

**MODIFICATION 0565**

**(CENTRAL DATA SERVICES PROVIDER – GENERAL FRAMEWORK AND OBLIGATIONS)**

**LEGAL TEXT CHANGES TO UNIFORM NETWORK CODE**

Proposed legal text

**TRANSPORTATION PRINCIPAL DOCUMENT**

**TPD Section B: System Use and Capacity**

Amend paragraph 1.7.1(a) to read as follows:

...comprise Capacity Charges, Commodity Charges, Customer Charges, CSEP Charges, ~~User Pays Charges~~ and NTS Entry Capacity Retention Charges ...

Amend paragraph 1.7.8(a) to read as follows:

... the prevailing Transportation Statement, ~~or~~ Metering Charges Statement ~~or Agency Charging Statement~~;

Delete paragraphs 1.7.11, 1.17.12, 1.7.13 and 1.7.14.

Add new paragraph 1.19 to read as follows:

**1.19 CDSP Functions**

1.19.1 Agency Functions of the CDSP to support implementation of this Section B are:

(a) calculating Transportation Charges, Overrun Charges, CSEP Overrun Charges and Supply Point Ratchet Charges; and

(b) maintaining a record of Users Registered and Available System Capacity holdings.

**TPD Section C: Nominations**

Add new paragraph 1.13 to read as follows:

**1.13 CDSP Functions**

1.13.1 Agency Functions of the CDSP to support implementation of this Section C are calculating Forecast User LDZ Unidentified Gas for LDZs and calculating forecast User LDZ Unidentified Gas amounts.

**TPD Section E: Daily Quantities, Imbalances and Reconciliation**

Amend paragraph 1.3.12 to read as follows:

1.3.12 Where a User wishes to make a claim as referred to in paragraph 1.3.10 above, it shall have consideration of the Customer Settlement Error Claims Process Guidance Document and the following process shall be followed:

- (a) the User shall submit to the ~~Transporter Agency CDSP~~ the following information:
  - (i) detail in relation to the nature and duration of the error resulting in the adjustment, including copies of the invoices between the Transporters and the User and the User and the consumer (such information to be applicable

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- to the claim, for example at Supply Meter Point level);
- (ii) the relevant system files and supporting information (to include workings and methodology);
  - (iii) the materiality of the claim in terms of volume of gas, including details of the methodology used to calculate such materiality;
  - (iv) the exact financial impact of the adjustment including energy (by reference to the monthly average of the System Average Prices) for the period of the claim and transportation costs (with reference to the Transportation Charges prevailing at the time of the claim);
  - (v) the dates in respect of which the claim will apply in accordance with paragraph 1.3.10 above;
  - (vi) evidence of the financial impact relating to the Supply Point or group of Supply Points the subject of the claim including written confirmation that the consumer(s) connected to the Individual Supply Point or group of Supply Points in question agree(s) that:
    - (1) an adjustment in gas off-taken in the period of time between the Code Cut Off Date and the last Day of Formula Year t-6 should be made;
    - (2) the materiality of the claim as referred to in paragraph 1.3.12(a)(ii) is correct; and
    - (3) the dates in relation to which the User's claim applies are correct; and
  - (vii) a warranty that no previous claims which are the same in nature and/or are in relation to the same dates as the claim being made by the User have been made;

and the CDSP shall make such information available to the Transporter;

- (b) the Transporter shall (having consideration of the Customer Settlement Error Claims Process Guidelines Document) consider the claim and communicate to the CDSP its approval or rejection of such within 90 days of notification of the claim by the CDSP, during which time the ~~Transporter-Agency CDSP~~ shall be entitled to raise queries (in response to a request from the Transporter) in relation to the claim and/or to request further information from the User in respect of its claim;
- (c) the Transporter shall (having consideration of the Customer Settlement Error Claims Process Guidelines Document) be entitled to reject the claim if it is considered that the information provided by the User is so clearly erroneous on its face that it would be unconscionable for the claim to be accepted, in which case the Transporter shall notify the CDSP, and the CDSP shall reject the claim and inform the User of the reason(s) for such rejection;
- (d) if the Transporter considers that an amount exceeding £1 million (one million) pounds is to be re-paid to any User the Transporter shall notify the CDSP, and the ~~Transporter-Agency CDSP~~ shall issue notification of such fact to the User, and that the amount determined as payable to the User shall be paid within 2 (two) months of approval of the claim.

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Add new paragraph 1.14 to read as follows:

**1.14 CDSP Functions**

**1.14.1 Direct Functions of the CDSP to support implementation of Section E are appointing and managing the AUG Expert.**

1.14.2 Agency Functions of the CDSP to support implementation of Section E are:

- (a) calculating Daily Imbalances (including forecast and prevailing imbalances);
- (b) determining Error Revised UDQOs; and
- (c) calculating all values and amounts required to support Offtake Reconciliation and Unidentified Gas Reconciliation.

*Amend paragraph 8.4.1 to read as follows:*

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8.4.1 Where a User Suppressed Reconciliation Value remains Suppressed:

- (a) for a period of 30 months following the USRV Month; or
- (b) in the Back Stop Reconciliation Month where it is more than 30 months following the USRV Month;

without prejudice to the User's entitlement to investigate the User Suppressed Reconciliation Value pursuant to paragraph 8.1.1(b) and (c), the Transporter will commence an investigation of such User Suppressed Reconciliation Value in such 30th month (or in the Back Stop Reconciliation Month) and use its reasonable endeavours to resolve the User Suppressed Reconciliation Value such that it will cease to be Suppressed ~~and such activity will be performed as a User Pays Service.~~

*Delete paragraph 8.4.2.*

*Amend paragraphs 10.2 and 10.3 to read as follows:*

**10.2 Appointment of AUG Expert**

10.2.1 A person shall be appointed by the ~~Transporters~~CDSP, in accordance with this paragraph 10.2, for the purposes of:

- (a) preparing the AUG Statement or (as the case may be) modifying the AUG Statement for the Preceding AUG Year, and recommending it to the Committee; and
  - (b) preparing the AUG Table, and recommending it to the Committee,
- in relation to each AUG Year.

10.2.2 The ~~Transporters~~CDSP shall, subject to and in accordance with the AUG Document and the requirements of the Committee:

- (a) prepare arrangements and documentation for a tender for the appointment of a person as AUG Expert;
- (b) conduct such tender on the basis of such arrangements and documentation;
- (c) review and assess the proposals made by persons tendering for appointment as the AUG Expert ("bidders") pursuant to the tender;
- (d) where appropriate (for the purposes of the selection of a bidder) enter into discussions with one or more bidders;
- (e) use reasonable endeavours to enter into an AUG Expert Contract with the selected bidder; and

- (f) perform the ~~Transporter CDSP~~ obligations, and exercise the ~~Transporters CDSP~~ rights, in accordance with the AUG Expert Contract.

10.2.3 Without prejudice to the requirements of the AUG Document, the ~~Transporters CDSP~~ may seek guidance or direction of the Committee in relation to anything they propose to do or any other matter arising in connection with their activities under paragraph 10.2.1, and may act in accordance with such guidance or direction.

10.2.4 This paragraph 10.2 shall apply on each occasion on which an AUG Expert is to be appointed.

### 10.3 Terms of engagement of AUG Expert and cost recovery

10.3.1 Nothing in this paragraph 10 shall require the ~~Transporters CDSP~~ to enter into an AUG Expert Contract on terms which in the ~~Transporters' CDSP's~~ reasonable opinion:

- (a) would be unlawful for the ~~Transporters CDSP~~; or
- (b) would give rise to the ~~Transporters CDSP~~ incurring any liability, other than in respect of ~~their its~~ own wilful misconduct, gross negligence or fraud, ~~which the Transporters are not entitled to recover from Users pursuant to paragraph 10.3.5.~~

10.3.2 The ~~Transporters CDSP~~ may enter into an AUG Expert Contract on terms which:

- (a) limit or exclude the liability (as to such matters as may be provided in such contract) of the AUG Expert;
- (b) provide that if a ~~User Party~~ or any supplier or consumer makes any claim or takes any legal proceedings (as to such matters as may be provided in such contract) against the AUG Expert, the ~~Transporters CDSP~~ will indemnify the AUG Expert in respect of such claim or proceeding,

and in such a case each ~~User Party~~ undertakes that it shall not, and ~~in the case of a User it~~ shall procure that each supplier and consumer does not, make such a claim or take such proceedings against the AUG Expert, and shall indemnify the ~~Transporters CDSP~~ in respect of any liability to the AUG Expert if such ~~User Party~~ or any such supplier or relevant customer does make such a claim or take such proceedings.

~~10.3.3 The Transporters may agree the AUG Expert Contract shall be entered into by a single Transporter; but in the absence of such agreement they shall each be a party to the AUG Expert Contract.~~

~~10.3.4 10.3.3~~ For the avoidance of doubt, the ~~Transporters CDSP~~ shall not be the agent or trustee of any ~~User Party~~ for the purposes of the AUG Expert Contract, and the ~~Transporters CDSP~~ shall owe no duties or responsibilities to any ~~User Party~~ in respect of the AUG Expert Contract other than as provided in this paragraph 10 and the AUG Document.

~~10.3.5 The functions of the Transporters under this paragraph 10 (including the engagement of the AUG Expert) are User Pays Services and all amounts payable to the AUG Expert and any other costs, expenses and liabilities incurred under the AUG Expert Contract are recoverable as User Pays Charges.~~

### TPD Section F: System Clearing, Balancing Charges and Neutrality

Add new paragraph 1.7 to read as follows:

## 1.7 CDSP Functions

### 1.7.1 Agency Functions of the CDSP to support implementation of this Section F are:

- (a) calculating Energy Balancing Charges; and
- (b) calculating Scheduling Charges.

*Amend paragraph 2.3.2 to read as follows:*

2.3.2 In the event of a Class A Contingency (in accordance with GT Section U6D5.9) occurring on the Gas Flow Day the references in paragraph 2.3.1(b) to System Marginal Sell Price and System Marginal Buy Price shall be to the System Average Price.

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### **TPD Section G: Supply Point Capacity**

*Amend as shown in the document attached as Annex A.*

### **TPD Section H: Demand Estimation and Demand Forecasting**

*Amend as shown in the document attached as Annex B.*

### **TPD Section M: Supply Point Metering**

*Amend as shown in the document attached as Annex C.*

### **TPD Section Q: Emergencies**

*Add new paragraph 1.14 to read as follows:*

## 1.14 CDSP Functions

### 1.14.1 Agency Functions of the CDSP to support implementation of this Section Q are:

- (a) maintaining a record of User emergency contact details; and
- (b) managing post-emergency claims validation processes.

*Amend paragraph 4.5.9 to read as follows:*

4.5.9 For the purposes of reviewing claims submitted by each claimant, National Grid NTS appoints the Transporter Agency CDSP as the claims reviewer (the "**Post-Emergency Claims Agent**") to undertake the validation of all claims.

### **TPD Section S: Invoicing and Payment**

*Amend paragraph 1.3.4 to read as follows:*

1.3.4 Subject to paragraph 1.3.6 and to GT Section U6D5.9, Invoice Documents will be submitted to Users either by UK Link Communication in the form and manner described in the UK Link Manual or by Conventional Notice in the specific circumstances set out in the UK Link Manual, and subject to paragraph 1.3.5 will be accompanied by the supporting data specified in respect of each Invoice Item in the UK Link Manual (the supporting data in respect of any such UK Link Communication comprising all data contained therein other than that described in paragraph 1.3.1).

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*Add new paragraph 1.13 to read as follows:*

### 1.13 CDSP Functions

#### 1.13.1 Agency Functions of the CDSP to support implementation of this Section S are:

- (a) notifying Users of invoice timing;
- (b) calculating Invoice Amounts;
- (c) submitting Invoice Documents (and supporting data); and
- (d) resolving Invoice Queries.

*Amend paragraph 2.1.4 to read as follows:*

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2.1.4 The provisions of paragraph 2 and Annex S-1 as to the scope and contents of particular Invoice Documents shall be deemed from time to time to be modified to the extent necessary to be consistent with any ~~Manual Modification under Section U8UK~~ Link Modification (as defined in the DSC) (but for the avoidance of doubt only in respect of Invoice Items in respect of charges payable pursuant to the Code).

*Amend paragraph 3.1.2 to read as follows:*

- 3.1.2 Subject to 3.1.3, the "**Invoice Due Date**" in respect of an Invoice Document is the day ending at 24:00 hours on:
- (a) subject to paragraphs (b) and (c), whichever is the later of:
    - (i) the 12th Day after the Day on which the Invoice Document was deemed to be received in accordance ~~Section U and~~ with GT Section B5 and GT Section D5; and
    - (ii) the 20th Day after the last Day of the Billing Period to which the Invoice Document relates;
  - (b) in the case of an Adjustment Invoice, Interest Invoice or Ad-hoc Invoice, subject to paragraph (c) and paragraph (d), the 12th Day after the Day on which the Invoice Document was deemed to be received in accordance with ~~Section U and~~ GT Section B5 and GT Section D5;
  - (c) where the Day (the "**target due date**") determined under paragraph (a), (b) or (d) is not a Business Day:
    - (i) subject to paragraph (ii), the Business Day (whether before or after the target due date) which is nearest to the target due date; or
    - (ii) if the nearest Business Days before and after the target due date are equally near, the nearest Business Day after the target due date.
  - (d) subject to paragraph (e), in the case of a Small Value Invoice, the 30th Day following the end of the month in which the Invoice Document was deemed to be received in accordance with ~~Section U and~~ GT Section B5 and GT Section D5, or
  - (e) the Day determined in accordance with paragraph (b), where the Small Value Invoice is not paid by the Day in paragraph (d).

Delete paragraphs 4.6 and 4.7.

#### **TPD Section U: UK Link**

Delete all text in Section U including section heading.

