlements For Pre-Payment & Smart Meters	7

0488S	Additional Changes to the Mod 81 Reports	6
0482S	Proposed Changes to Mod 81 Reports to be effective from 01/10/13	6
0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)	5
0497	Removal of the CSEP NExA table held within Annex A part 8	4
0476S	Alignment of DN Charging Methodology with RIIO-GD1 Arrangements	4
0448	UNC alignment with Transporters' licence requirements for "Network Code and Uniform Network Code" relating to European Regulatory change	4
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	4
0475S	Update to Section G, Annex G3 (Propsective Erroneous Large AQ Calculation Proforma)	4
0474S	Inclusion of the guidelines relating to the "Customer Settlement Error Claims Process" within UNC governance.	4
0454	Introduction of a Long Term Non Firm Capacity Product	4
0490S	Updates to UNC TPD Section B to correct anomalies arising from the implementation of UNC Modification 0376S "Increased Choice when Applying for NTS Exit Capacity	3
0494	Imbalance Charge amendments required to align the UNC with the Network Code on Gas Balancing of Transmission Networks	3
0485	Introduction of the Long-term use-it-or-lose-it mechanism to facilitate compliance with the EU Congestion Management Procedures	2
0472S	Reporting the number of registration attempts by a Gas Shipper	3
0505S	Update to UNC TPD Section G & M to correct anomalies arising from the implementation of UNC Modification 0463S	2

6. Percentage of papers published outside of modification rules requirements

The modification rules specify requirements for the publication of modification panel papers, modifications, and modification reports. These have all been met (0% outside modification rules requirements).

7. Number and percentage of reports submitted to the authority in line with original timetable

No timetable is set for when reports should be submitted to the Authority and hence we cannot report on this KPI as described. The modification panel sets a date by which each modification workgroup is requested to report.

During 2014, workgroup reports were received by the panel for 33 modifications. 14 (42%) of these were received within the original requested timetable.

8. Number of extensions to timetable requested

This KPI measures how the Workgroup assessment process is currently working and is therefore not restricted to modifications completing their cycle in this report.

At modification panel meetings held in 2014, 32 requests for workgroup reporting timetables to be extended were accepted.

9. Average time between (non-urgent) proposal being raised and submitted for decision

During 2014, the modification panel determined 42 non-urgent modifications. These were considered, on average, 187 days after they were raised (minimum of 13 and maximum of 624 days) with a median of 133 days.

0418	Review of LDZ Customer Charges	624
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation	538
0432	Project Nexus – gas settlement reform	496
0434	Project Nexus – Retrospective Adjustment	479
0469S	Transporter Gas Safety Visit Reporting	440
0418A	Review of LDZ Customer Charges	426
0458	Seasonal LDZ System Capacity Rights.	342
0455	Updating of Meter Information by the Transporter	331
0450	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	309
0448	UNC alignment with Transporters' licence requirements for "Network Code and Uniform Network Code" relating to European Regulatory change	286
0472S	Reporting the number of registration attempts by a Gas Shipper	283
0454	Introduction of a Long Term Non Firm Capacity Product	259
0461	Changing the UNC Gas Day to Align with the Gas Day in EU Network Codes	258
0452V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA)	258
0463S	Recognition of the '2nd January' Scottish Bank Holiday as a Business Day for Supply Point related transactions	202
0497	Removal of the CSEP NExA table held within Annex A part 8	195
0475S	Update to Section G, Annex G3 (Propsective Erroneous Large AQ Calculation Proforma)	178
0485	Introduction of the Long-term use-it-or-lose-it mechanism to facilitate compliance with the EU Congestion Management Procedures	160
0474S	Inclusion of the guidelines relating to the "Customer Settlement Error Claims Process" within UNC governance.	156
0494	Imbalance Charge amendments required to align the UNC with the Network Code on Gas Balancing of Transmission Networks	139
0450A	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	134
0484S	Guidance for the production of legal text	132
0450B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	122
0482S	Proposed Changes to Mod 81 Reports to be effective from 01/10/13	120
0477	Supply Point Registration - Facilitation of Faster Switching	104
0471S	Amending the start time that a Day-ahead Market Offer can be accepted	104
0488S	Additional Changes to the Mod 81 Reports	91
0465V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA), Weighted Average PARCA Security	83
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	80
0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)	79
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	73
0476S	Alignment of DN Charging Methodology with RIIO-GD1 Arrangements	52
0503FT	Update of Transporter Procedure Document References within Network	45

	Code	
0492FT	Governance change - update to Mod Rules following Consumers' Representative's name change	41
0490S	Updates to UNC TPD Section B to correct anomalies arising from the implementation of UNC Modification 0376S "Increased Choice when Applying for NTS Exit Capacity	41
0499FT	Amendment to TPD Section G1.6.13(e)(iv)	35
0425V	Re-establishment of Supply Meter Points – Shipperless sites	35
0491	Change implementation date of Project Nexus to 1st April 2016	28
0480FT	Minor update to UNC General Terms Section B	24
0451AV	Individual Settlements For Pre-Payment & Smart Meters	21
0451V	Individual Settlements For Pre-Payment & Smart Meters	21
0496FT	Reinstatement of Calendar Days as a measure in Section G2.5.8(b)	13

10. Average time between proposal being submitted for decision and decision being published

This KPI disregards self-governance and fast track modifications but measures the number of days between the Final Modification Report being issued to Ofgem and the date of a decision letter by Ofgem. During 2014, 27 decisions were received from Ofgem. On average, these were received after 65 days (in the range 13 to 351 days) with a median of 36 days.

