





UNC Modification	At what stage is this document in the process?
<h1>UNC 0762:</h1> <h2>Adding the Retail Energy Code <del>Performance Assurance Code</del> <u>Manager Company</u> as a new User type to the Data Permissions Matrix</h2>	<div style="display: flex; flex-direction: column; gap: 5px;"> <div style="border: 1px solid green; background-color: #008000; color: white; padding: 5px; display: flex; align-items: center; justify-content: center;"> <span style="font-weight: bold; font-size: 1.2em; margin-right: 5px;">01</span> Modification         </div> <div style="border: 1px solid blue; padding: 5px; display: flex; align-items: center; justify-content: center;"> <span style="font-weight: bold; font-size: 1.2em; margin-right: 5px;">02</span> Workgroup Report         </div> <div style="border: 1px solid purple; padding: 5px; display: flex; align-items: center; justify-content: center;"> <span style="font-weight: bold; font-size: 1.2em; margin-right: 5px;">03</span> Draft Modification Report         </div> <div style="border: 1px solid orange; padding: 5px; display: flex; align-items: center; justify-content: center;"> <span style="font-weight: bold; font-size: 1.2em; margin-right: 5px;">04</span> Final Modification Report         </div> </div>
<p><b>Purpose of Modification:</b></p> <p>The Retail Energy Code <u>Company</u> (RECCo) <del>Performance Assurance Committee</del> will monitor <del>the performance of REC parties performing activities</del> <u>are responsible for ensuring the proper, effective, and efficient implementation and ongoing management of the Retail Energy Code specified under the (REC).</u> <del>In addition to other industry data sources</del> <u>the UK Link system will provide a logical and efficient source of data to support this objective relevant Code Manager reporting.</u> This Modification seeks to amend the UNC Data Permissions Matrix (DPM) to add the Retail Energy Code <del>Performance Assurance Code</del> <u>Manager Company</u> as a new User type.</p>	
	<p>The Proposer recommends that this Modification should be:</p> <ul style="list-style-type: none"> <li>subject to self-governance</li> <li>assessed by a Workgroup</li> </ul> <p>This Modification will be presented by the Proposer to the Panel on <del>[18th March 2021 at Short Notice]</del>.</p> <p><del>[Subject to agreeing to consider the Modification at Short Notice,</del> <u>the Panel will consider the Proposer's recommendation and determine the appropriate route.]</u></p>
	<p>High Impact: None identified</p>
	<p>Medium Impact: None identified</p>
	<p>Low Impact: CDSP, Shipper Users and Transporters.</p>

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Timetable	
<b>The Proposer recommends the following timetable:</b>	
<u>Pre Modification Discussion</u>	<u>25 March 2021</u>
Initial consideration by Workgroup	<del>25 March</del> <u>22 April</u> 2021
Workgroup Report presented to Panel	<del>20 May</del> <u>17 June</u> 2021
Draft Modification Report issued for consultation	<del>21 May</del> <u>18 June</u> 2021
Consultation Close-out for representations	<del>11 June</del> <u>09 July</u> 2021
Final Modification Report available for Panel	<del>14 June</del> <u>13 July</u> 2021
Modification Panel decision	<del>17 June</del> <u>15 July</u> 2021 ( <i>at short notice</i> )

 Any questions?

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# 1 Summary

## What

The Retail Energy Code Company (RECCo) are responsible for ensuring the proper, effective, and efficient implementation and ongoing management of the Retail Energy Code (REC). In order to perform this activity, a Code Manager will appointed from time to time by the RECCo and as such this party will need to have access to the data that is permitted to the RECCo within the DPM. Reporting services will be provided by Xoserve as a third party service under the DSC. The third party services contract between Xoserve and RECCo will reflect the requirement for data to be shared with the RECCo appointed Code Manager as and when required to perform its obligations under the REC.

As an example of an expected use case, ~~The Retail Energy Code (REC)~~a function of the Code Manager is Performance Assurance which will ~~intends to~~ monitor the performance of the REC parties. The UK Link system provides a logical source for reporting to support assessment of a number of activities that are defined in the REC, such as metering and meter reading and also, until implementation of the Central Switching System, potentially registration.

The REC ~~Performance Assurance~~ Code Manager (~~REC PA CM~~) has recently been appointed so is still developing the Performance Assurance reporting framework, but intends to have this defined and established ready for Retail Code Consolidation (REC v2) in September 2021. Whilst it is proposed that ~~this party~~ the RECCo is added to the REC v2 Significant Code Review (SCR) for the Ofgem Switching Programme, this will not take effect until September 2021. In order to support the development of these reports, and any other use cases, the RECCo-REC PA CM needs to be added to the DPM prior to September 2021.

## Why

In line with UNC Legal Text implemented for Modification 0649S - Update to UNC to formalise the Data Permissions Matrix, a new Modification is needed to add a new User type to the DPM.

Currently, the CDSP does not have the permissions to release data to ~~RECCo~~ the REC PA CM, which would mean that any data that is required would need to be sourced from satellite systems held in individual organisations rather than provided from a single consistent source.

## How

This enabling Modification proposes to add the Retail Energy Code Company Performance Assurance Code Manager (REC PA CM) to the Data Permissions Matrix (DPM). Where a need to access UNC Protected Information is identified by the RECCo, for themselves or the Code Manager as their contractors, each instance will be assessed by Xoserve against the DPM and DPM Conditionality Document. If the request is for data that is additional to that permitted within the DPM, a DRR will be raised for approval by DSC Contract Management Committee.