#### **TPD Section V: General**

Add new paragraph 1.3 and 1.4 to read as follows:

##### **1.3 TPD Communications**

1.3.1 For the purposes of the TPD a Code Communication shall be limited to a TPD Communication.

##### **1.4 CDSP Functions**

1.4.1 Direct Functions of the CDSP to support implementation of this Section V are:

- (a) disclosing Supply Meter Point Information in accordance with paragraph 5.11;
- (b) disclosing historic Supply Meter Point asset and read information in accordance with paragraph 5.15;
- (c) disclosing MAP information in accordance with paragraph 5.16; and
- (d) appointing and managing the PAFA.

1.4.2 Agency Functions of the CDSP to support implementation of this Section V are:

- (a) managing the User accession, discontinuance and termination processes;
- (b) disclosing smart meter data in accordance with paragraph 5.17; and
- (c) reporting on the theft of gas.

Amend paragraph 2.1.2 to read as follows:

2.1.2 The requirements referred to in paragraph 2.1.1(a) are as follows:

- (a) the Applicant User shall have applied to the Transporter, in such form as the Transporters may from time to time prescribe, giving the following details:
  - (i) the name of the Applicant User;
  - (ii) the legal nature of the Applicant User, and where the Applicant User is not a company incorporated under the Companies Act 1985 (as amended), such further information concerning the constitution of the Applicant User as the Transporter may reasonably require;
  - (iii) the postal and e-mail address and telephone and facsimile numbers of the Applicant User, and the individual for whose attention notice is to be marked, for the purposes of notice under GT Section B5.2.3 and B5.3.1;
  - (iv) where the Applicant User is not a company incorporated under the Companies Act 1985 (as amended), an address for service in accordance with paragraph GT Section B6.6.3;

- (b) where the Applicant User wishes to become a Shipper User, either:
- (i) a Shipper's Licence shall have been granted to the Applicant User which is in force and in respect of which no notice of revocation has been given, and the Applicant User shall have provided a copy of such licence to the Transporter; or
  - (ii) a Shipper's Licence shall be treated as having been granted to the Applicant User pursuant to a scheme made under paragraph 15 or 16 of Schedule 5 to the Gas Act 1995;
- (c) where the Applicant User wishes to become a Shipper User in relation to an LDZ of which National Grid NTS is not the owner or operator, the Applicant User is, or will be, a Shipper User under National Grid's Network Code at the User Accession Date;
- ~~(d) the Applicant User shall have secured compliance with those requirements of Section U which are required to be complied with before a User is able to send and receive UK Link Communications, including without limitation:~~
- ~~(i) the installation and connection of the UK Link User Equipment and the UK Link User Software either at:
    - ~~(1) the Applicant User's premises; or~~
    - ~~(2) where the Applicant User secures the services of a User Agent for the installation and connection of the UK Link User Equipment and Software, at the User Agent's premises; provided that where the User Agent ceases or is unable (for any reason) to provide such services, then the Applicant User shall, as soon as is reasonably practicable after such cessation, secure the installation and connection of the UK Link User Equipment and the UK Link User Software at the Applicant User's premises;~~~~
  - ~~(ii) the appointment of one or more Authorised Representatives;~~
- (ed) the Applicant User shall have provided the emergency contact details required under Section Q2.2;
- (fe) the Applicant User shall have obtained from the Transporters one or more copies of the Code and such other documents referred to in the Code or the Shipper Framework Agreement as the Transporters shall from time to time prescribe for the purposes of this paragraph (fe);
- (gf) where the Applicant User wishes to become a Shipper User, the Applicant User shall have been assigned an initial Code Credit Limit in accordance with paragraph 3;
- (hg) in relation to the NTS, the Applicant User shall have been assigned an initial Secured Credit Limit in accordance with Section X.;
- (gh) where the Applicant User wishes to become a Shipper User, the Applicant User shall have provided the Transportation Charges contact detail as required under Section 3.4.7;



(i) where the Applicant User wishes to become a Shipper User, the Applicant User shall have signed the Accession Agreement and shall have satisfied the Accession Requirements (each as defined in the DSC); and

(j) where the Applicant User wishes to become a Trader User, the Applicant User shall have signed a UK Link User Agreement and shall have paid the initial charge under and satisfied any other conditions to effectiveness of that Agreement.

*Amend paragraph 2.1.3 to read as follows:*

2.1.3 An Applicant User may accede to a Shipper Framework Agreement before the requirements of paragraphs 2.1.2 ~~(d), (g) and (h)~~ (f), (g) and (i) are satisfied.

*Amend paragraph 2.1.4 to read as follows:*

2.1.4 Where in accordance with paragraph 2.1.3 an Applicant User has executed a Shipper Framework Agreement, the Applicant User and the Transporter shall be bound by this Section V ~~and (but only for the purposes of enabling an Applicant User to satisfy the requirements in paragraph 2.1.2 (d)) Section U;~~ and the Applicant User shall for such purposes only be treated as a User.

*Delete paragraph 2.1.5 and renumber paragraphs 2.1.6 to 2.1.8 accordingly.*

*Amend new paragraph 2.1.6 to read as follows:*

2.1.6 Where a Trader User wishes to become a Shipper User the Trader User must:

- (a) notify National Grid NTS, in such form as National Grid NTS may from time to time specify, that it wishes to become a Shipper User;
- (b) satisfy those requirements in paragraph 2.1.2(b), (c), ~~(g)~~ and (i) which the Trader User was not required to secure or satisfy for the purposes of becoming a Trader User; and
- (c) be assigned a revised Secured Credit Limit in accordance with Section X

and the Trader User shall become a Shipper User with effect from the Day which is 3 Business Days after satisfaction of the last or the requirements specified in this paragraph 2.1.7.

*Amend new paragraph 2.1.7 to read as follows:*

2.1.7 Where a Party who is a Shipper User wishes to become a Trader User such Party must:

- (a) cease to be a Shipper User of or in relation to a System (in accordance with paragraph 4.2); ~~and~~
- (b) cease to be party to the DSC; and
- (bc) become a Trader User in accordance with paragraph 2.1.2 on the date on which it ceases to be a Shipper User.

*Amend paragraph 2.2.2 to read as follows:*

2.2.2 Upon the Applicant User's becoming a User pursuant to paragraph 2.2.1 the Transporter will so notify:

- (a) the Applicant User, specifying:
  - (i) the Transporter's notice details for the purposes of GT Section B5.2.3; and
  - (ii) the names of all other Users and their prevailing notice details in accordance with GT Section B5.2.3;
- (b) all other Users, and the CDSP, specifying the name of the Applicant User, its notice details provided under paragraph 2.1.2(a)(iii) and the User Accession Date.

*Amend paragraph 2.5.3 to read as follows:*

2.5.3 Where an Applicant User informs National Grid NTS that it wishes to be admitted as a User pursuant to paragraph 2.5.1:

- (a) the requirements in paragraph 2.1.2(b), (d) and (ei) shall not apply in respect of the Applicant User;
- (b) the requirement paragraph 2.1.2(j) shall apply in respect of the Applicant User as if it were a Trader User;
- (bc) it shall be an additional requirement for the purposes of paragraph 2.1.1 that, at the same time as the User accedes to the Framework Agreement, National Grid NTS and the User enter into a memorandum to record that the User is or is to be admitted pursuant to paragraph 2.5.1;
- (ed) National Grid NTS's notification to Users and the CDSP under paragraph 2.2.2(b) will specify that the Applicant User has been so admitted.

*Amend paragraph 3.3.2 to read as follows:*

3.3.2 Without prejudice to paragraph 3.3.3, where a User fails to provide such additional surety or security as required in paragraph 3.3.1(b) by the date specified in the notice pursuant to 3.3.1(b):

- (a) with effect from the next Business Day after the date specified in such notice, the User shall pay to the Transporter that amount set out in the table in paragraph 3.2.10(a), based upon the amount of additional surety or security demanded by the Transporter and the daily charge set out in paragraph 3.2.10(b); and
- (b) subject to paragraph 3.3.1, where and for so long as the User's Value at Risk exceeds 100% of the User's Code Credit Limit, the Transporter shall be entitled to reject or refuse to accept all or any of the following by the relevant User:
  - (i) an application for System Capacity or increased System Capacity at any System Point under Sections B or G5; and/or
  - (ii) a notice of appointment under Section B3.13.8 if the User is the proposed Overrun User;
  - (iii) in relation to the NTS:
    - (1) a System Capacity Trade under Section B5 in respect of which the User is Transferee User;
    - (2) a System Capacity Assignment under Section B6 in respect of which the User is the Assignee User;

until such time as the User's Value at Risk is reduced to less than 100% of its Code Credit Limit; and

- (c) where from the fifth Business Day after the date specified in the notice, the User's Value at Risk exceeds 100% of the User's Code Credit Limit, the Transporter shall be entitled to require the CDSP to reject or refuse to accept a Supply Point Nomination or Supply Point Confirmation under Section G, other than a Supply Point Renomination, or Supply Point Reconfirmation, until such time as the User's Value at Risk is reduced to less than 100% of its Code Credit Limit.

*Amend paragraph 4.1.3 to read as follows:*

- 4.1.3 The Transporter will as soon as reasonably practicable after the User Discontinuance Date notify all other Users and the CDSP of a User's ceasing to be a User.

*Amend paragraph 4.2.2 to read as follows:*

- 4.2.2 A User may not cease to be a User under this paragraph 4.2 until such time as:

- (a) all amounts payable or (other than in respect of any recurrent charge becoming payable by reason only of the lapse of time after the date on which the last of the other requirements of this paragraph 4.2.2 is satisfied) which may become payable by the User to the Transporter pursuant to any provision of the Code, the Shipper Framework Agreement or any Ancillary Agreement have been paid in full;
- (b) the User is not the Registered User in respect of any Supply Point and is not party to any Shared Supply Meter Notification;
- ~~(c) — the User has complied with the requirements of Section U2.8.;~~
- ~~(d)~~ under National Grid NTS's Network Code, there is no outstanding Daily Imbalance or NDM Reconciliation Quantity or DM Reconciliation Quantity in respect of the User;
- ~~(e)~~ any requirements under any Ancillary Agreement in respect of termination under this paragraph 4.2 have been complied with; ~~and~~
- ~~(f)~~ any outstanding breach, being a breach capable of remedy and of which the Transporter has given notice to the User, by the User of any provision of the Code or the Shipper Framework Agreement or any Ancillary Agreement shall have been remedied; ~~and~~
- ~~(g)~~ the User (if a Shipper User) has satisfied the Withdrawal Requirements (as defined in the DSC, or (if a Trader User) has satisfied the requirements for voluntary termination of the UK Link User Agreement);
- ~~(h)~~ and a User may not cease to be a User of the NTS until the User ceases to be a User of each LDZ.

*Amend paragraph 4.2.5 to read as follows:*

- 4.2.5 Notwithstanding paragraph 4.2.4, without prejudice to paragraph 4.1.2(a), the Transporter or (as the case may be) the Discontinuing User shall remain liable, subject to and in accordance with the Code, to the other and (in the case of the Discontinuing User, subject to paragraph GT Section B2.4.2) to each other User, after the User Discontinuance Date:

- (a) for any amount which was or becomes payable under the Code or any Ancillary Agreement in respect of any period before the User Discontinuance Date; and
- (b) in respect of any outstanding breach of any provision of the Code, the Shipper Framework Agreement or any Ancillary Agreement where such breach was not (for the purposes of paragraph 4.2.2 (fe) capable of remedy or (notwithstanding that paragraph) was capable of remedy but was not remedied.

*Amend paragraph 4.3.1 to read as follows:*

4.3.1 For the purposes of this paragraph there shall have occurred a **"User Default"** in relation to a User (the **"Defaulting User"**) in any of the following events or circumstances:

- (a) where in relation to any amount (or amounts in aggregate) of not less than £10,000 which has become due for payment by the Defaulting User under the Code (excluding for the avoidance of doubt amounts the subject of an Invoice Query which by virtue of Section S4.2.2 have not become due for payment):
  - (i) the Defaulting User has not paid the amount in full by the 5th Business Day after the due date for payment;
  - (ii) on or after the 5th Business Day after the due date for payment the Transporter has given notice to the Defaulting User requiring payment of such amount; and
  - (iii) the Defaulting User has not paid such amount in full by the 5th Business Day after the date of the Transporter's notice under paragraph (ii); or
- (b) in accordance with paragraph 3.3.3; or
- (c) where:
  - (i) the Defaulting User is in material breach, other than such a breach as is referred to in paragraph 4.3.9, of any material provision (other than a payment obligation) of the Code; and
  - (ii) the breach is capable of remedy by the Defaulting User; and
  - (iii) the Transporter has given notice (making reference to this paragraph 4.3) of such breach to the Defaulting User; and
  - (iv) within 14 Days after the Transporter's notice under paragraph (iii), the Defaulting User does not either:
    - (1) remedy the breach in all material respects, where the breach is capable of remedy within such period of 14 Days; or
    - (2) where the breach is not so capable of remedy, provide to the Transporter a programme (setting out the steps to be taken by the User and the timetable for taking such steps) for the remedy of the breach as soon as is reasonably practicable; and
  - (v) in the case in paragraph (iv)(2), the Defaulting User does not:
    - (1) remedy the breach in all material respects with all reasonable diligence and so far as reasonably practicable in accordance with the

programme provided under that paragraph or a revised programme pursuant to paragraph (2); and

(2) where notwithstanding the reasonable diligence of the User it is not reasonably practicable for the User to remedy the breach in accordance with that programme, provide to the Transporter a revised such programme; and

(vi) the breach remains unremedied in any material respect after the expiry of 7 Days after a further notice by the Transporter to the Defaulting User to the effect that the Defaulting User has not complied with paragraph (iv) or (v); or