0465V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA), Weighted Average PARCA Security	351
0452V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA)	351
0454	Introduction of a Long Term Non Firm Capacity Product	155
0425V	Re-establishment of Supply Meter Points – Shipperless sites	71
0513	UK Link Programme (Project Nexus) - independent project assurance for Users	49
0448	UNC alignment with Transporters' licence requirements for "Network Code and Uniform Network Code" relating to European Regulatory change	48
0418A	Review of LDZ Customer Charges	48
0418	Review of LDZ Customer Charges	48
0491	Change implementation date of Project Nexus to 1st April 2016	39
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	36
0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)	36
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	36
0461	Changing the UNC Gas Day to Align with the Gas Day in EU Network Codes	36
0458	Seasonal LDZ System Capacity Rights.	36
0455	Updating of Meter Information by the Transporter	36
0434	Project Nexus – Retrospective Adjustment	36
0432	Project Nexus – gas settlement reform	36
0497	Removal of the CSEP NExA table held within Annex A part 8	35
0450B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	35
0450A	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	35

0450	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	35
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation	35
0485	Introduction of the Long-term use-it-or-lose-it mechanism to facilitate compliance with the EU Congestion Management Procedures	34
0494	Imbalance Charge amendments required to align the UNC with the Network Code on Gas Balancing of Transmission Networks	33
0451AV	Individual Settlements For Pre-Payment & Smart Meters	21
0451V	Individual Settlements For Pre-Payment & Smart Meters	21
0477	Supply Point Registration - Facilitation of Faster Switching	13

11. Average time between decision and implementation (separately identifying systems and non-system changes) - for modifications that completed their cycle and were implemented during 2014

28 modifications completed their governance cycle and were implemented during 2014, 6 of which required changes to central systems. The average time between decision and implementation was 50 days (with a range of 1 to 223 days) and a median of 25 days.

0450B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	223
0477	Supply Point Registration - Facilitation of Faster Switching	191
0499FT	Amendment to TPD Section G1.6.13(e)(iv)	139
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	131
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	131
0471S	Amending the start time that a Day-ahead Market Offer can be accepted	68
0425V	Re-establishment of Supply Meter Points – Shipperless sites	60
0476S	Alignment of DN Charging Methodology with RIIO-GD1 Arrangements	40
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation	40
0485	Introduction of the Long-term use-it-or-lose-it mechanism to facilitate compliance with the EU Congestion Management Procedures	41
0496FT	Reinstatement of Calendar Days as a measure in Section G2.5.8(b)	27
0490S	Updates to UNC TPD Section B to correct anomalies arising from the implementation of UNC Modification 0376S "Increased Choice when Applying for NTS Exit Capacity	27
0499FT	Amendment to TPD Section G1.6.13(e)(iv)	25
0492FT	Governance change - update to Mod Rules following Consumers' Representative's name change	25
0488S	Additional Changes to the Mod 81 Reports	25
0482S	Proposed Changes to Mod 81 Reports to be effective from 01/10/13	25
0474S	Inclusion of the guidelines relating to the "Customer Settlement Error Claims Process" within UNC governance.	25
0472S	Reporting the number of registration attempts by a Gas Shipper	25
0503FT	Update of Transporter Procedure Document References within Network Code	22
0484S	Guidance for the production of legal text	22
0480FT	Minor update to UNC General Terms Section B	22
0475S	Update to Section G, Annex G3 (Propsective Erroneous Large AQ Calculation Proforma)	22
0463S	Recognition of the '2nd January' Scottish Bank Holiday as a Business Day for Supply Point related transactions	22

0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)	8
0455	Updating of Meter Information by the Transporter	4
0513	UK Link Programme (Project Nexus) - independent project assurance for Users	3
0451AV	Individual Settlements For Pre-Payment & Smart Meters	1
0448	UNC alignment with Transporters' licence requirements for "Network Code and Uniform Network Code" relating to European Regulatory change	1

12. Number and percentage of reports for which implementation cost estimates were available for consultation

An estimate of implementation costs for central systems (which may be zero) was included in all 33 modifications subject to consultation in 2014 (100%).

13. Accuracy percentage difference (whether higher or lower) between estimated and actual implementation costs for modifications IN 2014

6 of the modifications implemented during Q4 2014 required changes to central systems, however project accounting has not completed for these changes and no report is made.

