

Modification 0639R: Issue Register (as at 31 January 2018)

Issue Ref.	Issue	Source	Background/Description	Issue Originator	Date Created	Status	Priority for Discussion (H/M/L)	Status Date	Status Summary/Action taken	Next Review
0639R01	Scope – what is included/not included.	AUGE Year Review Report for 2016/17 - Section 4.1 The AUG Framework	1. Clarity of scope - what is included that AUGE can directly/indirectly influence 2. Should scope be limited to downstream activities? 3. Should scope be purely a formulaic approach or more holistic to include detail on the issues that influence the value	Workgroup	31/01/2018	Live	H	31/01/2018	Next meeting - review section 5 of the AUG Framework.	27/02/2018
0639R02	How interaction with third parties should work and how to deal with conflict of interest issues.	AUGE Year Review Report for 2016/17 - Section 4.1 The AUG Framework	Conflict of interest - how to define third party does it include AUGE own organisation?	Workgroup	31/01/2018	Live	M	31/01/2018	Next meeting - review section 4.1.4 of the AUG Framework (tendering section)	27/02/2018
0639R03	How feedback is treated throughout the AUG process (including the formal consultation period). Linked to issue R063905 (overall process improvements).	AUGE Year Review Report for 2016/17 - Section 4.1 The AUG Framework	1. Guidance on how the AUGE should handle new issues that arise during the process or take into consideration issues being managed through other forums (for example LDZ shrinkage). 2. The framework document does not define the consultation period. Need clarification of when consultation starts and ends.	Workgroup	31/01/2018	Live	H	31/01/2018	1. Review of AUG timeline/process. Draft timeline to be discussed at 27 Feb 2018 meeting. 2. Review definition of consultation period - para 6.3 and 6.4	27/02/2018
0639R04	Review/clarification of UNC, UNCC process and AUG Framework documents in relation to voting requirements. Should the voting be related to the methodology, the weightings table or both?	AUGE Year Review Report for 2016/17 - Section 4.1 The AUG Framework		Workgroup	31/01/2018	Live	H	31/01/2018	1. Review UNC Section E 9.4.3 - sub paragraph (h) 2. Review AUG Framework 7.1.8 (Voting section). Voting for the Statement is included in UNC and AUG Framework. Voting for the Factor table is included in the UNC.	27/02/2018
0639R05	The AUG process needs to have sufficient rigor, transparency, feedback opportunities and checkpoints. In particular, the AUGE statement and AUGE table need to be reviewed to decide what needs to be done to align them and clarification on when the tables should be published and whether they can be changed or not.	Section 4.2 The AUGE for areas such as: communication, industry engagement, query responses etc.	An issue was raised where the AUGE statement and AUGE table did not align and suggested that this could be an area of the process where clarity would be beneficial. When the tables should be published and whether they can be changed or not, also needs clarification. Note: There are no timescales specified in UNC.	Workgroup	31/01/2018	Live	H	31/01/2018	1. Review of AUG timeline/process. Draft timeline to be discussed at 27 Feb 2018 meeting. 2. Review 9.4.3 (f) - "prepare a table on the basis of the AUG statement..." 3. Review definition within AUG Statement	27/02/2018