(d) where:

(i) the Defaulting User is in material breach, other than such a breach as is referred to in paragraph 4.3.9, of any relevant provision (other than a payment obligation) of the Code; and

(ii) the breach is not capable of remedy; and

(iii) the Transporter has given notice (making reference to this paragraph 4.3) of the breach to the Defaulting User; and

(iv) at any time within the period of 12 months following the Transporter's notice under paragraph (iii), there occurs a further material breach by the Defaulting User of the same provision of the Code; and

(v) the Transporter has given a notice of such further breach to the Defaulting User and a period of 7 Days has expired following such notice; or

(e) where:

(i) the Defaulting User is unable to pay its debts (within the meaning of Section 123(l) or (2) of the Insolvency Act 1986, but subject to paragraph 4.3.2), or any voluntary arrangement is proposed in relation to it under Section I of that Act or it enters into any composition or scheme of arrangement (other than for the purpose of a bona fide solvent reconstruction or amalgamation); or

(ii) the Defaulting User has a receiver (which expression shall include an administrative receiver within the meaning of Section 29 of the Insolvency Act 1986) of the whole or any material part of its assets or undertaking appointed; or

(iii) the Defaulting User has an administration order under Section 8 of the Insolvency Act 1986 made in relation to it; or

(iv) the Defaulting User passes any resolution for winding-up (other than for the purpose of a bona fide solvent reconstruction or amalgamation); or

(v) the Defaulting User becomes subject to an order by the High Court for winding-up; or

(vi) the Defaulting User becomes subject to a bankruptcy order; or

- (vii) the Defaulting User becomes subject to an event made in a jurisdiction outside England and Wales, equivalent or analogous to any one or more of those events listed in paragraphs 4.3.1(e)(i) to (vi) above; or
- (f) where the Shipper's Licence granted to the Defaulting User is determined or revoked or otherwise ceases to be in force for any reason whatsoever, or such licence is assigned unless such assignment is contemporaneous with an assignment by the User of all of its rights and obligations under the Code and the Framework Agreement in accordance with GT Section B6.1; ~~or~~
- (g) an event which entitles National Grid NTS to give a Termination Notice pursuant to ~~paragraph Section~~ X2.9.3, X2.10.10 or X3.2.2.; ~~or~~
- (h) the Defaulting User (if a Shipper User) has committed a DSC Default under (and as defined in) the DSC; or
- (i) the Defaulting User (if a Trader User) has committed a default as specified in the UK Link User Agreement.

Amend paragraph 4.3.8 to read as follows:

4.3.8 Where the Transporter has given a Termination Notice it shall be entitled to inform such persons as it thinks fit (including another Transporter) that it has done so, including the supplier and consumer in relation to any Supply Point of which the Defaulting User was Registered User, the Connected System Operator or Delivery Facility Operator in relation to any Connected System Exit Point or System Entry Point comprised in an Aggregate System Entry Point at which the Defaulting User held System Capacity, and any person from whom the Transporter believes the Defaulting User to have purchased gas for delivery to the Total System; and it shall inform the CDSP that it has done so.

Amend paragraph 5.3.2 to read as follows:

5.3.2 For the purposes of paragraph 5.3.1:

- (a) information obtained by a Party in the course of the negotiation of the Code or a Framework Agreement shall be Protected Information only insofar as such information was obtained in writing;
- (b) the fact that a Party receives information from the CDSP does not prevent such information being Protected Information for the purposes of that Party's obligations under paragraph 5.3.1;
- ~~(bc)~~ the following information shall (without prejudice to the generality of paragraph 5.3.1(a)(i)) be treated as information relating to the affairs of a User:
  - (i) the identity, address and any other details of a supplier or consumer, or any representative thereof, insofar as disclosed by the User to the Transporter pursuant to or for the purposes of the Code;
  - (ii) (without prejudice to paragraph 5.5.2(d)) information provided by the User to the Transporter pursuant to Sections L and O and details of the User's Code Credit Limit and record of payment of charges under the Code;
- (d) information which (pursuant to the terms of the DSC) belongs to the CDSP is not Protected Information.

~~(c) the following information shall (without prejudice to the generality of paragraph 5.3.1(b)(i)) be treated as relating to the affairs of the Transporter: details of Demand Models, End User Categories and other information provided by that Transporter to Users pursuant to Section H; the UK Link Manual; information (other than information referred to in paragraph (b)) which is maintained or recorded in the UK Link System.~~

Add new paragraph 5.3.5 to read as follows:

5.3.5 Confidentiality as between a Party or Parties and the CDSP is governed by the DSC and not the Code.

Amend paragraph 5.5.2 to read as follows:

5.5.2 Nothing in paragraph 5.1 or 5.2 shall apply:

- (a) to the disclosure or use by the Disclosing Party of Protected Information to which the Protected Party has consented in writing;
- (b) to any Protected Information which:
  - (i) before it is obtained by the Disclosing Party is in the public domain; or
  - (ii) after it is obtained by the Disclosing Party enters the public domain
  - (iii) in either case otherwise than as a result of a breach by the Disclosing Party of its obligations under paragraph 5.1 or 5.2;
- (c) to the disclosure of any Protected Information to any person if and to the extent that the Disclosing Party is required to make such disclosure to such person:
  - (i) in compliance with the duties of the Disclosing Party under the Act or any other requirement of a Competent Authority; or
  - (ii) in compliance with the conditions of the Transporter's Licence or (as the case may be) Shipper's Licence held by the Disclosing Party or any document referred to in such licence with which the Disclosing Party is required by virtue of the Act or such licence to comply; or
  - (iii) in compliance with any other Legal Requirement; or
  - (iv) in response to a requirement of any stock exchange or regulatory authority or the Panel on Take-overs and Mergers; or
  - (v) pursuant to any provision of GT Section A or pursuant to any judicial or other arbitral process or tribunal having jurisdiction in relation to the Disclosing Party;
- (d) to the disclosure of any Protected Information (whether pursuant to an Agency Function or a Direct Function) by the CDSP (and no disclosure of information by the CDSP shall be treated as disclosure by a Party);
- ~~(de)~~ to any Protected Information to the extent that the Disclosing Party is expressly permitted or required to disclose that information:
  - (i) under the terms of any agreement or arrangement made with the Protected Party or to which it is party (including the Code, the relevant Framework

Agreement and any Ancillary Agreement to which the Protected Party is party or bound);

(ii) ~~under the terms of the DSC;~~

- (ef) to the disclosure of Protected Information to any lending or other financial institution proposing to provide or arrange the provision of finance to the Disclosing Party, where and to the extent that the disclosure of such information is reasonably required for the purposes of the provision or arrangement of such finance, and provided that the person to whom the information is disclosed undertakes in writing to and in terms reasonably satisfactory to the Protected Party to maintain the confidentiality of such information;
- (fg) to the disclosure of any Protected Information to the Authority, where the Disclosing Party considers in good faith that the Protected Party may be in breach of a condition of the Transporter's Licence or (as the case may be) the Shipper's Licence, to the extent reasonably necessary to draw such possible breach to the attention of the Authority;
- (gh) to the disclosure of any Protected Information to any person proposing to make a connection directly or indirectly to a System, where and to the extent that the disclosure of such information is reasonably required for the purposes of supporting the Transporter's charges or requirement to allow such proposed connection to a System to be made and provided that the person to whom the information is to be disclosed is informed in writing of the confidentiality of such information and that prior to disclosure, such person has entered into a confidentiality agreement with the Transporter which prohibits use or disclosure of such Protected Information in terms no less onerous than those contained in this paragraph 5;
- (hi) to the disclosure of Protected Information to the ~~Transporter Agency CDSP~~ to the extent such disclosure is ~~required or permitted under the Code or~~ necessary for the purposes of the undertaking by ~~the CDSP of its functions under the Code or is required under the DSC~~ ~~Transporter Agency of the Transporter Agency Activities.~~

*Amend paragraph 5.5.3 to read as follows:*

5.5.3 Nothing in paragraph 5.1 shall apply to the disclosure by the Transporter of Protected Information:

- (a) to any person to the extent such disclosure is required pursuant to the Modification Rules;
- ~~(b) to any UK Link User where such information is contained in a User Trade Communication transmitted to such UK Link User in accordance with the requirements of Section U5 or is accessible by such UK Link User by or from UK Link in accordance with any function or facility of UK Link described in the UK Link Manual;~~
- (eb) to any User Agent where the disclosure by the Transporter of such Protected Information to the appointing User would not have infringed paragraph 5.1;
- (ec) referred to in paragraph 5.3.2(b)(i) where the supplier or consumer concerned has consented to such disclosure pursuant to a Siteworks Contract, Network Exit Provisions or other document contemplated by the Act, the Transporter's Licence or the Code as being entered into by the Transporter and the consumer;



- (ed) to the Authority where [\(in connection with any Agency Function of the CDSP\)](#) such information is accessible by the Authority by or from the UK Link System to the extent of the access and in accordance with any function or facility thereof described in the UK Link Manual;
- (fe) to the Trading System Operator to the extent required pursuant to the provisions of Section D or to any person to the extent required pursuant to the Trading System Arrangements;
- (gf) to a consumer or an appropriate person to the extent designated by the authority within Standard Special Condition A31 of the Transporter's Licence and a supplier shall be an appropriate person pursuant to Standard Special Condition A31((2)(d)(ii) where its application is for the receipt of data for change of supplier purposes;
- (hg) to another Transporter to the extent such disclosure is required for the purposes of the Code or the Transporter's Licence;
- (ih) to contractor or agent of the Transporter where the contractor or agent has entered into a confidentiality agreement with the Transporter on terms no less onerous than those of this paragraph 5;
- (ji) to any person pursuant to an application made in accordance with paragraph 7.3 ('Other User Access') of Schedule 23, version dated 7 November 2008, contained in the Supply Point Administration Agreement ('SPAA') 11th June 2004 made between the parties listed in Schedule 1 of the SPAA;
- (kj) to the Performance Assurance Framework Administrator, provided the terms of the relevant PAFA Contract require the Performance Assurance Framework Administrator to maintain the confidentiality of such information on terms no less onerous than those of this paragraph 5, save to the extent disclosure of such information is necessary to comply with the terms of the PAFA Contract; or
- (lk) to a person appointed pursuant to the SPAA to provide Energy Theft Tip-off Services ("ETTOS") when information is received by that person of an allegation of a potential theft of gas at a premises and the identity of the relevant Supplier is required. For the purposes of the Code ETTOS shall have the same meaning as contained within SPAA.

*Amend paragraph 5.5.4 to read as follows:*

5.5.4 Where:

- (a) the Disclosing Party has complied with the [data security](#) requirements of [the DSC Section U1-8](#); and
- (b) notwithstanding such compliance a person obtains any Protected Information by unauthorised access to any element of UK Link for the security of which the Disclosing Party is (in accordance with [the DSC Section U](#)) responsible

the Disclosing Party shall not be in breach of paragraph 5.1 or 5.2 by virtue of such person having so obtained such Protected Information.

*Amend paragraph 5.8.1 to read as follows:*

5.8.1 Subject to paragraph 5.8.2(a), the data, including metering data, which is processed by or recorded or maintained on the UK Link System [by the CDSP pursuant to its Agency](#)

Functions (including all intellectual property rights in such data) shall belong for the purposes of the Code to the Transporter which owns or operates the System to which such data relates; and subject to paragraph 5.8.2(b) the Transporter may, but without prejudice to paragraph 5.1 or any other requirement of the Code, use and deal with such data as it thinks fit.

*Amend paragraph 5.8.2 to read as follows:*

- 5.8.2 Where pursuant to the Code a User provides or arranges for the provision of data to a Transporter (including provision to the CDSP behalf of the Transporter pursuant to its Agency Functions):
- (a) such data (as provided to the Transporter by the User) shall belong to the User;
  - (b) the User hereby grants to the Transporter (its successors, assigns, agents and contractors) a perpetual, non-exclusive, royalty-free licence (which shall survive the User Discontinuance Date) in respect of such data and all intellectual rights therein to use, copy, adapt and deal with such data for the purposes of performance and implementation of the Code and for other purposes contemplated by the Code, but not otherwise;
  - (c) paragraph 5.8.1 shall apply in respect of data derived (pursuant to any process) by the Transporter from such data and in all compilations created by or on behalf of the Transporter of such data.

*Amend paragraph 5.11.1 to read as follows:*

- 5.11.1 Where in respect of any Supply Point the ~~Transporter-CDSP~~ is requested by a Registered Metering Applicant to disclose to such Registered Metering Applicant the information detailed in Annex V-5 ("Supply Meter Point Information") then the Registered User of such Supply Point agrees that any such request will be regarded as made on behalf of such Registered User and accordingly the CDSP is (pursuant to the DSC) Transporter-is authorised by such Registered User to disclose such Supply Meter Point Information to the Registered Metering Applicant in accordance with such request.

*Amend paragraph 5.11.2 to read as follows:*

- 5.11.2 For the purposes of paragraph 5.11.1, "Registered Metering Applicant" is any person who is registered to request and receive Supply Meter Point Information from the ~~CDSP Transporter~~ or any person who is not currently registered to request and receive Supply Meter Point Information but such request relates to a period when they were previously registered to request and receive Supply Meter Point Information pursuant to an appropriate registration scheme administered by the ~~Transporter CDSP~~.

*Amend paragraph 5.11.3 to read as follows:*

- 5.11.3 Where in respect of any Supply Point for which details of the relevant Meter Asset Manager are not populated in the Supply Point Register the ~~Transporter-CDSP~~ is requested by an Accredited Meter Asset Manager to disclose information in relation thereto to such Accredited Meter Asset Manager, then provided the Accredited Meter Asset Manager notifies the relevant meter point reference number for each relevant Supply Meter Point comprised within such Supply Point to the ~~Transporter-CDSP~~ the Registered User of such Supply Point agrees that any such request will be regarded as made on behalf of such Registered User and accordingly the ~~Transporter-is CDSP shall be~~ authorised by such Registered User to disclose the following items of information in respect of each Supply Meter Point comprised in the

Supply Point Registration for such Supply Point to the Accredited Meter Asset Manager in accordance with such request:

- (a) meter serial number;
- (b) supplier; and
- (c) model (meter).