Appendix 1 – Comparison of Performance*

KPI Reference		2014	2013	2012	2011	
2	Reports Sent Back by the Authority	2	1	2	0	
3	Decisions Panel vs Authority	i) Accord	18	18	15	32
		ii) Conflict	9	2	10	8
5	Respondents to Consultations	i) Average	8	7	8	8
		ii) Median	7	6	8	-
8	Extensions to Timetable	32	52	26	58	
9	Time between 'raised' and 'submitted' (days)	i) Average	187	225	229	172
		ii) Median	133	168	196	-
10	Time between 'submitted' and decision being published (days)	i) Average	65	44	125	83
		ii) Median	36	34	39	-

*All data is 'number'

Appendix 2 – Modifications included in this Report

0513	UK Link Programme (Project Nexus) - independent project assurance for Users	Modification
0508	Revised Distributed Gas Charging Arrangements	Withdrawn
0507	Changing the Effective Date of 0451AV (Individual Settlements For Pre-Payment & Smart Meters)	Modification
0505S	Update to UNC TPD Section G & M to correct anomalies arising from the implementation of UNC Modification 0463S	Withdrawn
0503FT	Update of Transporter Procedure Document references within Network Code	Fast Track
0499FT	Amendment to TPD Section G1.6.13(e)(iv)	Fast Track
0497	Removal of the CSEP NExA table held within Annex A part 8	Modification
0496FT	Reinstatement of Calendar Days as a measure in Section G2.5.8(b)	Fast Track
0495	Introduction of a Change Board for the UNC	Withdrawn
0494	Imbalance Charge amendments required to align the UNC with the Network Code on Gas Balancing of Transmission Networks	Modification
0492FT	Governance change - update to Mod Rules following Consumers' Representative's name change	Fast Track
0491	Change implementation date of Project Nexus to 1st April 2016	Modification
0490S	Updates to UNC TPD Section B to correct anomalies arising from the implementation of UNC Modification 0376S "Increased Choice when Applying for NTS Exit Capacity	Self-Governance
0488S	Additional Changes to the Mod 81 Reports	Self-Governance
0487S	Introduction of an Advanced Meter Indicator and Advanced Meter Reader (AMR) Service Provider Identifier in advance of Project Nexus Go Live	Withdrawn
0486	Use of the Pre-Payment Profile For IGTs	Withdrawn
0485	Introduction of the Long-term use-it-or-lose-it mechanism to facilitate compliance with the EU Congestion Management Procedures	Modification
0484S	Guidance for the production of legal text	Self-Governance
0482S	Proposed Changes to Mod 81 Reports to be effective from 01/10/13	Self-Governance
0481	Amendment to AQ Values Present Within Annex A of the CSEP NExA AQ Table Following the 2013 AQ Review	Modification
0480FT	Minor update to UNC General Terms Section B	Fast Track
0478	Filling the gap for SOQ reductions below BSSOQ until Project Nexus	Modification
0477	Supply Point Registration - Facilitation of Faster Switching	Modification
0476S	Alignment of DN Charging Methodology with RIIO-GD1 Arrangements	Self-Governance
0475S	Update to Section G, Annex G3 (Propsective Erroneous Large AQ Calculation Proforma)	Self-Governance
0474S	Inclusion of the guidelines relating to the "Customer Settlement Error Claims Process" within UNC governance.	Self-Governance
0472S	Restricting the number of registration attempts by a supplier	Self-Governance
0471S	Amending the start time that a Day-ahead Market Offer can be accepted	Self-Governance
0470	Notification of Minimal Safety operating gas needs of large customers	Withdrawn
0469S	Transporter Gas Safety Visit Reporting	Self-Governance

0465V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA), Weighted Average PARCA Security	Modification
0463S	Recognition of the '2nd January' Scottish Bank Holiday as a Business Day for Supply Point related transactions	Self-Governance
0461	Changing the UNC Gas Day to Align with the Gas Day in EU Network Codes	Modification
0458	Seasonal LDZ System Capacity Rights.	Modification
0455	Updating of Meter Information by the Transporter	Modification
0454	Introduction of a Long Term Non Firm Capacity Product	Modification
0452V	Introduction of the Planning and Advanced Reservation of Capacity Agreement (PARCA)	Modification
0451AV	Individual Settlements For Pre-Payment & Smart Meters	Modification
0451V	Individual Settlements For Pre-Payment & Smart Meters	Modification
0450	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	Modification
0450A	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	Modification
0450B	Monthly revision of erroneous SSP AQs outside the User AQ Review Period	Modification
0448	UNC alignment with Transporters' licence requirements for "Network Code and Uniform Network Code" relating to European Regulatory change	Modification
0435	Arrangements to better secure firm gas supplies for GB customers	Withdrawn
0434	Project Nexus – Retrospective Adjustment	Modification
0432	Project Nexus – gas settlement reform	Modification
0431	Shipper/Transporter - Meter Point Portfolio Reconciliation	Modification
0425V	Re-establishment of Supply Meter Points – Shipperless sites	Modification
0418A	Review of LDZ Customer Charges	Modification
0418	Review of LDZ Customer Charges	Modification