The third party services contract between Xoserve and RECCo will reflect the requirement for data to be shared with the RECCo appointed Code Manager as and when required to perform its obligations under the REC.

The process for requesting access by RECCo and/or a RECCo Code Manager has yet to be defined but the principal will be that should a request from a RECCo Code Manager be received directly, Xoserve will assess as if it were a request from RECCo and as such it should demonstrate the REC obligation the request sets out to achieve and RECCo will be asked to confirm the request should this be in question.

## 2 Governance

### Justification for Self-Governance

This enabling Modification is proposed as self-governance as it will not have a negative effect on consumers, it will not discriminate between classes of parties within the UNC, nor will it impact competition.

### Requested Next Steps

This Modification should:

- be considered a non-material change and subject to self-governance.
- be assessed by a Workgroup.

The Proposer suggests that this Modification can be finalised within two Workgroups as the principle of the described in this modification are well established and Performance Assurance Code Manager via the REC has been set out in a number of Ofgem consultations.

## 3 Why Change?

Separately it was planned that it is proposed that RECCo would be added to the DPM as part of the UNC changes under the Significant Code Review for Retail Code Consolidation (REC v2) will add the REC PA CM. Since this will not take effect until 1<sup>st</sup> September 2021 this modification now proposes to do so in advance of this date to support transition to these arrangements.

In order for the REC PA CM RECCo to prepare for REC v2 Go Live when the Performance Assurance regime within the REC takes effect, the CDSP has been asked to support the development of the reporting with the Code Manager. In order to effectively develop these reports, permissions is required to share the reporting, including actual data, with the REC PA CM RECCo. If the data cannot be made available, it is likely to compromise the effectiveness of the REC reporting framework.

Once RECCo are recorded on the DPM we anticipate that further use cases will be identified for provision of data. These will be managed through the DSC Contract Management procedures.

The DPM is the framework that permits the CDSP to release data to specific named parties. In order to add a party to the DPM a UNC Modification is required see UNC TPD V5.5.2(j).

## 4 Code Specific Matters

### Reference Documents

The Data Permission Matrix (which includes the Conditionality Document) is published on Xoserve.com.

### Knowledge/Skills

None identified.

## 5 Solution

This Modification will add the Retail Energy Code ~~Performance Assurance Code Manager~~Company to the Data Permission Matrix.

For the avoidance of doubt report requests to access UK Link Data are managed through the DSC Contract Management Committee and are not included in the scope of this Modification. The third party services contract between Xoserve and RECCo will reflect the requirement for data to be shared with the RECCo appointed Code Manager as and when required to perform its obligations under the REC.

## 6 Impacts & Other Considerations

### Does this modification impact a Significant Code Review (SCR) or other significant industry change projects, if so, how?

As referenced above this Modification is linked to the Ofgem Switching Programme SCRs. This will add the ~~Retail Energy Code Company REC PA CM~~ to the DPM in advance of, but consistent with, the changes in the Significant Code Review.

### Consumer Impacts

Data relating to all consumers groups would be available if specified in the reporting and sanctioned by DSC Contract Management Committee.

### Cross Code Impacts

As referenced, this will provide data that is collated and mastered under the UNC to the Retail Energy Code ~~Performance Assurance Code Manager~~Company.

An equivalent IGT UNC Modification will be required~~It is not anticipated that there will be a direct impact on the iGT UNC.~~

### EU Code Impacts

No specific impacts identified.

### Central Systems Impacts

As this is a permissions Modification there are no direct impacts, however, impacts will be identified and developed through the DSC Contract Management Committee and the DSC Change Management Committee, where required, to deliver the data and reports requested.

## 7 Relevant Objectives

Impact of the modification on the Relevant Objectives:

Relevant Objective	Identified impact
a) Efficient and economic operation of the pipe-line system.	None

b) Coordinated, efficient and economic operation of (i) the combined pipe-line system, and/ or (ii) the pipe-line system of one or more other relevant gas transporters.	None
c) Efficient discharge of the licensee's obligations.	None
d) Securing of effective competition: (i) between relevant shippers; (ii) between relevant suppliers; and/or (iii) between DN operators (who have entered into transportation arrangements with other relevant gas transporters) and relevant shippers.	None
e) Provision of reasonable economic incentives for relevant suppliers to secure that the domestic customer supply security standards... are satisfied as respects the availability of gas to their domestic customers.	None
f) Promotion of efficiency in the implementation and administration of the Code.	Positive
g) Compliance with the Regulation and any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators.	None

This Modification provides a more efficient means for the CDSP to release data to the ~~REG-PA-CM~~RECCo consistent with the principle of development of the DPM. This is consistent with other similar changes for the release of Data to identified organisations listed in the DPM, with the actual data to be released to be agreed via the process established by the DSC Contract Management Committee and therefore further Relevant Objective f) Promotion of efficiency in the implementation and administration of the Code.

## 8 Implementation

As self-governance procedures are proposed, implementation could be sixteen business days after a Modification Panel decision to implement, subject to no Appeal being raised.

## 9 Legal Text

As this is an enabling Modification, no Legal Text is required to be provided by Transporters. This Modification has been raised in accordance with UNC TPD Section V5.5.2(j) which stipulates that to add a User type to the DPM, the change must be approved by way of UNC governance. Accordingly, the UNC would not be modified by implementing this proposal, the only change resulting from implementation would be to add a new column in the DPM, headed with the new User type.

## 10 Recommendations

### Proposer's Recommendation to Panel

Panel is asked to:

- Agree self-governance procedures should apply;
- Refer this Modification to a Workgroup for assessment.