*Amend paragraph 5.15.1 to read as follows:*

5.15.1 Where in respect of any Supply Point, the ~~Transporter~~ CDSP is requested by the Registered User to disclose such historic Supply Meter Point Asset and Read data (the information detailed in Annex V-8 "Historic Supply Meter Point Asset and Read information") then the Registered User of such Supply Points agree that any such request will be regarded as made on behalf of such Registered User and accordingly the CDSP is (pursuant to the DSC) ~~Transporter is~~ authorised to disclose such Information in accordance with such request.

*Amend paragraph 5.15.2 to read as follows:*

5.15.2 Upon a request by any Registered User, the ~~Transporter~~ CDSP shall as soon as reasonably practicable provide the Registered User with the details specified in paragraph Annex V-8 in respect of each Supply Point (other than an NTS Supply Point) for which the User is the Registered User (relevant Supply Point) at the date on which the ~~Transporter~~ CDSP is in receipt of the User's Request.

*Amend paragraph 5.16.4 to read as follows:*

5.16.4 Subject to paragraphs 5.16.6 and 5.16.7 below, where ~~a Transporter~~ the CDSP is requested by a MAP to provide information in respect of a given Supply Meter Point and provided the MAP notifies the ~~Transporter~~ CDSP of the Meter Point Request Information for each relevant Supply Meter Point comprised within such Supply Point, then, subject to paragraph 5.16.5, any such request shall be regarded as made on behalf of the Registered User and the ~~Transporter shall~~ CDSP is (pursuant to the DSC) ~~be~~ authorised by such Registered User to disclose the Meter Point Information to the MAP for each Supply Meter Point comprised in the Supply Point Registration for such Supply Point.

*Amend paragraph 5.16.5 to read as follows:*

5.16.5 Meter Point Information shall only be provided in respect of a given Supply Meter Point where the Meter Point Request Information matches the records on the Supply Point Registration. Where the Meter Point Request Information fails to match the records on the Supply Point Registration the ~~Transporter~~ CDSP shall:

- (a) provide a response to the MAP indicating that the Meter Point Request Information supplied by the MAP has failed to match the records on the Supply Point Registration; and
- (b) provide no further information in respect of this Supply Meter Point.

*Amend paragraph 5.16.7 to read as follows:*

5.16.7 The MAP and the ~~Transporter~~ CDSP shall enter into a confidentiality agreement (on terms no less onerous than those of this paragraph 5) for the purposes of receiving the information pursuant to paragraph 5.16.4. Such confidentiality agreement shall detail the permitted purpose for such information and shall include an expressed benefit on Registered Users and

each Registered User shall be entitled to enforce the agreement (pursuant to the Contract (Rights of Third Parties) Act 1999) as if it were the ~~Transporter~~ CDSP.

*Amend paragraph 5.17 to read as follows:*

The ~~Transporters~~ CDSP is authorised to disclose such data as is set out within Annex V-10 to the holder of the 'smart meter communications licence' as defined by Statutory Instrument 2012 No.2400: The Electricity and Gas (Smart Meters Licensable Activity) Order 2012. Such data may relate to all Supply Meter Points regardless of status and includes Supply Meter Points which are located on the network of an Independent Gas Transporter where provided to ~~the Transporters~~ CDSP.

*Amend heading at paragraph 6 to read as follows:*

#### **USER AGENTS ~~AND TRANSPORTER~~ AGENCY**

*Add new paragraphs 6.1.6 and 6.1.7 to read as follows:*

6.1.6 The appointment of a User Agent by a Shipper User shall be effective for the purposes of the DSC as well as the Code.

6.1.7 This paragraph 6 shall be a CDSP-Related Provision for the purposes of GT Section D1.7.2.

*Amend paragraph 6.2.1 to read as follows:*

6.2.1 A person who is a User or another UK Link User (~~in accordance with Section U~~) may be appointed as User Agent (a "UK Link User Agent") for the purposes of making and receiving Code Communications as UK Link Communications.

*Amend paragraph 6.2.4 to read as follows:*

6.2.4 The appointing User may change the categories of Code Communication for which a UK Link User Agent is appointed by giving notice to the Transporters and the CDSP to that effect specifying the changed categories in accordance with paragraph 6.2.2 and the date in accordance with paragraph 6.3.4 with effect from which such change is to take effect.

*Amend paragraph 6.3.1 to read as follows:*

6.3.1 A User wishing to appoint a User Agent shall give notice to the Transporters and the CDSP:

- (a) specifying the identity of the appointing User and the proposed User Agent;
- (b) specifying the categories of Code Communication (in the case of UK Link Communications, in accordance with paragraph 6.2.2) for which the User Agent is to be appointed, or specifying that the User Agent is appointed for all such categories; and
- (c) specifying the Day in accordance with paragraph 6.3.4 with effect from which the appointment is to take effect.

*Amend paragraph 6.3.3 to read as follows:*

6.3.3 The appointing User may terminate the appointment of a User Agent by giving notice to the Transporters and the CDSP to that effect specifying the date in accordance with paragraph 6.3.4 with effect from which such termination is to take effect.

*Amend paragraph 6.3.4 to read as follows:*

6.3.4 The date with effect from which a User Agent is appointed, or the categories of Code Communication for which a UK Link User Agent is appointed may be changed, or the appointment of a User Agent may be terminated, shall be not less than 5 Business Days after the User's notice to the Transporters and the CDSP thereof; provided that upon the User's request in exceptional circumstances the Transporters will endeavour to accommodate notice (of any such matter) of a lesser period.

*Amend paragraph 6.4.3 to read as follows:*

6.4.3 Any Code Communication given by a User Agent within the categories for which such agent is appointed shall be deemed to have been given by and shall be binding on the appointing User, and the Transporters and the CDSP shall be entitled without enquiry as to the agent's authority to rely on such Code Communication for all purposes of the Code.

*Amend paragraph 6.4.6 to read as follows:*

6.4.6 No Transporter shall be responsible, and the CDSP shall not be responsible, for any unauthorised use or disclosure by a User Agent of information relating to the appointing User (whether or not obtained, in the case of a UK Link User Agent, in its capacity as UK Link User).

*Delete paragraph 6.5*

*Amend paragraph 7.2.1 to read as follows:*

7.2.1 For the purposes of giving effect to the provisions referred to in paragraph 7.1, the Transporter will: ...

- (e) nominate personnel in respect of each relevant Transporter User Capacity, each of which shall be treated as the Authorised Representative(s) of a separate UK Link User for the purposes of Section U the UK Link Terms and Conditions, and conduct operations under the Code in accordance with that Section separately in respect of each such capacity.

*Add new paragraph 8.1.7 to read as follows:*

8.1.7 Liability as between a User or Users and the CDSP is addressed in the DSC.

*Amend paragraph 10.1.1 to read as follows:*

10.1.1 For the purposes of this paragraph 10:

- (a) **"Compensation Rule"** means a rule pursuant to the Code, identified as such and providing for the Transporter or Transporters to pay an amount by way of compensation to Users;
- (b) **"Compensation Year"** means a period of 12 months expiring 31 March in any year;
- (c) **"Compensation Provisions"** means the provisions of the Code which establish a Compensation Rule;
- (d) **"Compensation Group"** means a group of Compensation Rules, such groups being designated as Groups A to L inclusive, and the Compensation Group to which each Compensation Rule belongs being specified in the relevant Compensation Provisions;

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- (e) the "**Group Limit**" in respect of each Compensation Group is as follows:
- (i) for each of Groups A, B, C, ~~and D, F and K~~: £5,000,000;
  - ~~(ii) for Group E: £2,500,000 in respect of Larger Supply Points and £2,500,000 in respect of Smaller Supply Points;~~
  - (iii) for Group G: £4,000,000 in respect of Larger Supply Points and £1,000,000 in respect of Smaller Supply Points;
  - (iv) for Group H: £1,000,000 in respect of Larger Supply Points and £1,000,000 in respect of Smaller Supply Points; and
  - ~~(v) for Group I: £3,000,000;~~
  - (vi) for Group J: £2,500,000 in respect of Larger Supply Points; ~~and~~
  - ~~(vii) for Group L: £5,000,000 in respect of Larger Supply Points and £5,000,000 in respect of Smaller Supply Points;~~
- (f) an amount is "**adjusted**" where it has been adjusted pursuant to paragraph 10.2.2 and/or 10.2.3, and otherwise is "**unadjusted**".

*Amend paragraph 16.1.1 to read as follows:*

16.1.1 For the purposes of the Code:

- (a) "**LDZ Settlement Related Obligations**" means obligations under the Code, including obligations undertaken by the ~~Transporter Agency CDSP by way of Direct Functions~~ on behalf of the DNOs, the standard of performance of which (including any delays or failures in the performance of which) has, or may have, a material impact on the following matters:
- (i) the quantities of gas treated as offtaken from Local Distribution Zones;
  - (ii) the quantities of gas treated as delivered at LDZ System Entry Points; or
  - (iii) reconciliations and adjustments in respect of gas offtaken from Local Distribution Zones and/or delivered to LDZ System Entry Points.
- For the avoidance of doubt, LDZ Settlement Related Obligations shall not include any obligations relevant to the determination of the quantities of gas delivered or treated as delivered to the NTS or from the NTS to any LDZ;
- (b) "**Performance Assurance Scheme**" means a scheme for monitoring and reporting on the performance by Shipper Users, DNOs and ~~on behalf of the DNOs, the Transporter Agency the CDSP~~, of LDZ Settlement Related Obligations;
- (c) "**Performance Assurance Framework Administrator**" or "**PAFA**" means the person from time to time appointed and engaged to act as such pursuant to paragraph 16.3; and
- (d) "**Performance Assurance Framework Document**" or "**PAF Document**" means the document entitled "Guidelines for Energy Settlement Performance Assurance Regime" published by the DNOs, as such document is amended from time to time pursuant to paragraph V12, setting out:

- (i) the scope of the Performance Assurance Scheme, including requirements with respect to the establishment and maintenance of a register of risks associated with LDZ Settlement Related Obligations, and a registry of reports on the standards of performance of DNOs, ~~the CDSP~~, ~~(including those of the Transporter Agency on their behalf)~~ and Shipper Users' collectively and individually with respect to specific LDZ Settlement Related Obligations;
- (ii) the procedure for appointment of the PAFA and for the establishment by the Performance Assurance Committee of:
  - (1) the qualifications and competencies required of any person to be appointed as the PAFA;
  - (2) the criteria for selection of a person to act as PAFA;
  - (3) certain terms of appointment of the PAFA, including with respect to the duration of the appointment of the PAFA, the scope of services to be provided by the PAFA and the basis on which such appointment may be extended in terms of duration and/or scope; and
  - (4) requirements as to re-tendering the appointment of the PAFA from time to time; and
- (iii) any other matters (consistent with paragraph 16.1.1(d)(i) the Performance Assurance Committee decides and the DNOs agree should be included.
- (e) **"PAFA Contract"** means the contract of engagement of a person as PAFA as provided in paragraph 16.3.1;
- (f) subject to paragraph 16.2.1, the **"Performance Assurance Committee"** or **"PAC"** is the Uniform Network Code Committee or any relevant sub-committee.

*Amend paragraph 16.3.1 to read as follows:*

16.3.1 A person shall be appointed by the ~~DNOs~~~~CDSP~~, in accordance with this paragraph 16.3, for the purposes of conducting the functions ascribed to the PAFA by the PAF Document (as at the time of such appointment), including the preparation, maintenance and management of the registers referred to in paragraph 16.1.1(d)(i) and the determination of DNOs', ~~the CDSP's~~ and Shipper Users' levels of performance of specific LDZ Settlement Related Obligations.

*Amend paragraph 16.3.2 to read as follows:*

16.3.2 The ~~DNOs~~ ~~CDSP~~ shall, subject to and in accordance with the PAF Document and (where consistent with the provisions of this paragraph 16 and the PAF Document) the requirements of the PAC, as soon as reasonably practicable:

- (a) prepare arrangements and documentation for a competitive tender for the appointment of a person as PAFA in accordance with relevant Legal Requirements;
- (b) conduct such tender on the basis of such arrangements and documentation, including with respect to any such pre-qualification and selection criteria as may be specified by the PAC;
- (c) review and assess the proposals made by persons tendering for appointment as the PAFA pursuant to the tender;

- (d) where appropriate for the purposes of determining which of such persons to appoint as PAFA, enter into discussions with one or more of them;
- (e) use reasonable endeavours to enter into a PAFA Contract with the person selected on the basis of the competitive tender process, such contract to commence as soon as reasonably practicable and to provide for the PAFA to act with all due skill, care and diligence and impartiality when performing of its duties thereunder and in so far as reasonably practicable to act equitably as between Shipper Users, DNOs and the ~~Transporter Agency~~ CDSP in identifying, monitoring and reporting on material risks in connection with specific LDZ Settlement Related Obligations with respect to the matters specified at paragraph 16.1.1(a)(i) to (iii);
- (f) notify Shipper Users and Transporters of the appointment of the PAFA; and
- (g) perform the ~~DNOs'~~ CDSP's obligations and exercise the ~~DNOs'~~ CDSP's rights under the PAFA Contract.

*Amend paragraph 16.3.3 to read as follows:*

16.3.3 Without prejudice to the requirements of the PAF Document, the ~~DNOs~~ CDSP may seek guidance from the PAC in relation to anything they propose to do or any other matter arising in connection with their activities under paragraph 16.3.2, and may act in accordance with such guidance.

*Amend paragraph 16.3.4 to read as follows:*

16.3.4 Nothing in this paragraph 16 shall require the ~~DNOs~~ CDSP to enter into a PAFA Contract where in the ~~DNOs'~~ CDSP's reasonable opinion:

- (a) it would be unlawful to do so; or
- (b) the contract could give rise to the ~~DNOs~~ CDSP incurring any liability, other than in respect of their own wilful misconduct, gross negligence or fraud, ~~which the DNOs are not entitled to recover from Shipper Users.~~

*Delete paragraph 16.3.5 and renumber paragraph 16.3.6 accordingly.*

*Amend paragraph 16.4.1 to read as follows:*

16.4.1 The ~~Transporters~~ CDSP may enter into a PAFA Contract on terms which limit or exclude the liability (as to such matters as may be provided in such contract) of the PAFA.

*Amend paragraph 16.4.2 to read as follows:*

16.4.2 For the avoidance of doubt, the ~~DNOs~~ CDSP shall not be the agent or trustee of any ~~User Party~~ for the purposes of the PAFA, and the ~~DNOs~~ CDSP shall owe no duties or responsibilities to any ~~User Party~~ in respect of the PAFA Contract other than as provided in this paragraph 16.

*Amend paragraph 16.4.3 to read as follows:*

16.4.3 The ~~DNOs~~ CDSP shall provide the PAFA with such information as he reasonably requires for the purpose of performing his functions under the PAF Contract and such assistance as he may reasonably require in interpreting such information.

*Amend Annex V-6 to read as follows:*



**Shipper TOG Report Data**

<b>Column</b>	<b>Data</b>
<b>Shipper Short Code / DNO Name</b>	The unique code which identifies each Shipper Licence or the DNO Name.
<b>Number of cases received</b>	The number of theft of gas cases received by the <del>Transporter</del> <b>Agency CDSP</b> during the reporting month.
<b>Number of cases cleared</b>	The number of theft of gas cases that have closed during the reporting month.
<b>Number of cases still outstanding</b>	The number of theft of gas cases that remain open at the end of the reporting month.
<b>Number of cases cleared as valid</b>	The number of theft of gas cases that have closed during the reporting month for which gas has been confirmed as being illegally taken.
<b>Number of cases cleared as invalid</b>	The number of theft of gas cases that have closed during the reporting month for which it has not been confirmed that gas was illegally taken.
<b>Number of cases cleared and kWh provided</b>	The number of theft of gas cases that have closed during the reporting month for which the kWh has been provided or calculated.
<b>Total kWh provided</b>	The total amount of kWh which has been reported to have been illegally taken for the closed cases for the Shipper in the reporting month.
<b>Number of cases cleared – Shipper actioned</b>	The number of theft of gas cases that have closed during the reporting month which have been investigated by the Shipper during the reporting month.
<b>Total outstanding awaiting Shipper action</b>	The number of theft of gas cases that remain open and which are awaiting a response from a Shipper at the end of the reporting month.
<b>%Cleared Cases Subject to eighty (80) day Closures</b>	The percentage of the total number of closed cases which have been subject to the eighty (80) day automatic closure.
<b>Number of cases outstanding at eighty (80) days following receipt</b>	The number of theft of gas cases that have closed during the reporting month because the Shipper has not responded to a request for information after eighty (80) days.
<b>%Cleared Shipper actioned</b>	The percentage of the total number of theft of gas cases that have closed which have been investigated by the Shipper during the reporting month.
<b>Number of cases sent to Shipper for action</b>	The number of theft of gas cases that have been passed to the Shipper for its investigation during the reporting month.
<b>Reasonable Endeavours Claims Received</b>	The number of reasonable endeavours claims that have been received by the Transporter Agency in the reporting month.
<b>Reasonable Endeavours</b>	The number of reasonable endeavours claims that have been

<b>Claims Cleared.</b>	processed by Transporter Agency in the reporting month.
<b>Reasonable Endeavours Claims Rejected</b>	The number of reasonable endeavours claims that have been rejected by Transporter Agency in the reporting month.
<b>Reasonable Endeavours Claims Accepted</b>	The number of reasonable endeavours claims that have been invoiced by <del>Transporter Agency CDSF</del> in the reporting month.

Amend Annex V-7 to read as follows:

**Transporter TOG Report Data**

Column	Data
<b>Shipper Short Code / DNO Name</b>	The unique code which identifies each Shipper Licence or the DNO Name.
<b>Number of cases received</b>	The number of theft of gas cases received by the <del>Transporter Agency CDSF</del> during the reporting month.
<b>Number of cases cleared</b>	The number of theft of gas cases that have closed during the reporting month.
<b>Number of cases still outstanding</b>	The number of theft of gas cases that remain open at the end of the reporting month.
<b>Number of cases cleared as valid</b>	The number of theft of gas cases that have closed during the reporting month for which a gas has been confirmed as being illegally taken.
<b>Number of cases cleared as invalid</b>	The number of theft of gas cases that have closed during the reporting month for which it has not been confirmed that gas was illegally taken.
<b>Number of cases cleared and kWh provided</b>	The number of theft of gas cases that have closed during the reporting month for which the kWh has been provided or calculated.
<b>Total kWh provided</b>	The total amount of kWh which has been reported to have been illegally taken for the closed cases for the DNO in the reporting month.
<b>Number of cases cleared – Shipper actioned</b>	The number of theft of gas cases that have closed during the reporting month which have been investigated by the Shipper during the reporting month.
<b>Number of cases cleared – DNO actioned</b>	The number of theft of gas cases that have closed during the reporting month which have been investigated by the DNO.
<b>Revenue recovered</b>	The amount of revenue recovered following confirmation that gas has been illegally taken pursuant to DNO Licence Condition 7(2).

**TPD Section X: Energy Balancing Credit Management**

Add new paragraph 1.7 to read as follows:

## 1.7 CDSP Functions

### 1.7.1 Agency Functions of the CDSP to support implementation of this Section X are:

- (a) implementing the Energy Balancing Credit Rules and liaising with the Energy Balancing Credit Committee;
- (b) managing Users Energy Balancing accounts and Further Security Requests;
- (c) monitoring and revising Users Secured Credit Limits and submitting Cash Calls; and
- (d) calculating Relevant Balancing Indebtedness and Outstanding Relevant Balancing Indebtedness.

*Add new paragraph 1.2.9 to read as follows:*

1.2.9 Where a member of the Energy Balancing Credit Committee is also a member of the Credit Committee (as defined in the DSC) (**relevant member**) the relevant member shall not, and shall not be required to, disclose to the Energy Balancing Credit Committee information known to the relevant member by reason only of the relevant member's membership of the Credit Committee.

## **OFFTAKE ARRANGEMENTS DOCUMENT**

### **OAD Section M: Information Flows**

*Amend paragraph 2.1.2 to read as follows:*

2.1.2 The Offtake Communications Document may (without limitation) specify any of the matters in paragraph 2.1.1:

- (a) in relation to any category of information, generically by reference to a part of this Document or the Transportation Principal Document, or function or activity pursuant to this Document or the Transportation Principal Document, or specification (provided such specification is available to all Parties) of a system provided by a Party or Parties in connection with the implementation of this Document or the Transportation Principal Document; and/or
- (b) by reference to any provision of any agreement to which the Parties are party, including without limitation the ~~Agency Services Agreement DSC~~; and/or
- (c) in the form of a process diagram or other procedure.

*Amend paragraph 2.2.1 to read as follows:*

2.2.1 (Unless otherwise provided elsewhere in the Transportation Principal Document or this Document) the means by which information may be provided by a Party are as follows:

- (a) by telemetry as provided in Section E;
- (b) by or through the ~~Agency, CDSP~~ or by means of systems provided by the ~~Agency CDSP~~, pursuant to the ~~Agency Services Agreement DSC~~;
- (c) by fax in accordance with GT Section B5.2;
- (d) by telephone in accordance with GT Section B5.3;

- (e) by any other specific medium and/or communications system described in the Offtake Communications Document; and/or
- (f) any combination of the above.

#### **OAD Section N: General**

*Amend paragraph 4.2.2 to read as follows:*

4.2.2 The requirements referred to in paragraph 4.2.1(a) are as follows:

- (a) the New DNO shall have applied to National Grid NTS, in such form as the Offtake Committee may from time to time prescribe, giving the following details:
  - (i) the name of the New DNO;
  - (ii) the legal nature of the New DNO and, where the New DNO is not a company incorporated under the Companies Act 1985 (as amended), such further information concerning the constitution of the New DNO as National Grid NTS may reasonably require;
  - (iii) the address, telephone and facsimile numbers of the New DNO, and the individual for whose attention notice is to be marked, for the purposes of notice under GT Section B5.2.3; and
  - (iv) where the New DNO is not a company incorporated under the Companies Act 1985 (as amended), an address for service in accordance with GT Section B6.7.3;
- (b) the New DNO shall have obtained from the Offtake Committee one or more copies of the Subsidiary Documents and such other documents referred to in this Document or the Transporters Framework Agreement as the Committee shall from time to time prescribe for the purposes of this paragraph 4.2.2(b);
- (c) the New DNO has entered into or has had assigned or novated to it Supplemental Agreements with the relevant Party in respect of each Offtake relating to the LDZ of which it is operator as required by this Document; ~~and~~
- (d) the New DNO has satisfied any requirements which may apply under the Offtake Communications Document; ~~and~~

(e) the New DNO shall have signed the Accession Agreement and shall have satisfied the Accession Requirements (each as defined in the DSC).

*Amend paragraph 5.1.1 to read as follows:*

#### **5 Cessation, withdrawal and termination**

##### **5.1 Withdrawal**

5.1.1 A DNO ("withdrawing DNO") may cease to be a Party where the following conditions are, or will (with effect from its ceasing to be a Party) be, satisfied:

- (a) the withdrawing DNO has ceased to be the operator of any LDZ;
- (b) the withdrawing DNO is not bound to be a Party by the conditions of a Transporter's Licence;

- (c) the withdrawing DNO is not bound by the Code pursuant to any Shippers Framework Agreement;
- (d) all Supplemental Agreements to which the withdrawing DNO is party have been assigned or novated to another Party or have been terminated;
- (e) there are no financial or other liabilities or obligations (whether accrued, outstanding or contingent) of the withdrawing DNO to any other Party under this Document; and
- (f) the withdrawing DNO has satisfied the Withdrawal Requirements (as defined in the DSC).

Amend paragraph 7.1.6 to read as follows:

7.1.6 In the event of any conflict between this paragraph 7.1 and any provision of the Agency Services Agreement Data Services Contract or; the Joint Governance Arrangements Agreement, the relevant provision of the Agency Services Agreement Data Services Contract or; the Joint Governance Agreement shall prevail.

## INDEPENDENT GAS TRANSPORTERS ARRANGEMENTS DOCUMENT

### Section A: Scope and Classification

Amend paragraph 1.7 to read as follows:

#### 1.7 Agency Company CDSP

1.7.1 Pursuant to GT Section D Each each Independent Gas Transporter is to engage the Agency Company CDSP to undertake certain activities (in relation to the IGT Code) including activities equivalent to certain of those of the Transporter Agency CDSP pursuant to TPD Section V, and to act as agent of the Independent Gas Transporter for certain purposes the Transportation Principal Document; and each Independent Gas Transporter is to enter into an agreement the DSC with the Agency Company CDSP for those purposes.

~~1.7.2 For the purposes of the Code;~~

~~(a) "Agency Company" means the person which acts as Transporter Agency pursuant to TPD Section V6.5; and~~

~~(b) "IGT Agency Services Agreement" means the agreement referred to in paragraph 1.7.1.~~

1.7.32 Each DN Operator and each Independent Gas Transporter are also to engage the Agency Company CDSP (pursuant to the DSC) to provide and receive certain data pursuant to this Document.

1.7.43 The Agency Company CDSP may, as agent for each DN Operator and each Independent Gas Transporter, provide and receive information which is not specified in paragraphs 3.1.2, 3.1.3 and 3.2.2 of IGTA Section D to the extent that it is necessary to do so to enable the operation of the Code.

1.7.4 The services to be provided by the CDSP to DN Operators and Independent Gas Transporters in connection with this Document are CDSP Agency Services.

Amend paragraph 4.1.1 to read as follows:

4.1.1 Each Independent Gas Transporter shall replicate (in its by means of the IGT Code) the rules and processes contained in TPD Section Q2.1.2, 2.2, 2.3, 2.4, 2.5, 2.6, 2.7 as regards emergencies.

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## Section B: IGT Systems – Connection and Operational Arrangements

Add new paragraph 1.7 to read as follows:

### 1.7 CDSP Functions

1.7.1 Agency Functions of the CDSP to support implementation of this Section B are maintaining IGT System Registrations on behalf of DN Operators.

## Section D: IGT Code Rules and Data Exchange

Amend paragraph 2.1.1 to read as follows:

2.1.1 Except as otherwise provided in this Document and unless specifically provided for in the IGT UNC, each Independent Gas Transporter shall adopt ~~(in its by means of the~~ IGT Code) and implement rules and processes, which are the same as those from time to time of the Transportation Principal Document (including the UK Link Manual as it applies pursuant to the Transportation Principal Document), as respects: ...

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Amend paragraph 2.1.2 to read as follows:

2.1.2 Notwithstanding paragraph 2.1.1, it is acknowledged and agreed that (as ~~provided-reflected~~ in the ~~IGT Agency Services Agreement~~ CDSP Service Description) the provisions of ~~an the~~ IGT Code in relation to the registration of New Supply Meter Points and the provision of Supply Meter Installations may differ from those of the Transportation Principal Document to reflect differences (which do not prejudice the objective set out in paragraph 1.1.1) in the processes applied by Independent Gas Transporters in relation to those matters.

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Amend paragraph 2.2 to read as follows:

### 2.2 Modifications

The Transporters and the Independent Gas Transporters shall make arrangements to ensure the coordination of modifications of the Code and the IGT Codes as respects the matters referred to in paragraph 2.1.1 above.

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Amend paragraph 3.3 to read as follows:

### 3.3 ~~Transporters' Agency~~ Central Data Services Provider

3.3.1 ~~Pursuant to the DSC, Each each~~ DN Operator and each Independent Gas Transporter ~~shall~~ appoint the ~~Agency Company~~ CDSP as its agent to provide and receive the information specified in paragraphs 3.1.2, 3.1.3 and 3.2.2 (and ~~they~~ shall not provide such information other than by the ~~Transporters' Agency~~ CDSP).

3.3.2 The Parties acknowledge and agree that:

- (a) the services of the ~~Agency Company~~ CDSP to be provided respectively to Independent Gas Transporters and to DN Operators under the CDSP Service Description include the provision of the information specified in paragraphs 3.1 and 3.2 respectively;
- (b) by virtue of such services, each Independent Gas Transporter and DN Operator will (provided it complies with the requirements in paragraph 2 and the ~~arrangements made with the Agency Company~~ DSC, and subject to paragraph (c)) comply with the requirements of paragraph 3.1 and 3.2;
- (c) it is the responsibility of the Independent Gas Transporter to enforce the IGT Code in relation to IGTS Users; and

- (d) paragraph (b) will not apply in relation to an Independent Gas Transporter to the extent that the failure of a IGTS User to comply with the IGT Code results in any failure of the Independent Gas Transporter to provide information in accordance with paragraph 3.1.

3.3.3 Each Party acknowledges that the ~~Agency Company CDSP~~ may provide to the other Parties information received from the first Party or its Users to the extent necessary to give effect to the provisions of this Section D.

#### Section E: DM CSEP Supply Points

Amend paragraph 1.3 to read as follows:

##### 1.3 ~~Transporters Agency~~

1.3.1 Pursuant to the DSC, ~~Each each~~ DN Operator and each Independent Gas Transporter shall appoints the ~~Agency Company CDSP~~ as its agent to provide and receive the information specified in paragraphs 2.2.1, 2.2.2, 2.3 and 3.1.2.

#### Section F: General

Amend paragraph 3.1.2 to read as follows:

3.1.2 The conditions are that, with effect from the date from which the New IGT is to become a Party:

- (a) the New IGT will be the operator of an IGT System connected or to be connected (directly or indirectly) to a Transporter's System at a CSEP;
- (b) the New IGT will be licensee under a gas transporter's licence;
- (c) the New IGT will have prepared an IGT Code individual network code (incorporating the IGT Code) which complies with the requirements of Section D1 and with the requirements of its gas transporter's licence; and
- (d) the New IGT's IGT Code individual network code (incorporating the IGT Code) will be made binding between the New IGT and IGTS Users pursuant to an IGT Framework Agreement (whether by assignment or novation or the entry into a new IGT Framework Agreement).

Amend paragraph 3.2.2(d) to read as follows:

- (d) the New IGT ~~will have acceded to the IGT Agency Services Agreement and satisfied all requirements under that Agreement for the New IGT to obtain services from the Transporters Agency shall have signed the Accession Agreement and shall have satisfied the Accession Requirements (each as defined in the DSC).~~

Amend paragraph 4.1.1 to read as follows:

4.1.1 An Independent Gas Transporter ("**withdrawing IGT**") may cease to be Party where the following conditions are, or will (with effect from its ceasing to be a Party) be, satisfied:

- (a) the withdrawing IGT has ceased to be the operator of any IGT System;
- (b) the withdrawing IGT is not bound to be a Party by the conditions of a gas transporter's licence;

- (c) the withdrawing IGT has no outstanding CSEP Registrations or IGT Registrations; ~~and~~
- (d) there are no financial or other liabilities (whether accrued, outstanding or contingent) of the withdrawing IGT to any other Party under this Document; ~~and~~
- (e) the withdrawing IGT has satisfied the Withdrawal Requirements (as defined in the DSC).

Amend paragraph 5.1.2 to read as follows:

5.1.2 The terms of the Code, the Transporters Framework Agreement; ~~and the Agency Services Agreement and the IGT Agency Services Agreement DSC~~ are not Protected Information.

Amend paragraph 5.1.5 to read as follows:

5.1.5 For the avoidance of doubt, information received by a Party from the ~~Transporters Agency CDSP~~ acting as agent on behalf of another Party is Protected Information for the purposes of the Code.

Amend paragraph 5.2.2 to read as follows:

5.2.2 In relation to the Receiving Party, "Permitted Activities" means the carrying on of transportation business (as defined in the Receiving Party's gas transporter's licence(s)), the operation, administration, maintenance and development of the Receiving Party's System, and the implementation and performance of the Code, the Transporters' Framework Agreement or ~~any the~~ IGT Code or IGT Framework Agreement or the DSC.

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Amend paragraph 5.4.1(d) to read as follows:

(d) to any Protected Information to the extent that the Receiving Party is expressly permitted or required to disclose that information under the terms of any agreement or arrangement made with the Protected Party or to which it is party (including this Document, the Transporters Framework Agreement, ~~and~~ any Supplemental Agreement to which the Protected Party is a party and the DSC);

Amend paragraph 6.1.6 to read as follows:

6.1.6 In this paragraph 6.1:

- (a) ~~references to a Party's agent include the Agency Company when acting as agent for that Party (but for the avoidance of doubt, the Transporters Agency does not enter into or perform the IGT Agency Services Agreement as agent for the Transporters); and~~
- (b) "third party" means any person other than a Party, or any officer, employee or agent of a Party (and for the avoidance of doubt includes any User).

Amend paragraph 8.2.1 to read as follows:

8.2.1 Where under the provisions of this Document (including under Section D or E) an IGTA Communication is to be given by the ~~Transporters Agency CDSP~~ on behalf of a Party, such IGTA Communication shall be deemed to be given and received at the time required and in compliance with the provisions of this Document, except where the relevant systems of the ~~Transporters Agency CDSP~~ have failed in which case such communication shall be given in accordance with the applicable provisions Contingency Procedures in the UK Link Manual of the Agency Services Agreement or IGT Agency Services Agreement.

## EUROPEAN INTERCONNECTION DOCUMENT

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### Section A: General



Amend paragraph 3.4.2 to read as follows:

- 3.4.2 Unless otherwise provided for in this Document, Code Communications under this Document will be given as UK Link Communications in accordance with ~~TPD GT~~ Section ~~UD~~ (and it is acknowledged that for certain purposes the UK Link Manual makes separate provision in relation to certain Code Communications under this Document).

#### Section B: Capacity

Amend paragraph 1.6.12 to read as follows:

- 1.6.12 For the avoidance of doubt and in accordance with paragraph 1.6.8(a) ~~TPD GT~~ Section ~~UD~~ has no application in relation to communications referred to in paragraph 1.6.10 (nor in relation to any other communication to be made between any Party and the Capacity Platform Operator).

Amend paragraph 9.4.1 to read as follows:

- 9.4.1 In accordance with paragraph 1.6.7, ~~TPDGT~~ Section ~~U5D Annex D-1~~ does not apply (and the UK Link Network is not available for use) in relation to offers by Users to make System Capacity Transfers in respect of Interconnection Point Capacity.

#### Section C: Nominations

Amend paragraph 1.9.1 to read as follows:

- 1.9.1 For the avoidance of doubt, Interconnection Point Nominations are to be submitted as UK Link Communications in accordance with ~~TPDGT~~ Section ~~UD~~; but it is acknowledged that the UK Link Manual provides formats for Interconnection Point Nominations which differ from those for Nominations at other System Points.

#### MODIFICATION RULES

Delete definition of "Implementation Costs" at paragraph 2.1.

Amend definition of "User" at paragraph 2.1 to read as follows:

"User": for the purposes of these Rules, references to a User:

- (a) in the context of an Individual Network Code Modification Proposal, includes
  - (i) a Relevant Shipper;
  - (ii) a Trader User;
  - (iii) in the context of the definition of Relevant Transporter, sub paragraph (b), a DNO User; ~~and~~

~~(b) in the context of a User Pays Modification Proposal that includes a proposal for payment of the User Pays Implementation Costs, or a proportion of them, to be made by a DNO User, includes a DNO User; and~~

- ~~(e)(b)~~ in all other contexts excludes a DNO User;

Delete definition of "User Pays Guidance Document", "User Pays Implementation Costs " and "User Pays Modification Proposal" at paragraph 2.1.

Amend definition of "Workgroup" at paragraph 2.1 to read as follows:

"Workgroup":

- (a) a group constituted in accordance with paragraph 8.1 (which may include but shall not be limited to Users, Transporters, the CDSP, Third Party Participants and Non-Code Parties), chaired by a representative of the Code Administrator and operating in compliance with the Code of Practice, which is convened for the general purposes of consideration and discussion of matters relating to the Uniform Network Code, an Individual Network Code or a Modification Proposal in accordance with its Terms of Reference (which group shall have no power or authority to bind any member of such group);
- (b) the NTS Charging Methodology Forum, in respect of a Modification Proposal which proposes a modification to a NTS Charging Methodology;
- (c) the DN Charging Methodology Forum, in respect of a Modification Proposal which proposes a modification to a NTS Charging Methodology;

Amend paragraph 5.3.2 to read as follows:

5.3.2 Every notice convening a meeting of the Modification Panel shall specify the place, day and time of the meeting and enclose an Agenda. All relevant materials in respect of a meeting of the Modification Panel will be circulated not less than five (5) Business Days prior to the meeting to which they relate, subject to paragraph 10 or paragraph 5.3.3 where in the opinion of the Secretary a shorter period would better facilitate the exercise by the Modification Panel of its powers, within such shorter period as the Secretary shall determine. Each Member shall (subject to paragraph 12.6.7) be entitled to receive each notice and the relevant materials. At the same time as any notice is despatched to Members a copy of such notice shall (subject to paragraph 12.6.7) be despatched by the Secretary to each User, ~~and~~ each Transporter and the CDSP. There may be circumstances where materials to be despatched with a notice have, pursuant to these Rules, already been sent to Users or Transporters. In any such circumstance the relevant materials may be, but do not have to be, sent with the notice.

Amend paragraph 5.10.1 to read as follows:

5.10.1 Any individual (who is not a Member) may attend a meeting of the Modification Panel as an observer on behalf of a User, Transporter, the CDSP or a Non-Code Party and may participate in the business of the meeting unless the Panel Chairperson determines otherwise.

Delete paragraph 5.13.

Amend paragraph 6.1.1 to read as follows:

- 6.1.1 Without prejudice to paragraph 6.4 or paragraph 12.4 and subject to paragraph 6.1.4, a Modification Proposal in respect of the Uniform Network Code may be made from time to time by:
- (a) a Transporter;
  - (b) a User;

- (c) a Third Party Participant, only insofar as such Modification Proposal relates to a proposal to modify Annex V-1 ("Table of Operational and Market Data") in the Uniform Network Code;
- (d) a Materially Affected Party (but only in respect of a Modification Proposal which proposes a modification to a NTS Charging Methodology or a DN Charging Methodology);
- (e) the Authority (but only insofar as such Modification Proposal is one which the Authority reasonably considers is necessary to comply with or implement the Regulation and/or any relevant legally binding decisions of the European Commission and/or the Agency for the Cooperation of Energy Regulators);
- (f) the CDSP (but only in respect of a Modification Proposal made to authorise the amendment of the DSC, and only where the CDSP considers that an amendment of the DSC is appropriate for the fulfillment of the DSC Objectives or is necessary to allow the CDS to comply with a Legal Requirement).

Delete paragraph 6.2.1(p) and renumber 6.2.1(q) accordingly.

Delete paragraph 6.2.5 and renumber 6.2.6 accordingly.

Amend paragraph 6.7.1 to read as follows:

6.7.1 Where the Authority has received a written assessment of the Modification Panel under paragraph 7.2.8 in respect of a Modification Proposal, the Secretary shall inform the Proposer and each Transporter, User, Third Party Participant, and Non-Code Party (if any) and the CDSP of the direction or re-direction it has received from the Authority.

Amend paragraph 7.1.1 to read as follows:

7.1.1 The Secretary shall:

- (a) on receipt of a Modification Proposal allocate a unique reference number to that proposal;
- (b) by the later of:
  - (i) the end of the third Business Day following receipt of a Modification Proposal made pursuant to paragraph 6.1.1 or 6.4 (as the case may be); and
  - (ii) the end of the first Business Day following the date on which the Secretary receives notification of any decision of the Authority pursuant to paragraph 10.1.2 or 10.1.3 as to whether the Modification Proposal should be treated as an Urgent Modification Proposal,

send a copy of that proposal to each Transporter, each User, each Member, each Third Party Participant and each Non-Code Party (if any) and the CDSP;
- (c) subject to paragraph 10, put initial discussion of the Modification Proposal on the Agenda for the next meeting of the Modification Panel (provided the Modification Proposal is received no later than eight (8) Business Days prior to the date of the next meeting of the Modification Panel) and the next meeting of the Modification Panel shall (subject to paragraphs 5.4.1 and 5.4.2) be convened pursuant to paragraph 5.3.1; and

- (d) notify the Proposer of the meeting of the Modification Panel at which the Modification Proposal is to be discussed, and request the attendance of the Proposer's representative.

Amend paragraph 7.2.3 to read as follows:

7.2.3 Subject to paragraph 7.2.2 and paragraph 7.2.8, the Modification Panel may, without prejudice to paragraph 7.2.4, determine that:

- (a) a Modification Proposal:
  - (i) satisfies the Self-Governance Criteria; and, if applicable
  - (ii) satisfies the Fast Track Self-Governance Criteria

For the avoidance of doubt, a Modification Panel determination under 7.2.3(a)(ii) must be unanimous

- (b) a Modification Proposal:
  - (i) subject to paragraph 7.2.3(d), should proceed to Consultation in accordance with paragraph 7.3; or
  - (ii) should be referred to a Workgroup for Workgroup Assessment in accordance with paragraph 7.5 (and the Modification Panel may determine the Terms of Reference for such work (including terms as to the identity of any third parties to be consulted) and the date upon which it requires the Workgroup to submit its Workgroup Report);
  - (iii) should be deferred to a subsequent meeting of the Modification Panel for further discussion; or
  - (iv) be implemented, subject to unanimous determination under 7.2.3(a)(ii) that Fast Track Self-Governance Criteria are satisfied and subject to Panel determining unanimously that the Modification Proposal be implemented; or
  - (v) be referred back to the Proposer for further development.
- (c) at any time before a Modification Proposal ~~made by a Transporter or (provided it is a User Pays Modification Proposal a User~~ proceeds to Consultation in accordance with paragraph 7.3 the ~~CDSP Transporters~~ shall:
  - ~~in accordance with a period determined by the Modification Panel, provide a rough order of magnitude assessment of the Modification Proposal; which will include cost estimate(s) for any User Pays Service, any indicative User Pays Charge(s) and, having regard to the User Pays Guidance Document a commentary in respect of these; and~~
  - ~~(ii) request from the Authority a View on whether the proposed cost apportionment and any User Pays Charges are appropriate and following receipt of such View circulate it to the next meeting of the Modification Panel; and~~
- (d) the impact (if any) of the Modification proposed in a Modification Proposal on Greenhouse Gas Emissions is likely to be material (after considering any assessment by the Proposer under paragraph 6.2.6 of the quantifiable impact of the Modification

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proposed in a Modification Proposal on Greenhouse Gas Emissions) and shall have regard to such determination (if any) in determining whether the Modification Proposal should proceed to Consultation under paragraph 7.2.3(b)(i) (provided that where the Modification Panel determines the likely impact will be material and the Proposer provided no assessment in respect of such impact under paragraph 6.2.6 the Modification Proposal shall not proceed to Consultation).

*Amend paragraph 7.2.5 to read as follows:*

- 7.2.5 Where the Modification Panel considers that a more detailed analysis and cost estimate is required in respect of a Modification Proposal it may:
- (a) determine that the ~~CDSP shall Transporters~~ prepare and submit to the Modification Panel (within a period determined by it) such an analysis and estimate; and
  - (b) where its Workgroup Assessment concludes that such analysis and estimate is satisfactory, make a determination in accordance with paragraph 7.2.3(b)(i).

*Amend paragraph 7.2.6 to read as follows:*

- 7.2.6 Where the ~~Panel makes a determination under paragraph 7.2.5(a) the CDSP and the DSC Change Management Committee shall:~~
- ~~(a) take the necessary steps to ensure compliance with such determination;~~
  - ~~(b) keep the Modification Panel informed of any delay or likely delay in doing so. Transporters fail to comply with paragraph 7.2.5, the Transporters shall provide to the Modification Panel:~~
    - ~~(a) a written explanation as to reasons for such failure at the earliest opportunity and in any event no later than two (2) Business Days after the final date for such submission; and~~
    - ~~(b) a date by which the detailed analysis and cost estimate will be provided, having regard to the explanation provided.~~

*Amend paragraph 7.2.7 to read as follows:*

- 7.2.7 The ~~requirement for the CDSP obligation on the Transporters~~ pursuant to paragraph 7.2.3(c) shall cease:
- (a) in accordance with a determination by the Modification Panel; or
  - (b) where the Modification Proposal is withdrawn pursuant to paragraph 6.5.1(a) or varied pursuant to paragraph 6.5.1(b).

*Amend paragraph 7.3.1 to read as follows:*

- 7.3.1 If the Modification Panel determines pursuant to paragraph 7.2.3(b)(i) that a Modification Proposal should proceed to Consultation:
- (a) the Modification Panel shall be deemed to have requested that the Transporters provide legal text unless the Modification Panel determines that legal text is not required for the purposes of the Modification Report (which may be the case where Suggested Text has been provided by the Proposer and is sufficient in the view of the Modification Panel);

- (b) the Modification Panel shall inform the Code Administrator if it determines that the time periods set out in paragraph 9 for Consultation should, in its opinion, be deviated from in relation to the relevant Modification Proposal;
- (c) in respect of such Modification Proposal:
  - (i) unless the Modification Panel determines otherwise, the CDSP Transporters shall prepare and submit to the Modification Panel (within a period determined by the Modification Panel) for inclusion and include within the Modification Report:
    - (1) the most accurate cost estimate including all forecast, development, implementation and operational costs associated with the Modification Proposal) which is available at such time; ~~and~~
    - ~~(2) — if the proposal is a User Pays Modification Proposal, any associated indicative User Pays Charge;~~
  - (ii) where the CDSP is unable ~~Transporters fail~~ to comply with paragraph(c)(i)(1) ~~or, if the proposal is a User Pays Modification Proposal, (c)(i)(2), by the final date for such submission;~~ the CDSP Transporters shall provide to the Modification Panel:
    - (1) a written explanation as to reasons for such inability such failure at the earliest opportunity and in any event no later than two (2) Business Days after the final date for such submission;
    - (2) a list of information required to enable the most recent cost estimate to be prepared;
    - (3) a date by which the cost estimate will be provided, having regard to the explanation provided;
- (d) where the Modification Proposal is a Self-Governance Modification Proposal, the Code Administrator may invite each Transporter, each User, the CDSP, Non-Code Party (if any) to make representations in respect of whether such Modification Proposal should be a Self-Governance Modification Proposal.

*Amend paragraph 7.5 to read as follows:*

- 7.5 If the Modification Panel determines pursuant to paragraph 7.2.3(b)(ii) that the Modification Proposal should proceed to Workgroup Assessment or determines pursuant to paragraph 11.4.4(b) that a Request should proceed to Workgroup Assessment the Code Administrator shall within ten (10) Business Days of such determination finalise the Terms of Reference in accordance with paragraph 12.9 and notify each Transporter and each User and the CDSP of the composition of the Workgroup and invite each Transporter, each User and Non-Code Party (if any) and the CDSP to make representations in respect of the Modification Proposal within fifteen (15) Business Days following the date of the invitation.

*Amend paragraph 9.1.2 to read as follows:*

- 9.1.2 Where the Modification Panel has determined that legal text is required, then within one Business Day of receiving such Draft Modification Report the Secretary shall circulate the Draft Modification Report to the next meeting of the Modification Panel and subject to paragraph 9.1.3, within one Business Day following date upon which the panel meeting is convened, the Secretary shall circulate it to each Transporter, each User, each Member, each

Third Party Participant and each Non-Code Party (if any) and the CDSP inviting them to make (or withdraw earlier) representations to the Transporters within fifteen (15) Business Days following the date of that invitation.

*Amend paragraph 9.1.4 to read as follows:*

9.1.4 Where the Modification Panel has determined that legal text is not required:

- (a) pursuant to paragraph 7.3.1(a), within one (1) Business Day of receiving the Draft Modification Report;
- (b) pursuant to paragraph 9.1.3, within one (1) Business Day of such determination;

the Secretary shall circulate the Draft Modification Report to each Transporter, each User, each Member, each Third Party Participant and each Non-Code Party (if any) and the CDSP inviting them to make (or withdraw earlier) representations to the Code Administrator within fifteen (15) Business Days following the date of that invitation.

*Amend paragraph 9.3.1 to read as follows:*

9.3.1 Within five (5) Business Days following the last day for representations to the draft Modification Report in accordance with paragraph 9.1.2 or 9.1.4;

- (a) subject to (d), the Code Administrator shall prepare a final Modification Report in accordance with paragraph 9.4 for approval of the Modification Panel;
- (b) the Secretary shall submit a copy of that final Modification Report to:
  - (i) each Third Party Participant, each Transporter, each User and each Non-Code Party (if any) and the CDSP that submitted (and did not so withdraw) a representation with regard to the draft Modification Report pursuant to paragraph 9.1.2 or 9.1.4; and
  - (ii) each Member,

and shall attach to that report all representations (if any) so received (and not so withdrawn); and

- (c) the Code Administrator shall request the Secretary to add the Modification Proposal as an Agenda item for the Modification Panel to discuss;
- (d) where the Code Administrator considers that any representation made identifies issues that may arise through implementation of the proposal then the Code Administrator shall record such issues and include them in the final Modification Report and the Secretary shall submit it to the next Modification Panel for determination pursuant to paragraph 9.3.2.

*Amend paragraph 9.3.2 to read as follows:*

9.3.2 Where the Modification Panel determines that views on such issues should be obtained by the relevant Workgroup then:

- (a) the Code Administrator shall request the Secretary to submit the final Modification Report to the relevant Workgroup and to obtain a report containing their views; and
- (b) within three (3) Business Days following receipt of such report the Secretary shall submit a copy of the final Modification Report to:

- (i) each Third Party Participant, each Transporter, each User and each Non-Code Party (if any) and the CDSP; and
- (ii) each Member;

and shall attach to that report all representations (if any) so received (and not so withdrawn) and the report (if any) received pursuant to (b); and

- (c) the Code Administrator shall request the Secretary to add the Modification Proposal as an Agenda item for the Modification Panel to discuss.

*Amend paragraph 9.3.4 to read as follows:*

9.3.4 The Code Administrator shall, within one (1) Business Day of receipt from the Secretary of the final Modification Report, circulate to the Authority and each Transporter, each User, each Member, each Third Party Participant and each Non-Code Party (if any) and the CDSP the following:

- (a) a copy of the final Modification Report and any other attachments; and
- (b) a copy of the Modification Panel's recommendation to the Authority seeking a determination of the Authority as to whether the Modification should be implemented or not.

*Amend paragraph 9.3.5 to read as follows:*

9.3.5 Where a final Modification Report is received by the Authority pursuant to paragraph 9.3.4, the Authority may determine whether the proposed Modification shall be implemented and may give notice of its decision to the Secretary, in which case on receipt of such notice from the Authority:

- (a) if the notice confirms the Authority's determination not to implement the proposed Modification, the Secretary shall circulate to each Transporter, each User, each Member, each Third Party Participant and each Non-Code Party (if any) and the CDSP a non-implementation notice; and
- (b) if the notice confirms the Authority's determination to implement the Modification, the Secretary shall circulate to each Transporter, each User, each Member, each Third Party Participant and each Non-Code Party (if any) and the CDSP an implementation notice.

*Delete paragraph 9.3.7 and replace with "Not Used".*

*Amend paragraph 9.3.8 to read as follows:*

9.3.8 Where a Modification Report is received by the Authority, the Authority, pursuant to Standard Special Condition A11, may direct that the Modification Report, including any legal text, should be amended and resubmitted and where it makes such a direction:

- (a) the Secretary shall notify each Transporter, each User, each Member, each Third Party Participant and each Non-Code Party (if any) and the CDSP that the Authority is of such opinion (and the Authority's reasons for making such direction);
- (b) the Modification Panel shall discuss the Modification Report at the next meeting of the Modification Panel, shall instruct the Code Administrator to amend the Modification Report (including in respect of the legal text, the timetable, analysis or supporting



information) in accordance with the direction of the Authority and decide on what steps to take, in accordance with paragraph 7.2 and shall send the Authority a revised Modification Report as soon as reasonably practicable (taking into account the complexity, importance and urgency of the Modification Proposal).is of such opinion (and the Authority's reasons for making such direction);

*Amend paragraph 9.3.10 to read as follows:*

- 9.3.10 The Modification Panel shall upon receipt of the final Modification Report under paragraph 9.3.1 or 9.3.2 in respect of a Self-Governance Modification Proposal:
- (a) assess whether the final Modification Report complies with paragraph 9.4, and if it is compliant, shall make a determination as to whether or not the Self-Governance Modification Proposal should be implemented (having regard to whether or not the Self-Governance Modification Proposal better facilitates the achievement of the Relevant Objectives) no earlier than the Proposed Self-Governance Modification Proposal Determination Date;
  - (b) instruct the Code Administrator to include such determination and the Modification Panel's reasoning for such determination in the Final Modification Report; and
  - (c) instruct the Secretary to circulate an implementation notice or a non-implementation notice (as the case may be) in respect of such proposal to each Transporter, each User, each Member, each Third Party Participant, each Non-Code Party (if any), the CDSP and the Authority.

*Amend paragraph 9.3.11 to read as follows:*

- 9.3.11 The Code Administrator shall, within one (1) Business Day of receipt from the Secretary of the final Modification Report circulate to each Transporter, each User, each Member, each Third Party Participant, each Non-Code Party (if any) the CDSP and the Authority a copy of the final Modification Report and any other attachments.

*Amend paragraph 9.5.2 to read as follows:*

- 9.5.2 Having considered the circumstances relating to the Modification Proposal which is subject to paragraph 9.5.1, the Modification Panel may determine that:
- (a) the Secretary should request the Authority to give an indication of the likely date by which the Authority's decision shall be made; or
  - (b) the Code Administrator should within five (5) days of the date of the meeting prepare and circulate a notice to each Transporter, Users, the CDSP and Non-Code Parties outlining the change in circumstances or reasons for delay and inviting further representations within ten (10) days of the date of the notice; or
  - (c) the Secretary should place the Modification Proposal on the Agenda for further consideration at a future meeting of the Modification Panel as determined by the Modification Panel.

*Amend paragraph 9.5.4 to read as follows:*

- 9.5.4 If the Modification Panel makes a determination in accordance with 9.5.2(b) the Secretary shall within five (5) Business Days of the date upon which the invitation for representations closed prepare, and submit a supplemental report (which need not be in the form of a Modification Report) and also procure that the Code Administrator submits that report and

copies of any representations received to the Authority and circulates a copy to each Transporter, Users, the CDSP and Non-Code Parties.

*Delete paragraph 9.6.4 and replace with "Not Used".*

*Amend paragraph 9.7.3 to read as follows:*

9.7.3 The Code Administrator shall, as soon as reasonably practicable, notify each Transporter, each User, each Member, each Third Party Participant and each Non-Code Party (if any) and the CDSP of each Modification. Each such notice shall specify the legal text of the Modification and the date upon which the Modification shall become effective and may provide (for the purposes of information only) an explanatory note (which note should not be relied upon) in respect of the Modification. Each Modification shall become effective upon the date specified in the relevant notice. Having so notified each Transporter, each User, each Member, each Third Party Participant and each Non-Code Party (if any) and the CDSP, the Code Administrator may, with the agreement of the Transporters and the Authority, notify all Users, all Transporters, Members, each Third Party Participant and Non-Code Parties (if any) and the CDSP of any amendment to the date specified in the earlier notice, and the date specified in any such notice shall be the date upon which the relevant Modification shall become effective.

*Amend paragraph 10.1.2 to read as follows:*

10.1.2 If the Authority considers it appropriate that the Modification Proposal referred to in paragraph 10.1.1 should be treated as an Urgent Modification Proposal:

- (a) the Secretary shall notify each Transporter, each User, each Member and each Non-Code Party (if any) and the CDSP;
- (b) to the extent that the Authority agrees with the recommendation made in the procedure and timetable submitted by the Code Administrator, all or any of the Modification Rules (including, but without limitation, consulting with the Modification Panel and seeking representations from each Transporter, Users and any Non-Code Party and the CDSP), may be deviated from or any other procedure accepted by the Authority may be followed;
- (c) the Secretary shall prepare and submit to the Code Administrator, a Modification Report in a format and in accordance with a timetable accepted by the Authority; and
- (d) the Code Administrator shall send the Modification Report to the Authority.

*Amend paragraph 11.4.3 to read as follows:*

11.4.3 Following submission of a report under paragraph 11.4.2, the Modification Panel shall consider the report, and in the event that the Modification Panel does not determine that a further assessment be undertaken by the Workgroup, the Code Administrator shall circulate the report to the person making the Request, all Transporters and all Users and the CDSP.

*Amend paragraph 12.3.1 to read as follows:*

12.3.1 All representations (and any withdrawal of the same) made by each User or any Transporter or the CDSP pursuant to these Rules shall be readily identifiable as representations (or, as the case may be, a withdrawal thereof), shall identify the unique reference designation on the Modification Proposal or Request to which they relate and shall be sent to the Secretary at the address of the Code Administrator, for the time being, notified to Users for the purposes of paragraph 12.1.

*Amend paragraph 12.3.2 to read as follows:*

12.3.2 Any Transporter, User, Third Party Participant or Non-Code Party **or the CDSP** may at any time prior to the submission of the Modification Report by notice to the Secretary withdraw any representation made by it, whether made in writing or at a meeting of Users or Non-Code Parties.

*Amend paragraph 12.4.1 to read as follows:*

12.4.1 In the case of a Modification Proposal adopted pursuant to paragraph 6.4, the:

- (a) Transporter(s) or User(s) adopting the proposal (where that proposal made is in respect of the Uniform Network Code); or
- (b) Relevant Transporter or Relevant Shipper adopting the proposal (where that proposal made is in respect of an Individual Network Code),

shall (as the case may be) as it considers appropriate modify that adopted Modification Proposal having regard to better facilitating the achievement of the Relevant Objectives and having had regard to any other matter (including, but without limitation, representations (if any) received (in accordance with these Rules) from each Transporter, Users, Non-Code Parties, Third Party Participants, **the CDSP** and otherwise and the report of the relevant Workgroup and discussions at meetings of the Modification Panel) as appropriate.

*Amend paragraph 12.7.1 to read as follows:*

12.7.1 In respect of any Modification Proposal or Request if the Code Administrator considers it would be appropriate to disapply any of these Rules the Secretary shall notify each Member, each Transporter and each User, each Third Party Participant and each Non-Code Party (if any) **and the CDSP** and in that notice specify which paragraphs of these Rules the Code Administrator considers it would be appropriate to disapply and explaining why and invite each Transporter, Users, Third Party Participants and Non-Code Parties (if any) **and the CDSP** to make representations within ten (10) Business Days following the date of such invitation in respect of the proposed disapplication. The Code Administrator shall within the three (3) Business Days following the expiry of such ten (10) Business Days send to each Member and the Authority copies of all representations (if any) so received.

*Amend paragraph 12.7.2 to read as follows:*

12.7.2 The Modification Panel shall, subject to paragraph 5.3.1, at the next following meeting of the Modification Panel consider the representations (if any) received (in accordance with this paragraph 12.7.1) from Transporters, Users, Third Party Participants and Non-Code Parties (if any) **and the CDSP**, and the Modification Panel may determine that it is appropriate to disapply any of the paragraphs of these Rules specified in the relevant notice. If the Modification Panel shall so determine the paragraphs of these Rules specified in the relevant notice shall, if the Authority agrees, be disappplied.

*Delete paragraph 12.8.1 and replace with the following:*

12.8.1 The Code Administrator may decide to seek a View from the Authority on any matter arising (under these Rules or otherwise) from a Modification Proposal that is not a Self-Governance Modification Proposal at any stage prior to the date on which the Code Administrator finalises the Modification Report.

*Add new paragraph 12.12 to read as follows:*

## 12.12 Interaction with DSC and CDSP

12.12.1 The Code Administrator, the Modification Panel and each Workgroup shall have the functions respectively assigned to them in connection with the DSC in the Change Management Procedures.

12.12.2 In connection with the Modification Procedures the CDSP and the DSC Change Committee shall have the functions respectively assigned to it them these Modification Rules. Without limitation the CDSP shall participate in each Workgroup (unless authorised not to do so by the Modification Panel), and shall attend any meeting of the Modification Panel if requested to do so.

12.12.3 The CDSP shall at all times nominate one or more persons authorised on behalf of the CDSP to liaise with, and represent the CDSP in communicating with, the Code Administrator, Modification Panel and any Workgroup for the purposes of the Modification Procedures.

12.12.4 Pursuant to the Modification Procedures the CDSP is entitled to make representations in respect of Modification Proposals but it is understood that the CDSP will usually make representations only in respect of a Modification to amend the DSC.

12.12.5 Where a Modification Proposal to amend the DSC is proposed to be treated as a Self-Governance Modification Proposal, if the CDSP considers that the Modification Proposal raises issues (including any change in the level of risk or liability of the CDSP) which merit consideration by the Authority, the CDSP may make representations to that effect for the purposes of the Authority's decision under paragraph 6.6.2.

*Amend paragraph 13.2 to read as follows:*

13.2 Subject to the Appeal being made within the period referred to in paragraph 13.1, the Secretary shall, on receipt of a notice under paragraph 13.1 notify each Transporter, User, Member, Third Party Participant and Non-Code Party (if any) and the CDSP and the Authority that an Appeal has been made and the Secretary shall put discussion of the Appeal on the Agenda of the next meeting of the Modification Panel which shall (subject to paragraphs 5.4.1 and 5.4.2) be convened pursuant to paragraph 5.3.1.

*Amend paragraph 13.4 to read as follows:*

- 13.4 The Modification Panel shall, upon deciding whether to confirm or reverse its determination under paragraph 9.3.10(a), notify the Secretary and the Appealing Party of its decision as soon as reasonably practicable following receipt of the Appeal, and where the Modification Panel:
- (a) confirms its determination under paragraph 9.3.10(a), the Code Administrator shall notify each Transporter, User, Member, Third Party Participant and Non-Code Party (if any) and the CDSP and the Authority of the Modification Panel's confirmation of its determination and send each such person an implementation or (as the case may be) non-implementation notice under paragraph 9.3.10(a) confirming the implementation or (as the case may be) non-implementation of the proposal;
  - (b) reverses its determination under paragraph 9.3.10(a), the Code Administrator shall notify each Transporter, User, Member, Third Party Participant and Non-Code Party (if any) and the CDSP and the Authority of the Modification Panel's determination and send each such person an implementation or (as the case may be) non-implementation notice, which shall have effect in place of the implementation or (as

the case may be) non-implementation notice issued pursuant to the Modification Panel's initial determination under paragraph 9.3.10(a).

Amend paragraph 13.10 to read as follows:

13.10 Where the Authority:

- (a) confirms the Modification Panel's determination under paragraph 13.4(a), the Secretary shall notify each Transporter, User, Member, Third Party Participant and Non-Code Party (if any) and the CDSP of the Authority's confirmation of the Modification Panel's determination under paragraph 13.4(a) confirming the implementation or (as the case may be) non-implementation of the proposal;
- (b) quashes the Modification Panel's determination under paragraph 13.4(a), the Secretary shall notify each Transporter, User, Member, Third Party Participant and Non-Code Party (if any) and the CDSP of the Authority's decision and send each such person an implementation notice or (as the case may be) non-implementation notice, which shall have effect in place of the Modification Panel's determination under paragraph 13.4(a);

## TRANSITION DOCUMENT

### TD Part I: General

Add new paragraph 3 to read as follows:

### 3 Transitional arrangements in relation to CDSP and DSC

#### 3.1 For the purposes of the Code

- (b) "CDSP Modification" means the Code Modification referred to as Modification 0565;
- (c) "CDSP Modification Date" means the Day following the Day on which the CDSP Modification is approved by the Authority;
- (d) "CDSP Implementation Date" means the Implementation Date of the CDSP Modification.

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#### 3.2 The agreed form, as at the CDSP Modification Date, of each of the documents (together comprising the DSC) listed below, is the form of such document submitted to the Authority as an attachment to the Final Modification Report in respect of the CDSP Modification:

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- (e) the DSC Agreement;
- (f) the DSC Terms and Conditions;
- (g) the CDSP Service Description;
- (h) the Budget and Charging Methodology;
- (i) the Credit Policy;
- (j) the UK Link Manual;
- (k) the Change Management Procedures;

- (l) the Contract Management Arrangements;
- (m) the Third Party and Additional Services Policy; and
- (n) the Transitional Arrangements Document.

3.3 The Transitional Arrangements Document, so far as it contains provisions which operate to commence or vary (or otherwise operate as transitional provisions in respect of) the provisions of the Code as modified by the CDSP Modification, shall have effect for the purposes of the Code as if it were incorporated in the Transition Document.

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## GENERAL TERMS

### Section B: General

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*Amend paragraph 1.4.2 to read as follows:*

- 1.4.2 "IGT Code" means the ~~network code uniform network code~~ prepared by ~~an Independent Gas Transporter-independent gas transporters~~ pursuant to ~~Standard~~ Condition ~~A9(5)~~ of ~~its the Gas~~ Transporter's Licence, ~~as from time to time modified~~, and ~~references to an IGT Code include such IGT Code as given contractual effect by an IGT Framework Agreement as made contractually binding (as incorporated into an individual gas transporter's individual network code) by the relevant framework agreement.~~

*Amend paragraph 1.5.3 to read as follows:*

- 1.5.3 An "IGT Framework Agreement" is an agreement pursuant to which an Independent Gas Transporter's ~~IGT Code individual network code (incorporating the IGT Code)~~ is made binding between the Independent Gas Transporter and IGTS Users (and for the avoidance of doubt, such an agreement is not an Ancillary Agreement for the purposes of the Code).

*Amend paragraph 2.2.6 to read as follows:*

- 2.2.6 For the purposes of the Code "IGTS User" is a gas shipper which is, and in its capacity as, a user of the IGT System, pursuant to arrangements for the conveyance of gas made (in accordance with the ~~relevant~~ IGT Code) with the Independent Gas Transporter.

*Amend paragraph 5.1.1 to read as follows:*

- 5.1.1 The Code contemplates that Code Communications and Offtake Communications (collectively "Communications") may be given by the following means:
- (a) (in the case of Code Communications) by UK Link Communication, in accordance with ~~TPDGT~~ Section ~~UD5~~; ...

*Amend paragraph 5.1.2 to read as follows:*

- 5.1.2 Subject to ~~TPDGT~~ Section ~~U6-2D5.9~~, and except where the means by which a Communication is to be given is specified in the Code, the particular means (in accordance with paragraph 5.1.1) by which: ...

*Amend paragraph 5.1.4 to read as follows:*

5.1.4 Any reference in the Code to the time or date of any Communication, or the giving or making of a Communication, is a reference to the time or date when (in accordance with this paragraph 5 or ~~TPD~~GT Section ~~UD5~~ or the Offtake Communications Document) the Communication is deemed to have been received by the Party to which it was sent.

#### GT Section C: Interpretation

*Amend definition of "Code Communication" in paragraph 1 to read as follows:*

"~~Code-TPD~~ **Communication**" means any communication to be given by a ~~User or a Transporter Party or the CDSP~~ (including any notification, application, nomination, confirmation, request, approval, acceptance, invoice or other notice to be given, made or submitted) under the Transportation Principal Document.

*Amend paragraph 2.9.7 to read as follows:*

2.9.7 An "**Affiliate**" of a specified percentage in relation to a body corporate is:

- (a) another body corporate which holds not less than the specified percentage of the voting rights of the first body corporate; or
- (b) a subsidiary of the first body corporate or of such a body corporate as is referred to in paragraph (a);

and for these purposes 'voting rights', 'holding' voting rights and 'subsidiary' are to be construed in accordance with Section 736A of the Companies Act 1985; and the CDSP shall not be treated for any purposes of the Code as an Affiliate (of any specified percentage) of any Party.

#### GT Section D: CDSP and UK Link

*[Add text shown in document attached as Annex D.]*